

# 1 Evolution of Cold-Air-Pooling Processes in Complex Terrain

2 Paul Burns · Charles Chemel

3  
4 Received: 18 July 2013 / Revised: R1 27 September 2013, R2 24 October 2013

5 **Abstract** Elucidating cold-air-pooling processes forms part of the longstanding problem of  
6 parametrizing the effects of complex terrain in larger-scale numerical models. The Weather  
7 Research and Forecasting model has been set-up and run at high resolution over an ideal-  
8 ized alpine-valley domain with a width of order 10 km, to investigate the four-dimensional  
9 variation of key cold-air-pooling forcing mechanisms, under decoupled stable conditions.  
10 Results of the simulation indicated that the total average valley-atmosphere cooling is driven  
11 by a complex balance/interplay between radiation and dynamics effects. Three fairly dis-  
12 tinct regimes in the evolution of cold-air-pooling processes have been identified. Starting  
13 about 1 hr before sunset, there is an initial 30-min period when the downslope flows are  
14 initiated and the total average valley-atmosphere cooling is dominated by radiative heat loss.  
15 A period of instability follows, when there is a competition between radiation and dynam-  
16 ics effects, lasting some 90 min. Finally, there is a gradual reduction of the contribution of  
17 radiative cooling from 75 to 37 %. The maximum cold-air-pool intensity corresponds to the  
18 time of minimum radiative cooling, within the period of instability. Although, once the flow  
19 is established, the valley atmosphere cools at broadly similar rates by radiation and dynam-  
20 ics effects, overall, radiation effects dominate the total average valley-atmosphere cooling.  
21 Some of the intricacies of the valley mixing have been revealed. There are places where the  
22 dynamics dominate the cooling and radiation effects are minor. Characteristics of internal  
23 gravity waves propagating away from the slopes are discussed.

24 **Keywords** Cold-air pools · Downslope flows · Numerical simulation · Radiative heat loss

---

P. Burns

Centre for Atmospheric & Instrumentation Research (CAIR), University of Hertfordshire, College Lane,  
Hatfield, AL10 9AB, UK

C. Chemel (✉)

National Centre for Atmospheric Science (NCAS), Centre for Atmospheric & Instrumentation Research  
(CAIR), University of Hertfordshire, College Lane, Hatfield, AL10 9AB, UK  
e-mail: c.chemel@herts.ac.uk

## 25 1 Introduction

26 There is a need to understand and accurately model atmospheric processes in hilly and moun-  
27 tainous terrain (i.e., complex terrain). More specifically, accurate simulations are important,  
28 for example, for effective weather and storm predictions, road transportation and aviation  
29 safety, as well as for the agricultural industry (e.g. [Price et al. 2011](#)). Accurate simulations  
30 are required at the local scale for the prediction of air quality ([Anquetin et al. 1999](#); [Brulfert  
31 et al. 2005](#); [Chazette et al. 2005](#); [Szintai et al. 2010](#)), avalanches ([Lundquist 2010](#)), wild and  
32 prescribed fires, for impact assessments for proposed new settlements and structures ([Zardi  
33 and Whiteman 2013](#)), and for climate change estimates ([Daly et al. 2010](#)). Regions of com-  
34 plex terrain are also thought capable of affecting the evolution of atmospheric systems on a  
35 wider scale ([Noppel and Fiedler 2002](#); [Price et al. 2011](#)).

36 For the foreseeable future, the representation of the effects of complex terrain, in both  
37 high-resolution forecast models and low-resolution climate and earth-system models, is  
38 likely to require varying levels of parametrization, which requires a sound understanding  
39 of the underlying physical processes. One key process in complex terrain is cold-air pool-  
40 ing, ultimately driven by a net loss of longwave radiation from the ground surface to space,  
41 typically during nocturnal hours and the winter season. Cold air pools (CAPs) can create  
42 large temperature variations over short distances in even small-scale terrain. For example,  
43 [Gustavsson et al. \(1998\)](#) reported near-surface air temperature variations of approximately  
44 7 K over length scales of order 1 km, in terrain with elevation variations less than 100 m,  
45 where in some places temperatures decreased by 8.5 K in 1 hr from sunset. Such temperature  
46 variations are currently not well represented in forecast models ([Price et al. 2011](#)).

47 The present work considers cold-air-pooling processes in a valley atmosphere that is not  
48 subject to any synoptic forcing, which approximates the case of weak synoptic flows, or  
49 where the valley atmosphere is shielded from larger-scale flows by the terrain and possibly  
50 a stable layer. In these conditions the local weather and climate are driven by downslope  
51 flows and in situ cooling ([Whiteman 2000](#)), but uncertainty remains over their respective  
52 contributions and their variations in space and time ([Price et al. 2011](#)).

53 Previous observational and modelling studies have described characteristics of CAPs in  
54 relation to their environment (see [Zardi and Whiteman 2013](#), for a review, and references  
55 therein). However, these studies have generally not focused on quantifying the respective  
56 contributions of CAP forcing mechanisms. Several measurement campaigns have aimed at  
57 elucidating cold-air-pooling processes for broadly similar mid-latitude climates and condi-  
58 tions (e.g. [Price et al. 2011](#); [Sheridan et al. 2013](#)). [Price et al. \(2011\)](#) argued that the dominant  
59 process is in situ cooling for small-scale valleys (i.e., valleys about 100-m deep and 1-  
60 3-km wide). The argument is that the valley air is decoupled from the atmosphere above,  
61 due to the sheltering effect of the valley geometry, reducing turbulence within the valley and  
62 preventing heat transfer from above, allowing the valley atmosphere to cool by radiative heat  
63 loss to a greater degree than on more exposed ground. The coupling between atmospheric  
64 stability and turbulence is made clear, however, a detailed investigation into the characteris-  
65 tics of downslope flows and the valley radiation field was not made. [Thompson \(1986\)](#) used  
66 wind and temperature observations collected from Utah, USA and Ontario, Canada, to argue  
67 that downslope flows were not the cause of CAPs found in valleys of a very similar scale to  
68 those investigated by [Price et al. \(2011\)](#). [Thompson \(1986\)](#) indicated that accurate observa-  
69 tions made with bi-directional wind vanes positioned 0.3 m above ground level, targeted at  
70 detecting any downslope flow, did not detect any flows. However, no detailed information  
71 about the equipment was provided, and given the terrain over which the atmosphere was  
72 measured, and the low heights of the instruments above ground level, it is possible that the

73 wind speeds were close to the threshold values of the wind vanes. The Utah measurements  
74 found that valley flows began after the development of the valley temperature inversion sug-  
75 gesting that downslope flows were not the cause of it. However, weak downslope flows could  
76 have contributed to the development of the valley temperature inversion in the first instance.  
77 Ambient wind-speed data was not provided, and the stability of the atmosphere was not  
78 discussed. The site characteristics, such as land use, surface roughness, soil type, and mois-  
79 ture content were not considered, although [Gustavsson et al. \(1998\)](#) provided evidence that  
80 suggests these latter variables have only a modulating effect on the formation of CAPs.

81 In contrast to the conclusions of [Price et al. \(2011\)](#) and [Thompson \(1986\)](#), [Gustavsson](#)  
82 [et al. \(1998\)](#), who made measurements in similar terrain to the former two studies, in south-  
83 western Sweden, pointed out that downslope flows can be important for the development of  
84 CAPs. The lateral extent of the observed CAPs was found to increase during the night. The  
85 dependence of this lateral expansion on valley width and drainage area was clearly shown.  
86 However, without further investigation, it is difficult to assert that this lateral expansion was  
87 due to downslope flows rather than due to radiation effects. [Gustavsson et al. \(1998\)](#) found  
88 a strong correlation between valley drainage area and the strength of the CAP, measured  
89 by comparing near-surface air temperatures, and also demonstrated the complicating effects  
90 of forested regions on cold-air pooling, the tree canopy apparently enhancing the cooling  
91 process due to a sheltering effect.

92 There have been a number of numerical modelling works focused on improving our un-  
93 derstanding of downslope flows and cold-air-pooling processes (e.g. [Anquetin et al. 1998](#);  
94 [Skylvingstad 2003](#); [Smith and Skylvingstad 2005](#); [Vosper and Brown 2008](#); [Catalano and](#)  
95 [Cenedese 2010](#); [Smith et al. 2010](#); [Vosper et al. 2013](#)). [Hoch et al. \(2011\)](#) used the MYS-  
96 TIC (Monte Carlo code for the physically correct tracing of photons in cloudy atmospheres)  
97 code ([Mayer and Kylling 2005](#); [Mayer 2009](#)), which accounts for inhomogeneous surface  
98 albedo and topography, to investigate longwave radiation heating and cooling rates in differ-  
99 ent topographies. The accuracy of the results is dependent on the assumed atmospheric tem-  
100 perature profiles and simplified ground-air temperature differences. Contributions to heat-  
101 ing rates from dynamical processes was not explicitly investigated. The nocturnal radiative  
102 contribution to cooling rates was investigated by comparing MYSTIC-computed average-  
103 basin-atmosphere cooling rates in the Arizona meteor crater, USA, to the observed average-  
104 basin-atmosphere total temperature tendency. The observed total rates were estimated by  
105 constructing hourly vertical temperature profiles from a meteorological station on the crater  
106 floor, time-interpolated 3-hourly tethersonde and radiosonde launches, and a mid-latitude  
107 standard atmosphere above 20 km, beyond the range of the radiosonde system. Horizontal  
108 uniformity was assumed, based on previous measurements in the crater. The vertical pro-  
109 files were also used as initial conditions for the MYSTIC simulations, which assumed a  
110 rotationally symmetric crater geometry to reduce computational time. Average basin heating  
111 and cooling rates were calculated by weighting the vertical profile points according to the  
112 proportion of the basin volume they represented. [Hoch et al. \(2011\)](#) found that the radiative  
113 contribution, defined above, averaged over one night, was 28 %. The percentage contribu-  
114 tion reached a maximum value of 75 % shortly before sunrise when wind speeds were low.  
115 A minimum percentage contribution of 9 % occurred during an air intrusion into the basin  
116 atmosphere in the middle of the night. The accumulated radiative cooling contribution was  
117 found to decrease from approximately 30 to 22 % during the course of the night. These latter  
118 values were found to lie within a factor of three of comparable estimates of a few earlier stud-  
119 ies (see [Hoch et al. 2011](#), and references therein). The crater is approximately 150 m deep  
120 and 1.2 km across, and so has a very similar scale to the terrain investigated by [Gustavsson](#)  
121 [et al. \(1998\)](#) and [Price et al. \(2011\)](#). There is a clear difference in geometries, however, [Hoch](#)

et al. (2011) did not find any large difference in cooling rates between valleys and basins of similar scales and under similar atmospheric and boundary conditions. The Arizona meteor crater lies at about 30 °N and has a semi-arid climate. The lack of moisture close to the ground/air interface is likely to enhance the ground-air temperature excesses and deficits relative to more northerly regions, where a greater portion of the available energy is stored as latent heat (Hoch et al. 2011).

Despite considerable effort and progress, it is apparent that uncertainty remains about the physical processes controlling CAPs. Detailed investigations of these processes are needed. In the present work, a numerical model is used to examine the variation of key cold-air-pooling forcing mechanisms in an idealized alpine-valley domain with a width of order 10 km under decoupled stable conditions. The set-up of the model and the design of the numerical simulation are presented in Sect. 2. Numerical results are analyzed in Sect. 3 and a summary is given in Sect. 4.

## 2 Design of the numerical simulation

The numerical simulation presented herein was performed with the Weather Research and Forecasting (WRF) model (Skamarock et al. 2008), version 3.4.1. The WRF model is specifically designed for research and operational forecasting on a range of scales.

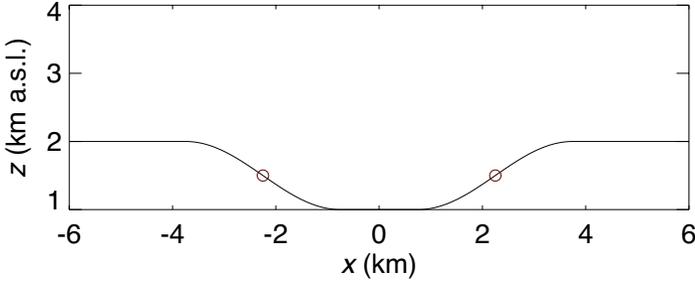
### 2.1 WRF numerical formulation

The WRF model is a fully compressible and non-hydrostatic model that uses a terrain-following hydrostatic-pressure vertical coordinate with a constant pressure surface at the top of the domain and a staggered grid of type Arakawa-C. A number of dynamics options are available (see Skamarock et al. 2008, for details). For the present work, time integration is performed using a third-order Runge-Kutta scheme using a mode-splitting time integration technique to deal with the acoustic modes. Momentum and scalar variables are advected using a fifth-order Weighted Essentially Non-Oscillatory (WENO) scheme with a positive definite filter (Shu 2003) with no artificial diffusion. Here, the valley atmosphere is not subjected to any synoptic forcing, and so the relevant Rossby number is that based on the downslope flow, that is  $Ro = U/(fL)$ , where  $U$  and  $L$  are the typical velocity and length scales of the downslope flow and  $f$  is the Coriolis parameter. Given the scales of the problem ( $U \approx 2 \text{ m s}^{-1}$ ,  $L \approx 3 \text{ km}$  and  $f \approx 10^{-4} \text{ s}^{-1}$ , see Sect. 2.2 and 3.2.1), the Rossby number is  $\gg 1$  ( $Ro \approx 7$ ), and so Coriolis effects were neglected by setting  $f = 0$ .

The model was run in a large-eddy simulation (LES) mode (i.e., with no boundary-layer parametrization scheme) with a vertical grid resolution  $\Delta z$  selected to capture the downslope flows (see also Sect. 2.3). The vertical length scale of the downslope flows is given by the height of the wind maximum, denoted by  $n_j$  hereafter. For the relatively steep slopes of the terrain considered here (see Sect. 2.2),  $n_j$  is expected to be of order 1–10 m. This range was drawn from appropriate observational studies (e.g. Doran and Horst 1983; Helmis and Papadopoulos 1996). To minimize errors due to large grid-cell aspect ratios, a high horizontal resolution is therefore required (see Sect. 2.3). A turbulent kinetic energy 1.5-order closure scheme (Deardorff 1980) was used to model the subgrid scales. The constant ‘ $C_k$ ’ in the subgrid-scale parametrization scheme was set to 0.10 (see Moeng et al. 2007). Because of the anisotropy of the grid, the width of the filter for the subgrid scales was modified following Scotti et al. (1993) (see also Catalano and Cenedese 2010).

165 The WRF model includes a number of physics modules, which have a number of for-  
166 mulations that can be selected. The physics schemes used for this work are listed below.

- 167 • The [Dudhia \(1989\)](#) scheme was chosen to represent shortwave radiation processes. The  
168 scheme performs downward integration of solar flux, accounting for clear-air scattering,  
169 water vapour absorption ([Lacis and Hansen 1974](#)), and cloud albedo and absorption,  
170 using look-up tables for clouds from [Stephens \(1978\)](#). Slope effects on the surface solar  
171 flux, and slope shadowing effects, were deactivated. As well as simplifying the problem,  
172 this allows for later investigation into the importance of these effects.
- 173 • The Rapid Radiation Transfer Model (RRTM) was chosen to represent longwave radi-  
174 ation processes. This spectral-band scheme uses the correlated-k method ([Iacono et al.  
175 2008](#)), and pre-set tables to accurately represent the effects of water vapour, carbon diox-  
176 ide, ozone, methane, nitrous oxide, oxygen, nitrogen and halocarbons. The two radiation  
177 schemes were called every minute, a compromise between the need to keep computa-  
178 tional time within acceptable limits, and the need to update radiation variables on a time  
179 scale similar to the typical time scale over which these variables change significantly.  
180 Both schemes were set to account for the impact of clouds on optical depths.
- 181 • The National Severe Storms Laboratory (NSSL) two-moment microphysics scheme was  
182 selected. The scheme predicts the mass mixing ratio and number concentration for six  
183 hydrometeor species: cloud droplets, rain drops, ice crystals, snow, graupel, and hail (see  
184 [Mansell et al. 2010](#)). The scheme is intended for cloud-resolving simulations where the  
185 horizontal resolution  $\Delta x$  is less than 2 km.
- 186 • The revised MM5 Monin-Obukhov surface-layer scheme by [Jiménez et al. \(2012\)](#) was  
187 chosen. The scheme uses the similarity functions of [Cheng and Brutsaert \(2005\)](#) and  
188 [Fairall et al. \(1996\)](#), which are suitable under strongly stable and unstable conditions,  
189 respectively. Both similarity functions range from neutral conditions, enabling the full  
190 range of atmospheric stabilities to be accounted for. Momentum fluxes are calculated by  
191 the surface-layer scheme, which also calculates exchange coefficients for momentum,  
192 heat and moisture ( $C_d$ ,  $C_h$  and  $C_q$ , respectively) that are passed to the specified land-  
193 surface model (LSM), which then calculates the surface fluxes of heat and moisture.  
194 The thermal roughness length  $z_{0h}$ , over land surfaces, was set to depend on vegetation  
195 height rather than being set constant. Since  $z_{0h}$  helps to determine  $C_h$  and  $C_q$ , this leads  
196 to a more accurate representation of surface-atmosphere interactions ([Chen and Zhang  
197 2009](#)). The Obukhov length scale,  $L_O$ , is used to scale the fluxes. Although friction acts  
198 at inclined surfaces, turbulence production is dominated by the downslope flow wind  
199 maximum at  $n_j$ , which is the relevant length scale with which to scale the fluxes ([Griso-  
200 gono et al. 2007](#)). Turbulence above the wind maximum is decoupled from the surface  
201 ([Zardi and Whiteman 2013](#)). Whenever  $L_O > n_j$ , the length scale of the turbulent eddies  
202 that determine the fluxes is not the most relevant length scale. [Grisogono et al. \(2007\)](#)  
203 demonstrated that this is more likely to occur as the slope angle and/or stratification are  
204 increased. However, for the present work,  $L_O \leq n_j$  (not shown).
- 205 • The community Noah LSM ([Chen and Dudhia 2001](#)) was chosen with four soil layers.  
206 The United States Geological Survey (USGS) land-use table was chosen, which provides  
207 24 different land-use categories, and 16 soil categories were included. Both the land-use  
208 and soil category were set constant across the model domain (see Sect. 2.4).



**Fig. 1** Terrain height. The red circles mark the slope inflection points. The terrain is uniform along  $y$  (into the page), though  $y$  was given a length of 1.2 km.

## 209 2.2 Idealized terrain

210 An idealized U-shaped valley, with its axis orientated north-south, was implemented with  
 211 a maximum slope angle of  $27.6^\circ$ , flanked on either side by a horizontal plateau extending  
 212 2.25 km from the top of the valley slopes. The terrain height above sea level (a.s.l.) is given  
 213 by

$$214 \quad h(x, y) = H h_x(x) h_y(y) + z_{\text{ref}}, \quad (1)$$

215 where  $x$  and  $y$  are the west-east and south-north components of the model curvilinear coordi-  
 216 nate system, respectively,  $H$  is the maximum depth of the valley,  $z_{\text{ref}}$  is the height of the  
 217 bottom of the valley, and  $h_x(x)$  and  $h_y(y)$  are defined as

$$218 \quad h_x(x) = \begin{cases} 0.5 \{1 - \cos[\pi (|x - V_x|) / S_x]\}, & V_x \leq |x| \leq S_x + V_x \\ 0, & |x| < V_x \\ 1, & |x| > S_x + V_x \end{cases}, \quad (2)$$

219 and

$$220 \quad h_y(y) = 0.5 [1 + \tanh(y/S_y)], \quad (3)$$

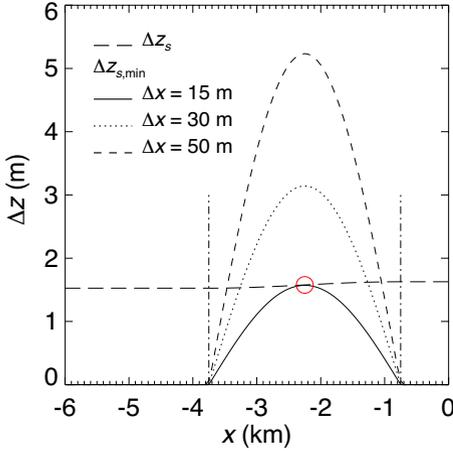
221 where  $V_x$  is the half width of the valley floor,  $S_x$  is the  $x$ -dimension slope length, and  $S_y$  is the  
 222  $y$ -dimension slope length. To simplify the problem,  $h_y(y)$  was held constant at unity, making  
 223 the topography uniform in  $y$ , though  $y$  was given a length of 1.2 km. We set  $z_{\text{ref}} = 1000$  m,  
 224  $H = 1000$  m,  $V_x = 750$  m and  $S_x = 3000$  m. These values approximate the environment of  
 225 the lower Chamonix Valley, located in the French Alps ( $45.92^\circ \text{N}$ ,  $6.87^\circ \text{E}$ ) and all model  
 226 points were assigned these coordinates. Figure 1 illustrates the geometry of the terrain.

## 227 2.3 Model grid

228 The model was discretized using 101 staggered grid points along the  $z$ -direction. The vertical  
 229 coordinate (defined by  $\eta$  levels) was stretched using a hyperbolic tangent function, from  
 230 Vinokur (1980), defined by

$$231 \quad \eta(k) = -\tanh \left[ A \left( \frac{k-1}{k_{\text{max}}-1} - 1 \right) \right] / \tanh(A), \quad (4)$$

232 where  $k$  is the vertical staggered grid point number (ranging from 1 to  $k_{\text{max}} = 101$ ), and  
 233  $A$  is a coefficient used to adjust the stretching, given a value of 3.134, with larger  $A$  values



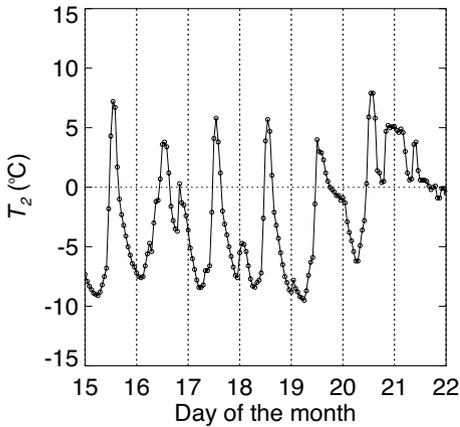
**Fig. 2** Comparison of the vertical grid resolution adjacent to the ground surface, denoted by  $\Delta z_s$ , against the minimum acceptable  $\Delta z_{s,\min}$ , given by Eq. 5 with  $b = 5$ , for three values of horizontal resolution  $\Delta x$ . The vertical dot-dashed lines mark the start and end of the western slope, and the red circle marks the position of the slope inflection point.

234 providing greater vertical grid resolutions. This function provides decreasing resolution with  
 235 increasing  $z$ , with a model top located at 12 km a.s.l., and  $\Delta z$  values adjacent to the ground  
 236 surface, denoted by  $\Delta z_s$ , of approximately 1.52 and 1.62 m over the plateaus and valley  
 237 floor, respectively, with  $\Delta z_s$  ranging between these two limits over the slopes of the valley.  
 238 To obtain numerically stable results, this range of  $\Delta z_s$  values demanded a model time  $\Delta t =$   
 239 0.05 s. The acoustic timestep was set equal to  $\Delta t/10$ . Given the relatively steep slopes of  
 240 the terrain considered here (see Sect. 2.2), the parameter ‘ $\beta$ ’, used in the model to damp  
 241 vertically propagating sound waves, was set to 0.9 (see Dudhia 1995).

242 Mahrer (1984) demonstrated that, when using a terrain-following coordinate system,  
 243 errors in the approximation of horizontal gradients are more likely to occur at large grid-cell  
 244 aspect ratios, when the lengths of the grid cells are larger than their heights. This makes it  
 245 more common for the change in  $z$ , caused by moving between neighbouring  $x$  points (holding  
 246  $\eta$  constant), denoted by  $\Delta z_{\Delta x}$ , to be larger than the vertical resolution  $\Delta z$ . To avoid errors  
 247 in the approximations of horizontal gradients,  $\Delta z$  should be set so that  $\Delta z \geq \Delta z_{\Delta x}$ . Noting  
 248 that  $\Delta z_{\Delta x} = \Delta x \tan |\alpha|$  at the ground surface, where  $\alpha$  is the slope angle, and introducing a  
 249 parameter  $b$  allowing for a range of acceptable grid cell distortions, this gives

$$250 \quad |\alpha| \leq \arctan \left( b \frac{\Delta z_s}{\Delta x} \right). \quad (5)$$

251 Equation 5 can be used to estimate the minimum  $\Delta z_s$  for given values of  $\alpha$  and  $\Delta x$ . The  
 252 parameter  $b$  is commonly set in the range 1–5, with 5 providing the minimum acceptable  $\Delta z_s$ ,  
 253 denoted by  $\Delta z_{s,\min}$ . Figure 2 compares the implemented  $\Delta z_s$  against  $\Delta z_{s,\min}$ , given by Eq. 5  
 254 with  $b = 5$ , for three values of  $\Delta x$ . It shows that only the  $\Delta x = 15$  m resolution satisfies Eq. 5  
 255 with  $b = 5$ . An initial sensitivity study, not reported here, has revealed that the results from  
 256 simulations using the  $\Delta x = 15$ -m and 30-m resolutions are qualitatively the same. Therefore  
 257 the lower and computationally less expensive horizontal resolution of 30 m, resulting in 402  
 258 and 82 staggered grid points in the  $x$ - and  $y$ -directions, respectively, was used to generate the  
 259 results reported in Sect. 3. The even number of horizontal grid points enabled the model to  
 260 be symmetric about its mass points.



**Fig. 3** Time series of 2-m air temperature above the valley floor of the Chamonix valley for a week during January 2003. The time series has a temporal resolution of 1 hr. Vertical dotted lines mark the start of each day (0000 UTC). The measurements were taken by Météo France during the POLLution in Alpine Valleys (POVA) field campaign (see [Brullfert et al. 2005](#)).

## 261 2.4 Initial and boundary conditions

262 The simulation was provided with an initial weakly-stable linear lapse rate in virtual po-  
 263 tential temperature,  $\partial\theta_v/\partial z = 1.5 \text{ K km}^{-1}$ , an environmental lapse rate in temperature,  $\Gamma$ ,  
 264 slightly less than the adiabatic rate. Therefore the simulation represents cases where there  
 265 is no pre-existing residual layer, or inversions, in the valley atmosphere at the start of the  
 266 night, indicative of well-mixed post-convective conditions. The model is run for an 8-hr pe-  
 267 riod starting at 1430 UTC on 21 December (that is about 1 hr before sunset at the latitude of  
 268 the Chamonix valley). The atmosphere at the bottom of the idealized valley was assigned an  
 269 initial  $\theta_v = 288 \text{ K}$ , a temperature of approximately 279.3 K (about 6 °C). This temperature  
 270 value was chosen to approximately match measurements made in the Chamonix valley, at a  
 271 similar time of day and year (see Fig. 3). The time rate of change of the 2-m air temperature  
 272 at the centre of the valley was compared to that of the data in Fig. 3. To make the comparison  
 273 fair, only the clear-sky observations were considered (i.e., excluding day 19 to 21), and those  
 274 that did not experience any large shift in the synoptic wind direction (i.e., excluding day 16  
 275 and 18). The observed cooling rate averaged over the common time period and over days  
 276 15 and 17 is  $-1.2 \text{ K hr}^{-1}$ . The corresponding model value is  $-0.9 \text{ K hr}^{-1}$ , which given the  
 277 idealized model set-up, is remarkably similar. The atmosphere was initialized with a spa-  
 278 tially constant relative humidity of 40 %, which resulted in a moisture flux at the ground/air  
 279 interface, but avoided any condensation of water in the atmosphere.

280 The temperature of the ground/air interface, or skin temperature, is initialized by extrap-  
 281 olation of the air temperature of the first three layers above the ground. A random negative  
 282 thermal perturbation to the extrapolated skin temperatures, with a maximum value of 0.05 K,  
 283 was applied at the initial time across the valley slopes. This reduced the spin-up time of the  
 284 simulation, important given the short simulated time period, enforced by the computationally  
 285 expensive integrations. The thermal perturbation also made the flow three-dimensional (3D),  
 286 which would not otherwise have been the case, due to the  $y$ -independent valley geometry,  
 287 the initial zero wind field (see below), no Coriolis effects, and the otherwise  $y$ -independent  
 288 thermal forcing at the surface. Since turbulence is 3D it is important that the flow is 3D too.

289 For a deep valley under stable conditions, the valley atmosphere is often decoupled from  
 290 the air above the valley (see, for instance, [Whiteman 2000](#)), and so no synoptic forcing was  
 291 prescribed. It is not known what the velocity field should be within the idealized valley,  
 292 since this is the problem under investigation, and imposing a zero velocity field within the

293 valley space and some non-zero velocity field above would likely lead to unrealistic results.  
 294 Model grid nesting is beyond the scope of this work, and so the wind field was set to zero  
 295 everywhere at the initial time.

296 The model deep soil temperature, at a depth of 8 m (denoted by  $T_{\text{deep}}$ ), is at the maxi-  
 297 mum depth of penetration of the annual solar temperature wave. A depth of 8 m for  $T_{\text{deep}}$  is  
 298 reasonable for a soil with low to medium thermal diffusivity, typical of sand-free clay soils,  
 299 as used for this work (see below).  $T_{\text{deep}}$  at the bottom of the valley was set to the annual mean  
 300 surface air temperature of 281.4 K (that is 8.25 °C), a typical value for a mid-latitude Alpine  
 301 site at this altitude. This proxy value is regarded as an accurate approximation, based on  
 302 the premise that long-term thermal equilibrium exists between the soil and atmosphere (e.g.  
 303 Oke 1987; Chen and Dudhia 2001). Green and Harding (1980) have shown, from numerous  
 304 measurements across western and central Europe, that the gradient of soil temperature with  
 305 altitude, during winter, is on average approximately 2 K km<sup>-1</sup>, and that the differences be-  
 306 tween the gradients in these regions are not large. Gradients were calculated by considering  
 307 station pairs, with one element of the pair on low ground and the other on high ground. It was  
 308 found in an earlier study (Green and Harding 1979) that the differences in soil temperature,  
 309 observed between such a pair of stations, are dominated by the effects of altitude, provided  
 310 that the height difference between them exceeds 200 m, and that they are in a broadly similar  
 311 climatic regime. This was found to be the case despite large differences in terrain type, rang-  
 312 ing from humus to broken rock. All of the station pairs used by Green and Harding (1980)  
 313 satisfied the above two criteria. The study also suggests that the gradients of soil tempera-  
 314 ture with altitude are similar for different soil depths (considering soil depths of 0.1, 0.5 and  
 315 1 m), and that this pattern generally extends throughout the year. This is important given that  
 316 the soil temperature measurements were made at different depths, between 0.2 and 1 m, al-  
 317 though most were made at 0.5 m. This also suggests that the vertical soil temperature profile  
 318 does not change greatly with altitude, and so not with changes in average annual tempera-  
 319 ture, which varies with altitude. The 2 K km<sup>-1</sup> gradient was used to vary  $T_{\text{deep}}$  with altitude  
 320 across the idealized terrain.

321 Given a known skin and deep soil temperature the shape of the temperature variation  
 322 between these boundary values was sought. A linear variation would be easy to implement,  
 323 however, a better approximation is to increase the temperature exponentially with depth,  
 324 which is what can generally be observed during the winter months. This general exponential  
 325 shape can be attributed to the near exponential decay with depth of the surface heat waves,  
 326 which drive the system about a mean value, in a near periodic fashion. Hillel (1982) showed  
 327 that the variation of soil temperature with depth and time, due to an infinitely periodic surface  
 328 heat wave, assuming a constant thermal diffusivity, is of the form

$$329 \quad T(z, t) = \langle T_0 \rangle + A_0 e^{z/d} \sin\left(2\pi f t + \frac{z}{d} + \phi\right), \quad (6)$$

330 where  $z \leq 0$ ,  $\langle T_0 \rangle$  is the mean soil surface temperature,  $A_0$  represents the amplitude of the  
 331 surface wave,  $f$  is the wave frequency and  $\phi$  is the signal phase shift. The parameter  $d$  is the  
 332 damping depth, given by  $d = \sqrt{D_h / (f \pi)}$ , where  $D_h$  is the (constant) thermal diffusivity.

333 Since the sinusoidal variation was applied for an infinite time, there is no transient part  
 334 to Eq. 6; the soil at any depth is synchronized to the surface signal, or in other words, the soil  
 335 is in a quasi-steady state. This is not an accurate representation when the surface forcing is  
 336 applied for some finite time, where the different depths exhibit a transient and more complex  
 337 behaviour. However, for many systems the quasi-steady state approximation is reasonable,  
 338 as exemplified by the analysis of Droulia et al. (2009). This model was extended by Droulia

339 [et al. \(2009\)](#) to account for both the daily and annual heat waves, which involves the super-  
 340 position of two waves. The final solution is essentially a sum of terms of the form of Eq. 6. A  
 341 simplified version of Eq. 6 is introduced that still captures the essential exponential increase  
 342 of soil temperature with depth,

$$343 \quad T(z) = A + B e^{z/d}, \quad (7)$$

344 where  $z \leq 0$  and  $d$  was chosen as one third the depth of  $T_{\text{deep}}$ , with the boundary conditions  
 345  $T(z=0) = T_0$ , and  $T(z=-3d) = T_{\text{deep}}$ , to give

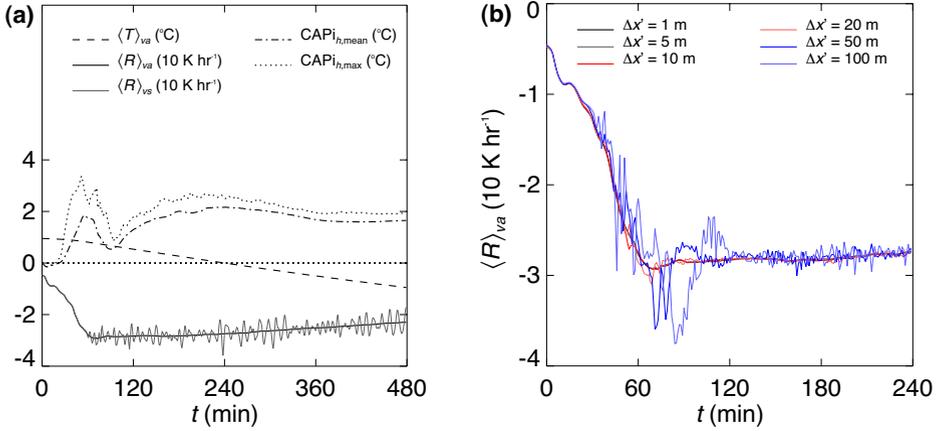
$$346 \quad T(z) = T_0 + \frac{T_{\text{deep}} - T_0}{1 - e^{-3}} \left(1 - e^{z/d}\right), \quad (8)$$

347 where  $z \leq 0$ . The depth  $d$  was chosen to avoid any step in the model output variables during  
 348 the start of the simulation, which is indicative of a system close to a state of partial equilib-  
 349 rium. This is important since the short simulated period makes long-term model adjustments  
 350 impractical. The solution was then a compromise between the need to simplify the problem  
 351 and the need to model the soil temperature profile in a reasonable way.

352 The idealized terrain was initially set-up to represent an Alpine landscape consisting  
 353 mainly of grasses, and so the vegetation and landuse type was set to ‘grassland’, giving, for  
 354 winter, a surface albedo of 0.23, a surface emissivity of 0.92, an aerodynamic roughness  
 355 length of 0.10 m, and a surface moisture availability of 0.3 (volume fraction).

356 The soil type was set to ‘silty clay loam’, a relatively moist soil ([Oke 1987](#)), with dry,  
 357 wilting point, field capacity and maximum soil moistures of 0.120, 0.120, 0.387 and 0.464  
 358 (volume fractions), respectively. It typically takes a couple of days for a soil to reach its field  
 359 capacity, after drainage of water via the soil macropores following a rain event, the exact time  
 360 period depending on the soil properties, the initial water content of the soil, and the initial  
 361 water depth in the soil. Before the field capacity is reached the gravitational and capillary  
 362 forces, which dominate the movement (redistribution) of soil water during infiltration and  
 363 drainage, are both directed downwards. When the field capacity is reached, matric potential  
 364 or water content gradients are in opposite directions in the upper and lower portions of the  
 365 soil profile, preventing any significant net downward water flux ([Nachabe 1998](#)). Once the  
 366 macropores are emptied, further drainage, by evaporation from the soil surface or through  
 367 extraction by plants, removes water at a much slower rate from the soil micropores ([Rowell  
 368 1994](#)). The method was to provide the soil with a constant soil moisture value 10 % below  
 369 the chosen soil field capacity, thereby placing the soil safely within the latter soil water  
 370 redistribution regime. The simulation therefore considered a soil a few days after rainfall,  
 371 which is reasonable given the winter period modelled, when frequent precipitation is typical  
 372 in the Alps. The exact soil moisture profile is a complex problem and it is acknowledged that  
 373 soil moistures are likely to decrease by small amounts with depth, however, over the sub-  
 374 diurnal time period of interest any exchange of moisture between soil layers is negligible.

375 The model was run with periodic lateral boundary conditions. This was made possible by  
 376 the relatively large extent of the flat plateaus in the  $x$ -direction and the  $y$ -independent valley  
 377 geometry. If the valley geometry were not  $y$ -independent then any valley flow is expected  
 378 to evolve in the down-valley direction precluding the use of a periodic boundary condition  
 379 in this direction. The implemented valley geometry effectively eliminated any significant  
 380 valley flow from the results. Without considering a far larger domain, pressure-induced flow  
 381 cannot be represented. A 4-km deep implicit Rayleigh damping layer ([Klemp et al. 2008](#))  
 382 was implemented at the top of the model domain to prevent any significant wave reflections  
 383 affecting the solution. The damping coefficient was set to  $0.2 \text{ s}^{-1}$ .



**Fig. 4** Time series of (a) average valley-atmosphere temperature, denoted by  $\langle T \rangle_{va}$ ,  $\langle R \rangle_{va} \equiv \langle \partial \theta_v / \partial t \rangle_{va}$ , where  $\theta_v$  is virtual potential temperature,  $\partial \theta_v / \partial t$  averaged over an  $((x, z)$  slice taken half-way along  $y$ , denoted by  $\langle R \rangle_{vs}$ ,  $\text{CAPI}_{h,\text{mean}}$  and  $\text{CAPI}_{h,\text{max}}$  (see text for details), and (b)  $\langle R \rangle_{va}$  using a number of different Cartesian grid resolutions.

### 384 3 Results and discussion

#### 385 3.1 Valley-averaged variation of cold-air-pooling processes

386 Time series, starting about 1 hr before sunset, of average valley-atmosphere temperature  
 387 and cooling rate, denoted by  $\langle T \rangle_{va}$ , and  $\langle R \rangle_{va} \equiv \langle \partial \theta_v / \partial t \rangle_{va}$ , respectively, where  $\partial \theta_v / \partial t \approx$   
 388  $\partial T / \partial t$  (not shown), are displayed in Fig. 4a. The time series were created by first averaging  
 389 the model output across the  $y$ -dimension. The output fields on the model curvilinear grid  
 390 were then interpolated onto a linear orthogonal framework (i.e., Cartesian system), which  
 391 filled the two-dimensional valley space, with  $\Delta x' = \Delta z' = 5$  m.  $\partial \theta_v / \partial t$  was calculated from  
 392 the model output  $\theta_v$  field using centered finite differencing before re-gridding, to avoid numerical  
 393 artifacts. The results are not sensitive to the choice of differencing scheme. A local  
 394 bilinear interpolation was used, that relies on grid indexes, avoiding errors close to sloping  
 395 ground associated with triangulation techniques using real heights. Such errors are caused  
 396 by the maximum grid distortion in these regions, together with the rapidly changing fields  
 397 when moving in a direction normal to the ground. Having said this,  $\langle R \rangle_{va}$  has a low sensitivity  
 398 to such errors (not shown). The sensitivity of the series to the new grid resolution, was  
 399 tested using  $\partial \theta_v / \partial t$  (see Fig. 4b), which demonstrates a convergence of the results as the  
 400 resolution is increased from 100 to 1 m. Figure 4b shows that there is no noticeable difference  
 401 between the 1 and 5 m results, and therefore all of the  $\langle \rangle_{va}$  and  $\langle \rangle_{vs}$  time series were  
 402 created using the latter resolution, where the subscript  $vs$  stands for a valley section, in the  
 403  $(x, z)$  plane. Errors occurring in  $\langle R \rangle_{va}$ , as the resolution is decreased, are primarily caused by  
 404 the misrepresentation of the valley atmosphere away from the valley slopes.

405 Figure 4a shows a general steady cooling of the valley atmosphere through time.  $\langle T \rangle_{va}$  is  
 406 approximately 1 °C for the first 30 min or so of simulation, before decreasing at a near-constant  
 407 rate of roughly 0.25 K hr<sup>-1</sup>, to reach a final value close to -1 °C, revealing a total 2 °C  
 408 decrease of  $\langle T \rangle_{va}$  during the simulated 8-hr period. The initial near-constant temperature  
 409 is due to a balance between cooling from longwave radiation and combined heating from  
 410 shortwave radiation and dynamical processes, when all terms are small. The change in  $\langle T \rangle_{va}$

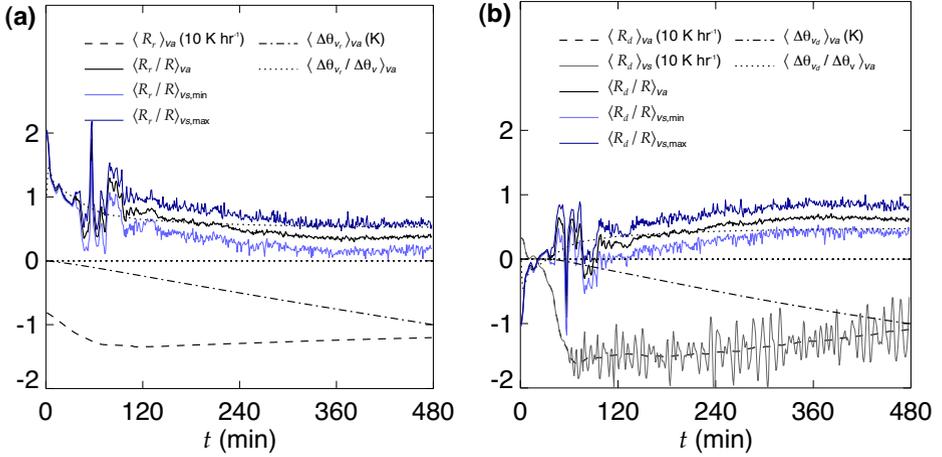
over this period is  $-0.043$  K and in  $\langle\theta_v\rangle_{va}$  is  $-0.046$  K. In general, the subsequent decrease of  $\langle T\rangle_{va}$  is caused by the reduction and loss of shortwave radiation effects, an increase in the cooling from longwave radiation, and the initiation of cooling from dynamical processes, as discussed in this section. The associated instantaneous cooling rate,  $\langle R\rangle_{va}$ , initially increases in magnitude relatively quickly, due to the changes in the forcing mechanisms, with  $\langle R\rangle_{va}$  decreasing from  $-0.048$  to  $-0.29$  K hr<sup>-1</sup> at  $t = 73$  min.  $|\langle R\rangle_{va}|$  then gradually decreases with  $\langle R\rangle_{va}$  increasing to  $-0.23$  K hr<sup>-1</sup> at the end of the simulation. During this latter period there is a general reduction in the cooling from longwave radiation and dynamics effects. Possible oscillatory features can be seen in  $\langle R\rangle_{vs} \equiv \langle\partial\theta_v/\partial t\rangle_{vs}$ , taken halfway along the  $y$ -dimension, after  $t = 60$  min (see Fig. 4a). These features are discussed in the Appendix.

### 3.1.1 Cold-air-pool intensity

Due to the relatively large valley depth and possibly the chosen initial stratification, the simulated temperatures over the plateaus are always less than the temperatures over the valley floor, despite the enhanced cooling there, and in this respect a CAP is not simulated. This highlights the ambiguity that remains in the definition of a CAP, which typically refers to the relatively low air temperatures in a volume of air confined towards the bottom of a depression, compared to a reference air temperature above it. This work has found evidence of slightly higher temperatures immediately above the shallow (less than 100-m deep) layer of air at the bottom of the valley (not shown). However, the sign of this temperature difference is quickly reversed by moving the reference further away from this layer. The approach taken here was to remove the hydrostatic variation in temperature from all points in the model domain, allowing for a comparison of model domain cooling rates to those over flat terrain at the same elevation. This revealed a region of enhanced cooling that expanded upwards from the bottom of the valley (see Sect. 3.2.1), denoted by CAP<sub>*h*</sub>, where the subscript *h* refers to the hydrostatic adjustment. The CAP intensity (CAPI), has therefore been denoted by CAPI<sub>*h*</sub>. CAPI<sub>*h*</sub> was calculated in two ways: as the difference between the model adjusted-plateau and valley floor average near-surface air temperatures, denoted by CAPI<sub>*h*,mean</sub>, and also using the adjusted-maximum and minimum values from the two respective regions, denoted by CAPI<sub>*h*,max</sub>. All temperatures were taken from the model first mass points at 0.76 and 0.81 m above the plateaus and valley floor, respectively (i.e., approximately at screen-level height). For the first 15 min both CAPI<sub>*h*</sub> curves show negative values, that is the plateaus initially cooled faster than the valley floor (see Fig. 4a). The maximum magnitude of the temperature difference is small, with CAPI<sub>*h*,mean</sub> =  $-0.15$  °C. After  $t = 15$  min CAPI<sub>*h*,mean</sub> and CAPI<sub>*h*,max</sub> become positive and remain so for the remainder of the simulation, highlighting the enhanced cooling at the bottom of the valley compared to air adjacent to flat terrain in the stable decoupled conditions. Immediately after  $t = 15$  min there is a peak in both curves centered close to  $t = 60$  min, before CAPI<sub>*h*,mean</sub> and CAPI<sub>*h*,max</sub> increase again at a progressively decreasing rate until about  $t = 240$  min. Both CAPI<sub>*h*</sub> curves then gradually decrease until approximately  $t = 360$  min before levelling off for the remainder of the simulation, suggesting that some form of equilibrium or partial equilibrium condition was reached. The maximum CAPI<sub>*h*,max</sub> is  $3.4$  °C at  $t = 52$  min, in contrast to the maximum CAPI<sub>*h*,mean</sub> of  $2.2$  °C at  $t = 228$  min.

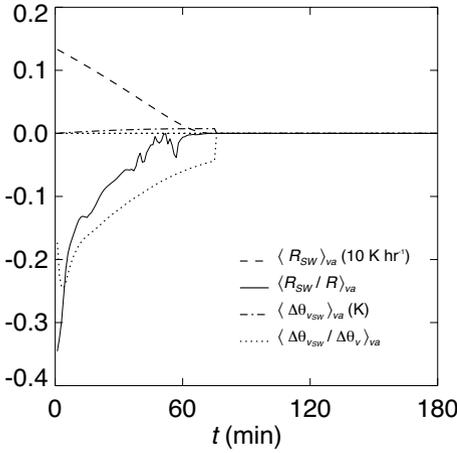
### 3.1.2 Cold-air-pool forcing mechanisms

Figure 5a reveals that the  $\langle\rangle_{va}$  accumulated temperature change due to net radiation only,  $\langle\Delta\theta_v\rangle_{va}$ , is fairly uniform, and reaches a total value close to  $-1$  K, where the subscript



**Fig. 5** Time series of (a) the average valley-atmosphere radiative part of  $\partial\theta_v/\partial t \equiv R$ , denoted by  $\langle R_r \rangle_{va}$ ,  $\langle R_r/R \rangle_{va}$ ,  $\langle R_r/R \rangle_{vs,min}$  and  $\langle R_r/R \rangle_{vs,max}$  obtained by using the operator  $\langle \rangle_{vs}$  for every  $y$  position and searching across  $y$  at each time for the minimum and maximum  $\langle R_r/R \rangle_{vs}$ ,  $\langle \Delta\theta_{vr} \rangle_{va}$ , where  $\Delta\theta_{vr}$  is the accumulated change of  $\theta_v$  due to net radiation,  $\langle \Delta\theta_{vr}/\Delta\theta_v \rangle_{va}$ , where  $\Delta\theta_v$  is the total accumulated change of  $\theta_v$ , and (b) the same as (a) but considering dynamics quantities, as well as  $\langle R_d \rangle_{va}$  taken half-way along  $y$ .

456  $r$  is short for radiation.  $\langle \Delta\theta_{vr} \rangle_{va}$  at  $t = 30$  min is small with a value of  $-0.047$  K. Also  
 457 shown is the  $\langle \rangle_{va}$  cooling rate due to net radiation, denoted by  $\langle R_r \rangle_{va}$ , where  $\langle R_r \rangle_{va} \approx$   
 458  $\langle (\partial T/\partial t)_r \rangle_{va}$  (not shown). Initially  $|\langle R_r \rangle_{va}|$  increases relatively rapidly with  $\langle R_r \rangle_{va}$  decreasing  
 459 from  $-0.081$  to  $-0.13$  K hr $^{-1}$  at  $t = 65$  min, before decreasing again only slightly  
 460 to a rate of  $-0.135$  K hr $^{-1}$  at  $t = 113$  min. After this time  $|\langle R_r \rangle_{va}|$  decreases gradually  
 461 with  $\langle R_r \rangle_{va}$  increasing to  $-0.12$  K hr $^{-1}$  at the end of the simulation. Figure 5a displays  
 462 the  $\langle \rangle_{va}$  contribution of  $\Delta\theta_{vr}$  and  $R_r$  to the total quantities, denoted by  $\langle \Delta\theta_{vr}/\Delta\theta_v \rangle_{va}$  and  
 463  $\langle R_r/R \rangle_{va}$ , respectively. The averaging must be done after the normalization to correctly represent  
 464 the normalized model output fields.  $\langle \Delta\theta_{vr}/\Delta\theta_v \rangle_{va}$  increases for the first 5 min from  
 465 approximately 102 to 148 %, before decreasing relatively rapidly to 77 %, at  $t = 65$  min,  
 466 after which it generally decreases at a progressively slower rate to reach a final value of  
 467 53 %. The initial increase of  $\langle \Delta\theta_{vr}/\Delta\theta_v \rangle_{va}$  balances the heating caused by dynamics effects  
 468 (see Fig. 5b).  $\langle \Delta\theta_{vr}/\Delta\theta_v \rangle_{va}$  completely dominates the cooling for the initial 30 min, with  
 469  $\langle \Delta\theta_{vr}/\Delta\theta_v \rangle_{va} = 103$  % at  $t = 30$  min, and the subsequent rapid decrease of  $\langle \Delta\theta_{vr}/\Delta\theta_v \rangle_{va}$   
 470 accounts for the growing influence of the dynamics in the total temperature changes. Figure  
 471 5b illustrates the difference between the total and radiation fields (i.e., the combined  
 472 dynamics effects of advection and subgrid-scale turbulent mixing) for which the subscript  
 473  $d$  is used.  $\langle \Delta\theta_{vd} \rangle_{va}$  is near zero for the first 30 min of the simulation, in fact amounting to  
 474 a very small positive temperature change of 0.00062 K. Over the same period shortwave  
 475 radiation caused a temperature change,  $\langle \Delta\theta_{vsw} \rangle_{va}$ , of 0.00525 K (see Fig. 6), as expected to  
 476 give  $\langle \Delta\theta_v \rangle_{va}$ .  $|\langle \Delta\theta_{vd} \rangle_{va}|$  then increases steadily to a final value close to  $-1$  K, as expected.  
 477  $\langle R_d \rangle_{va}$  initially decreases from 0.033 to  $-0.16$  K hr $^{-1}$  at  $t = 73$  min, changing from a  
 478 small heating rate to a relatively large cooling rate.  $|\langle R_d \rangle_{va}|$  then generally decreases with  
 479  $\langle R_d \rangle_{va}$  increasing to a final value of  $-0.11$  K hr $^{-1}$ . The oscillatory features in  $\langle R_d \rangle_{vs}$  (see  
 480 Fig. 5b) could not be found in the data for  $\langle R_r \rangle_{vs}$ , which reveals that the oscillations in  $\langle R \rangle_{vs}$   
 481 (see Fig. 4a) are caused by the dynamics alone.  $\langle \Delta\theta_{vd}/\Delta\theta_v \rangle_{va}$  first decreases from  $-2$  to  
 482  $-48$  % at  $t = 5$  min, before increasing rapidly until approximately  $t = 75$  min, reaching



**Fig. 6** Time series of  $\langle R_{SW} \rangle_{va}$ ,  $\langle R_{SW}/R \rangle_{va}$ ,  $\langle \Delta \theta_{vSW} \rangle_{va}$  and  $\langle \Delta \theta_{vSW} / \Delta \theta_v \rangle_{va}$ , where  $R_{SW}$  and  $\Delta \theta_{vSW}$  are the instantaneous and accumulated changes of  $\theta_v$  due to shortwave radiation.

483 27 %, and then increasing only gradually to reach a final value of 47 %. The initial heating  
 484 effect by the dynamics and the subsequent time it takes for the cooling by the dynamics  
 485 to take effect, together with broadly similar rates of cooling from longwave radiation and  
 486 dynamics, once the flow is established, results in  $\langle \Delta \theta_{vd} / \Delta \theta_v \rangle_{va} < \langle \Delta \theta_{vr} / \Delta \theta_v \rangle_{va}$ . The two  
 487 forcing mechanisms are tightly coupled, and ultimately it is longwave radiation that causes  
 488 the downslope flows (discussed in Sect. 3.2.1). It would be interesting to investigate further  
 489 the generality of this result, for instance by varying the initial conditions.

490  $\langle R_r/R \rangle_{va}$  and  $\langle R_d/R \rangle_{va}$  are more variable than  $\langle \Delta \theta_{vr} / \Delta \theta_v \rangle_{va}$  and  $\langle \Delta \theta_{vd} / \Delta \theta_v \rangle_{va}$ , and  
 491 are formed of three fairly distinct regimes (see Fig. 5). As above, there is first a 30-min  
 492 period when longwave radiation almost completely dominates the cooling, with  $\langle R_r/R \rangle_{va}$   
 493 decreasing from 203 to 90 %. After approximately  $t = 30$  min there is a period of instability,  
 494 lasting some 90 min, where  $\langle R_r/R \rangle_{va}$  is of course exactly out of phase with  $\langle R_d/R \rangle_{va}$ . Finally,  
 495 there is a gradual reduction of  $\langle R_r/R \rangle_{va}$  from 75 % to a final contribution of 37 %. Figure 5  
 496 also gives the maximum and minimum values of  $\langle R_r/R \rangle_{vs}$  and  $\langle R_d/R \rangle_{vs}$ , by applying  $\langle \rangle_{vs}$   
 497 to every  $y$ -position and searching across  $y$  at each time. Both plots demonstrate that there is little  
 498 variation from  $\langle R_r/R \rangle_{va}$  and  $\langle R_d/R \rangle_{va}$  for about the first 30 min of the simulation, suggesting  
 499 that the thermodynamics are constrained to develop in an essentially two-dimensional way  
 500 during this period. The variation around  $\langle R_r/R \rangle_{va}$  and  $\langle R_d/R \rangle_{va}$  is generally larger during  
 501 the period of instability, depending on the specific time considered. After  $t = 120$  min, the  
 502 variation around  $\langle R_r/R \rangle_{va}$  and  $\langle R_d/R \rangle_{va}$  is near constant over time, with the volume averages  
 503 close to the centre of the variation defined by the maximum and minimum values (defining a  
 504 maximum variation of about 40 %). The simulation average for  $\langle \Delta \theta_{vr} / \Delta \theta_v \rangle_{va}$  and  $\langle R_r/R \rangle_{va}$   
 505 is 64 and 58 %, respectively. The corresponding values for the period of gradual decline are  
 506 56 and 46 %. The maximum (minimum) values for  $\langle \Delta \theta_{vr} / \Delta \theta_v \rangle_{va}$  and  $\langle R_r/R \rangle_{va}$  are 147 (53)  
 507 and 203 (29) %, respectively. The times of these percentages are respectively 5 (480)  
 508 and 1 (382) min. Figure 6 shows that shortwave radiation has only a small modulating influence  
 509 in the first hour or so of the simulation, decreasing the cooling due to net radiation, and  
 510 increasing the rate of initial increase of  $|\langle R_r \rangle_{va}|$ . Hoch et al. (2011) found values of about  
 511 30 % for  $\langle \Delta \theta_{vLW} / \Delta \theta_v \rangle_{va}$  and  $\langle R_{LW}/R \rangle_{va}$ , early in the night, from 1700 to 2200 LST, for  
 512 the Arizona meteor crater, which is clearly different from the respective values of 56 and  
 513 46 % obtained for the period of gradual decline, from 1630 to 2230 UTC. One possible

514 explanation is an over-estimation of  $\langle R_{LW}/R \rangle_{va}$  by the one-dimensional radiative transfer  
 515 scheme used for the simulation.

516 The RRTM longwave radiation scheme, used here, does not consider photon transport  
 517 between atmospheric columns, and so nor reflections or emissions from surrounding terrain.  
 518 The work by Hoch et al. (2011) using the MYSTIC code, a 3D radiative transfer model,  
 519 demonstrated that one-dimensional schemes will tend to over-estimate  $\langle \rangle_{va}$  longwave radiative  
 520 cooling rates, denoted by  $\langle R_{LW} \rangle_{va}$  hereafter (see Fig. 6 and 7 in Hoch et al. 2011). The  
 521 1900 LST MYSTIC simulation suggests there will be an error close to  $0.05 \text{ K hr}^{-1}$  in the  
 522  $t = 270 \text{ min}$  (1900 UTC)  $|\langle R_{LW} \rangle_{va}|$  value reported here, where  $\langle R_{LW} \rangle_{va}$  is always negative.  
 523 The 1900 LST MYSTIC simulation had a similar bulk atmospheric temperature profile to  
 524 the 1900 UTC WRF model results from this work (not shown). Hoch et al. (2011) assumed  
 525 a temperature deficit of 4 K, which is larger than the corresponding value of about 2.5 K, for  
 526 this work, obtained half-way up the western valley slope and considering the temperature  
 527 change across the downslope flow, which is less than 50-m deep (not shown). However, the  
 528 MYSTIC model results suggests that this is unlikely to have any significant effect on the er-  
 529 ror. Hoch et al. (2011) made simulations for 1500, 1900 and 0600 LST, which revealed that  
 530 the error is not constant in time. Although the WRF simulation made here, began at a similar  
 531 time to the first MYSTIC simulation, the initial conditions were different, which makes even  
 532 any linear approximation of the changing error impossible. This would nevertheless make  
 533 an interesting topic of future research. Also, in the present work, the shortwave radiation  
 534 decreased at approximately the same rate everywhere, since slope effects on shortwave radi-  
 535 ation were not included. Including shadowing effects is likely to cause a different initiation  
 536 of the flow (e.g. Lehner et al. 2011), a subject of future work.

### 537 3.2 Local-scale features

#### 538 3.2.1 Cold-air-pool evolution

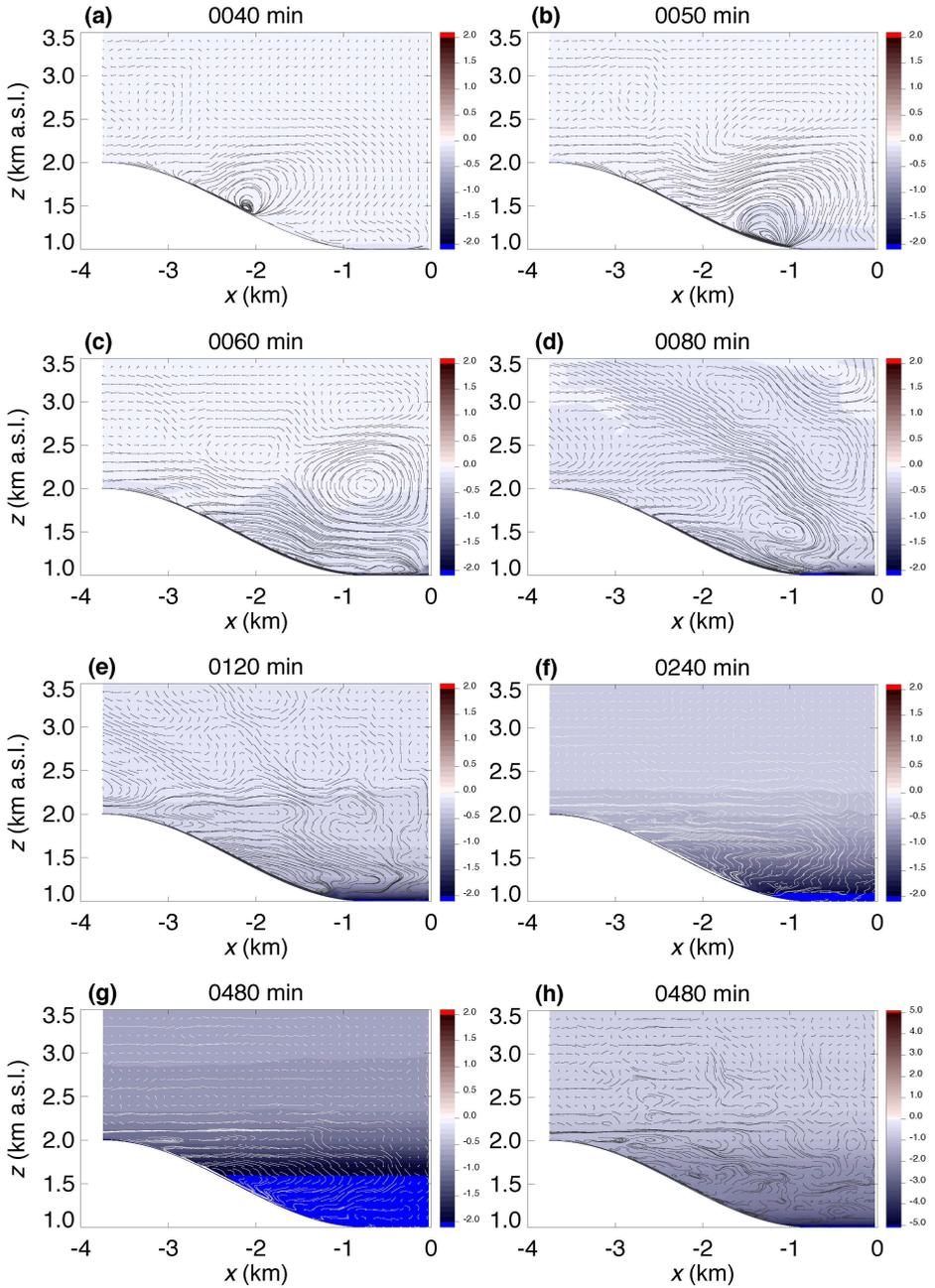
539 Details of the valley-atmosphere cooling are difficult to appreciate in the  $T$  or  $\theta_v$  fields,  
 540 due to the hydrostatic change of these quantities with  $z$ . However, both fields show the gen-  
 541 eral cooling and stabilization of the valley atmosphere as the night progresses. The  $T$  or  $\theta_v$   
 542 fields also indicate that in general the valley atmosphere cooling is horizontally homoge-  
 543 neous. This effect is also indicated by the  $\Delta\theta_v$  field and gives a clearer picture of the cooling  
 544 variation across the domain. Figure 7 displays filled contour plots of  $\langle \Delta\theta_v \rangle_y$  overlaid with  
 545 streamlines. The streamlines were created by tracing the paths of massless particles through  
 546  $\langle \mathbf{u}_{xz} \rangle_y$ , by time integration, where  $\mathbf{u}$  is the model wind field and  $\mathbf{u}_{xz} \equiv (u, w)$ . Each ‘particle’  
 547 was tracked from its seed point until the path left the input space or a maximum number of  
 548 iterations was reached. After adding arrows at the seed points to reveal flow direction, the  
 549 approach has the advantage of indicating the relative strength, direction and vorticity of the  
 550 flow, across the input space. The filled contours were created using a 5-m grid resolution,  
 551 justified above for the field averages, and the streamline seed points are positioned on the  
 552 Cartesian grid with the same origin, with  $\Delta x' = \Delta z' = 100 \text{ m}$ , placing a limit on the range  
 553 of turbulent scales that can be revealed in these particular plots. Nevertheless, it was found  
 554 that the displayed streamlines are a good representation of the streamlines generated from  
 555 a finer seed-point mesh. The streamline algorithm uses  $\langle \mathbf{u}_{xz} \rangle_y$  projected onto the 5-m grid  
 556 to track the ‘particle’ trajectories from the seed points.  $u$  is the dominant component of  $\mathbf{u}$ ,  
 557 which together with the implemented idealized terrain and initial conditions, suggests that  
 558 the major features of the flow exist in the  $(x, z)$  plane, and so the streamlines are a good

559 representation of the dominant flow features. To give an idea of the absolute magnitudes of  
 560 the flow in the following analysis, it should be noted that the established downslope flow has  
 561 a typical speed of approximately  $2 \text{ m s}^{-1}$ .

562 Close inspection of Fig. 7a reveals that the dark region immediately above the top half  
 563 of the western valley slope, no more than 50-m deep, is the region of maximum flow, which  
 564 hides a corresponding region of relatively large accumulated temperature decrease, with  
 565  $\langle \Delta \theta_v \rangle_y \approx -1 \text{ K}$ , compared to the surrounding atmosphere that has  $-0.1 < \langle \Delta \theta_v \rangle_y < 0 \text{ K}$ .  $\Delta \theta_v$   
 566 is negative everywhere at all times. Figures 7a and 7b reveal a propagating intensification  
 567 of the downslope flow, with a counter-clockwise vortex at the head of this flow, considering  
 568 a northerly oriented rotation axis. The downslope flow was found to exist, albeit to a lesser  
 569 degree, from within 5 min of  $t = 0$  (not shown). There is evidence of relatively large cooling  
 570 at the bottom of the valley at  $t = 40 \text{ min}$ , with  $-0.2 < \langle \Delta \theta_v \rangle_y < -0.1 \text{ K}$  within about 100 m  
 571 above ground level, and with  $\langle \Delta \theta_v \rangle_y \leq -2 \text{ K}$  within a few meters of the valley floor. This  
 572 cooling is due to a combination of radiation and dynamics effects. The intensification of  
 573 the downslope flow is shown to generally disturb the quiescent valley atmosphere, creating  
 574 further vortices away from the terrain, a general upward motion close to the valley axis,  
 575 which is to be expected due to mass conservation, as well as a movement of air towards  
 576 the slope behind the vortex at the head of the maximum flow region. Despite the variability  
 577 in the system, this latter counter-clockwise half-valley-scale circulation becomes a quasi-  
 578 permanent feature of the valley flow system. Figure 7c shows the beginning of the reflection  
 579 of the maximum flow region back towards the bottom of the slope, after colliding with the  
 580 fluid from the eastern slope. A small-scale eddy about 100-m across, close to the centre of  
 581 the valley, indicates the presence of turbulence in a shallow region less than 100-m deep.

582 Soon after  $t = 60 \text{ min}$  the signature of internal gravity waves (IGWs) becomes clear, in  
 583 and above the valley atmosphere (see Fig. 7d), which supports the evidence of IGWs reported  
 584 in the Appendix. The general direction of the wave vector  $\langle \mathbf{k}_{xz} \rangle_y$ , where  $\mathbf{k}_{xz} \equiv (k_x, k_z)$ , at this  
 585 time, is clear, revealed by the upward and downward streamline regions, with  $\langle \mathbf{k}_{xz} \rangle_y$  directed  
 586 westwards to allow for an upward energy propagation. The streamlines indicate that  $\langle \mathbf{k}_{xz} \rangle_y$   
 587 makes an angle of about  $30^\circ$  with the vertical, which agrees with  $0.88 < \langle \omega' / N \rangle_{xz} < 0.92$   
 588 (see the Appendix), and that  $2\pi / \langle k_z \rangle_y = \langle \lambda_z \rangle_y \approx 1 \text{ km}$ , which are very similar to the results  
 589 of Chemel et al. (2009) and LARGERON et al. (2013), and supports their finding that  $\lambda_z$  is set  
 590 by the depth of the topography. An interesting feature of the flow are the vortices between  
 591 the regions of upward and downward motions. A full description of the IGW field is beyond  
 592 the scope of this work.

593 Figure 7d also shows the further retreat of the maximum flow region back towards the  
 594 bottom of the slope, which leaves behind it a region of relatively large  $|\langle \Delta \theta_v \rangle_y|$  air, indicating  
 595 the importance of the downslope flow for the valley bottom cooling in the early night. The  
 596 downslope flow intensification mixes the region of large  $|\langle \Delta \theta_v \rangle_y|$  at the bottom of the valley  
 597 higher into the atmosphere. By  $t = 120 \text{ min}$  (see Fig. 7e), the maximum flow region has re-  
 598 treated further, with a clear deflection of the downslope flow, close to the bottom of the slope,  
 599 as it comes into contact with air of a similar or greater density. Figures 7f and 7g show the  
 600 further growth of the CAP<sub>h</sub> and subsequent retreat of the downslope flow maximum region  
 601 back up the western slope. The streamlines in these latter plots were made white for clarity,  
 602 however, the apparent loss of the IGW signature is deceiving. From  $t = 120 \text{ min}$  onwards,  
 603 streamlines run westward beginning close to the centre of the valley in a near-horizontal re-  
 604 gion approximately 100-m deep, positioned about 100 m above the plateau height (see Fig. 7f  
 605 and 7g), and develop together with a valley atmosphere capping inversion (not shown). This  
 606 flow feature is linked to the quasi-permanent counter-clockwise flow system noted above.



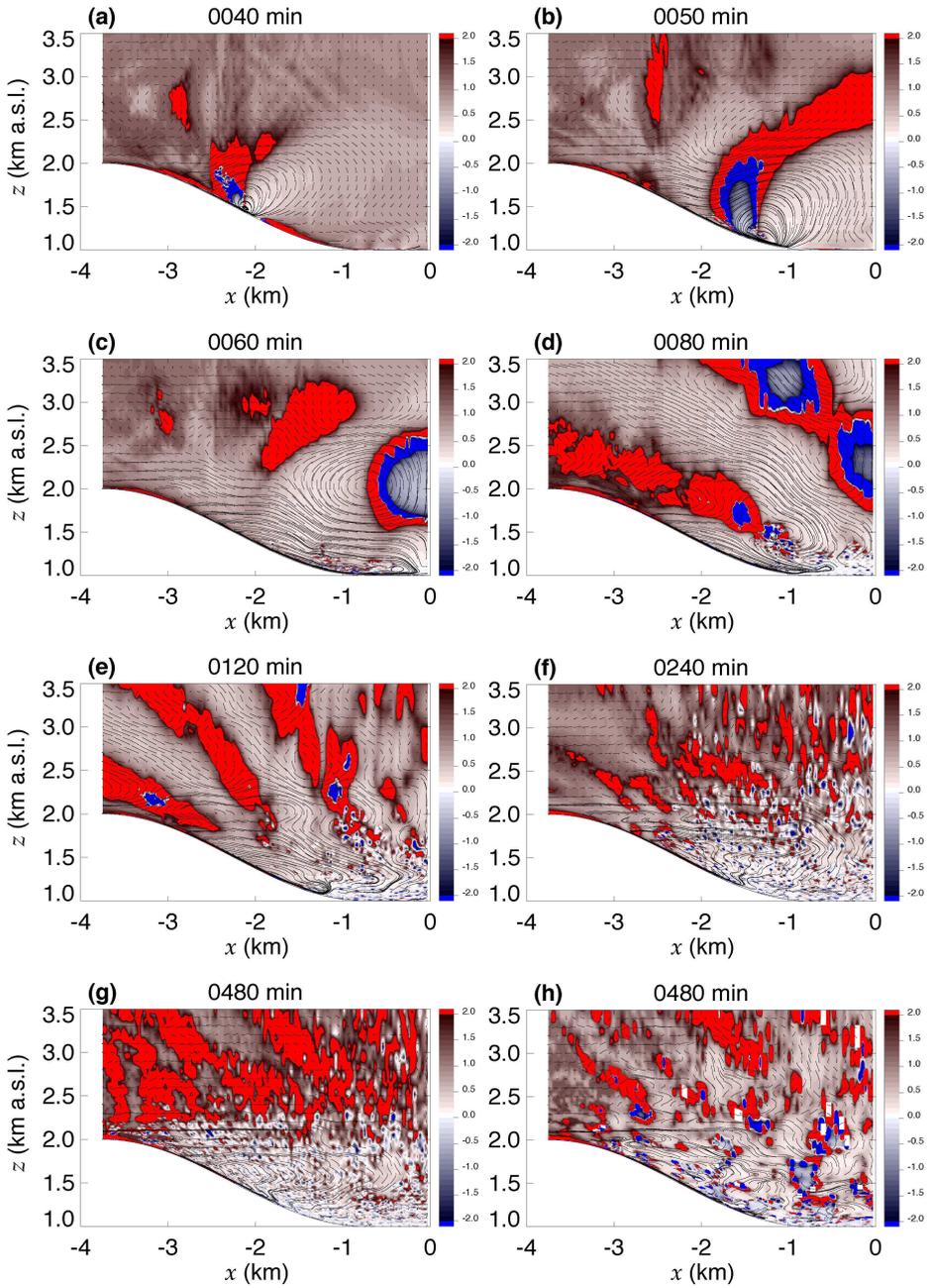
**Fig. 7** Contour plots of (a) to (g)  $\langle \Delta \theta_v \rangle_y$  (in K), with solid black or white streamlines over-plotted at  $t = 40, 50, 60, 80, 120, 240$  and  $480$  min, and (h)  $\Delta \theta_v$  (in K) taken half-way along the  $y$ -dimension at  $t = 480$  min.

607 The return flow above the downslope flow over the bottom half of the slope is clear  
 608 in Fig. 7f, revealed by the S-shaped streamlines adjacent to the ground. There is evidence  
 609 of flow separation above the developing  $CAP_h$  during the early night (see Fig. 7d to 7f),  
 610 though the feature is difficult to see later in the night, when there is clear evidence of flow  
 611 penetration into the developing  $CAP_h$  (see Fig. 7g). The colour scale in Fig. 7a through 7g  
 612 was chosen to make clear the development of the  $CAP_h$ , however, the detail of the cooling at  
 613 the very bottom of the valley is lost after  $t = 120$  min. Figure 7h indicates the relatively large  
 614 cooling effect within the first 100 m of the valley bottom, compared to the atmosphere above,  
 615 the effect intensifying as the night progresses. Streamlines for an  $(x, z)$  slice of the domain,  
 616 taken half-way along the  $y$ -dimension, reveals the localized variability in the dynamics along  
 617  $y$ . The dominant flow features are still apparent. However, the turbulent nature of the flow is  
 618 more clear, and it would be interesting to investigate further the exact mixing characteristics  
 619 of the valley atmosphere, as well as the ability of the valley-flow system to mix scalars into  
 620 the free atmosphere.

### 621 3.2.2 Cold-air-pool forcing mechanisms

622 Figure 8 shows contour plots of  $\langle R_r/R \rangle_y$ , with streamlines over-plotted, as above.  $R$  is found  
 623 to have both signs, whereas,  $R_r$  is always negative, with the exception of a few rare cases  
 624 of radiative heating at the very bottom of the valley atmosphere (not shown). As pointed  
 625 out above, the air temperatures are always less than at  $t = 0$ , despite the occasional heating  
 626 rate. This means that, in general,  $\langle R_r/R \rangle_y > 1$  corresponds to a cooling atmosphere due to  
 627 radiative processes, despite heating from the dynamics, and  $\langle R_r/R \rangle_y < 0$  corresponds to a  
 628 heating atmosphere due to the dynamics overcoming radiative cooling. A clockwise circulat-  
 629 ing vortex, with rotation axis into the page, can be seen in Fig. 8a, centered at approximately  
 630  $(x = -3.1 \text{ km}, z = 2.75 \text{ km})$ . The region of bright red colour on the eastern edge of this vor-  
 631 tex corresponds to  $\langle R_r/R \rangle_y > 1$ , and the streamlines suggest this is caused by the downward  
 632 advection of air from about  $z = 3 \text{ km}$ .  $\Gamma$  is less than the dry adiabatic rate, denoted by  $\Gamma_d$ ,  
 633 everywhere at  $t = 0$ , and in this region  $\Gamma$  decreases slightly with time, however, downward  
 634 advected parcels of air will experience compressional warming at  $\Gamma_d$ , since there is no liq-  
 635 uid water in the atmosphere. This will result in warmer parcels displacing cooler ones and  
 636  $\langle R_d \rangle_y > 0$ . Evidently the heating from the dynamics is not large enough to overcome the  
 637 radiative cooling in this case. The opposite effect can be seen on the western side of the vor-  
 638 tex where,  $0 < \langle R_r/R \rangle_y < 1$ , due to  $\langle R_d \rangle_y < 0$ , due to the expansion and cooling of parcels  
 639 as they rise higher through the atmosphere, adding to the radiative cooling. The patterns in  
 640  $R$  generally correspond to those in  $R_d$ , which is expected given the uniformity of  $R_r$  (not  
 641 shown).  $R_d < 0$  corresponds to enhanced total cooling, whereas  $R_d > 0$  corresponds to re-  
 642 duced total cooling or a warming (that is  $R > 0$ ). As well as the absence of liquid water,  
 643 these compressional effects rely on  $\Gamma < \Gamma_d$  (i.e., a stable atmosphere), and overturning and  
 644 mixing is implied whenever  $\Gamma > \Gamma_d$ , which occurs close to the ground at times towards the  
 645 valley bottom (not shown).  $\Gamma$  is near constant in space and time above  $z = 2.5 \text{ km}$ , where the  
 646 main cause of cooling variability is reversible compression effects, potentially affected by  
 647 the horizontal advection of air. Below  $z = 2.5 \text{ km}$ , where the dynamics is controlled by the  
 648 downslope flows, the sources of cooling variability are more complex, as further explained  
 649 below.

650 The ‘blue’ region positioned mainly behind the largest vortex, at the front of the downs-  
 651 lobe flow maximum region, indicating  $\langle R \rangle_y > 0$  and  $\langle R_d \rangle_y > 0$ , is likely caused, at least in  
 652 part, by compressional effects, as above. The ‘blue’ region corresponds to the area where  
 653 the streamlines indicate the maximum downward transport of air. Close inspection of the



**Fig. 8** Contour plots of (a) to (g)  $\langle R_r/R \rangle_y$ , with black streamlines over-plotted at  $t = 40, 50, 60, 80, 120, 240$  and  $480$  min, and (h)  $R_r/R$  taken half-way along the  $y$ -dimension at  $t = 480$  min.

654 field immediately above the valley floor reveals that the enhanced cooling here, noted above,  
 655 is due to a combination of radiation and dynamics effects. Figures 8b and 8c correspond  
 656 roughly to the times of minimum and maximum  $\langle R_r/R \rangle_{va}$ , during the period of instability,  
 657 shown in Fig. 5a, at  $t = 47$  and  $57$  min, with values of 35 and 191 %, respectively. The cause  
 658 of these extreme values is now clear. Considering the valley atmosphere only, Fig. 8b shows  
 659 a greater upward transport of air together with a larger ‘blue’ region, compared to Fig. 8c,  
 660 where the streamlines have been generally tilted towards the horizontal and the ‘blue’ region,  
 661 carried with the flow, has been partly forced upwards and out of the valley atmosphere by  
 662 the colliding opposite flows. The relatively intense upward motion occurs when the downs-  
 663 lope flow intensification reaches the bottom of the slope. The situation is perhaps similar  
 664 to the minimum 9 % radiative cooling rate contribution found by Hoch et al. (2011) during  
 665 a midnight air-intrusion into the Arizona meteor crater. Interestingly, the time of minimum  
 666  $\langle R_r/R \rangle_{va}$ , during the period of instability, occurs only 5 min before the time of maximum  
 667  $CAPi_{h,max}$ .

668 The large changes in  $\langle R_r/R \rangle_y$  occurring over small distances adjacent to the valley floor,  
 669 at  $t = 60$  min, in general, are well correlated with nearby unstable air, which complements  
 670 the evidence of turbulence in this region provided by the small-scale eddy, noted above. An  
 671 animation of an  $(x, z)$  slice of  $R_r/R$ , taken half-way along  $y$ , reveals that the smallest of these  
 672 turbulent features generally originate from the front of the downslope flow maximum region  
 673 and are transported down the slopes towards the valley centre. This effect is not clear in  
 674  $\langle R_r/R \rangle_y$ , after approximately  $t = 80$  min (when the variability across  $y$  increases), due to the  
 675 averaging operation, which makes the analysis of small-scale features difficult. Figures 8d  
 676 and 8e show clearly that  $\langle \mathbf{k}_{xz} \rangle_y$  tilts towards the ground as the waves move closer to the  
 677 plateau, which agrees well with the analysis of  $\langle \omega'/N \rangle_{xz}$ , also revealed in the patterns of  
 678  $\omega'$  (see the Appendix). The thermodynamics effects of the IGWs are clear, with regions of  
 679  $\langle R_d \rangle_y > 0$  occurring in the downward streamline regions of the waves, caused by counter-  
 680 rotating vortices between the upward streamline regions, where  $\langle R_d \rangle_y < 0$ . Close inspection  
 681 of the  $(x, z)$  slices, as above, for all time, has revealed a general westward movement of  
 682 regions with reduced cooling, or  $\langle R \rangle_y > 0$ , that are inter-spaced by regions of enhanced  
 683 cooling, over the top half of the slope. Analysis of the streamlines indicates that in many  
 684 cases these features are caused by westward propagating vortices, together with their associ-  
 685 ated compressional effects, as explained above. The instances where no vortex can be found  
 686 reveal the occurrence of near-laminar advective effects. The westward transport of heating  
 687 effects and vortices is caused by the quasi-permanent anti-clockwise circulation, and shal-  
 688 low region of near-horizontal streamlines close to the plateau height. Many of the heating  
 689 features are absorbed into the downslope flow region. Higher above the plateaus there is also  
 690 an apparent westward movement of compressional heating and cooling regions, but in fact  
 691 this effect is due to the propagating IGWs that modulate the flow. Figures 8f and 8h provide  
 692 some evidence of these effects. Figure 8h is for an  $(x, z)$  slice, providing a representation  
 693 of the turbulent flow field, as well as suggesting the continued presence of IGWs above the  
 694 valley atmosphere at the end of the simulated period. Figures 8d through 8g make clear the  
 695 dominance of cooling by the dynamics within the developing  $CAP_h$  (see Fig. 7). Both radi-  
 696 ation and dynamics effects appear to be important for the upward expansion of  $CAP_h$ . The  
 697 general existence of relatively small-scale effects above the  $CAP_h$  suggests that the cold-air-  
 698 pooling processes cause an interaction between the valley air and the free atmosphere above,  
 699 although the degree of this effect remains unclear.

700 The minimum  $R_r$  within the valley atmosphere is  $-3.19 \text{ K hr}^{-1}$ , occurring immediately  
 701 adjacent to the ground. Generally, the greatest cooling in  $R_r$  is adjacent to the ground, with

702  $R_r$  decreasing steadily with distance from the surface (not shown). In comparison, Hoch  
 703 et al. (2011) found a maximum cooling rate of  $-1.25 \text{ K hr}^{-1}$  close to the ground surface.

#### 704 4 Summary

705 The purpose of this work was to unravel the physical processes controlling cold-air pools  
 706 in complex terrain. For this purpose, the WRF numerical model was used to examine the  
 707 variation of key cold-air-pooling forcing mechanisms in an idealized alpine-valley domain  
 708 with a width of order 10 km under decoupled stable conditions.

709 The total average valley-atmosphere cooling results from a complex balance/interplay  
 710 between radiation and dynamics effects. There are three fairly distinct regimes in the evo-  
 711 lution of cold-air-pooling processes. Starting about 1 hr before sunset, there is an initial  
 712 30-min period when the downslope flows are initiated and longwave radiation almost com-  
 713 pletely dominates the cooling. A period of instability follows, when there is a competition  
 714 between radiation and dynamics effects, lasting some 90 min. Finally, there is a gradual re-  
 715 duction of the contribution of radiative cooling to total average valley-atmosphere cooling,  
 716  $\langle R_r/R \rangle_{va}$ , from 75 % to a final contribution of 37 %. The maximum cold-air-pool intensi-  
 717 ty corresponds to the time when cooling by radiation effects is at a minimum, within the  
 718 period of instability. The initial heating effect by the dynamics and the subsequent time it  
 719 takes for the dynamics effects to cool the valley atmosphere, together with broadly similar  
 720 rates of cooling from radiation and dynamics, once the flow is established, results in  
 721  $\langle \Delta \theta_{vd}/\Delta \theta_v \rangle_{va} < \langle \Delta \theta_{vr}/\Delta \theta_v \rangle_{va}$ .

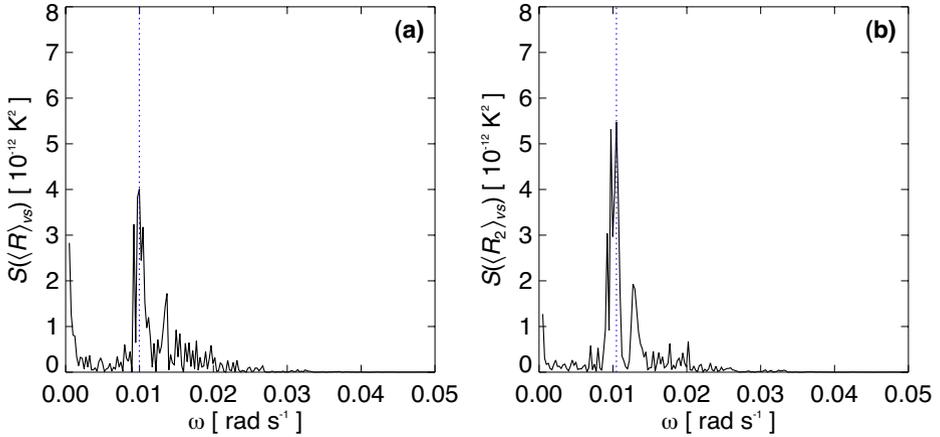
722 Further work is needed to investigate further the generality of this result, for exam-  
 723 ple, by varying the initial conditions. The simulation average is approximately 64 % for  
 724  $\langle \Delta \theta_{vr}/\Delta \theta_v \rangle_{va}$ , and 56 % for the period of gradual decline. For the latter time period, Hoch  
 725 et al. (2011) found a value of about 30 % for  $\langle \Delta \theta_{vLW}/\Delta \theta_v \rangle_{va}$ . One possible explanation of  
 726 the difference is the overestimation of radiative heat loss by the one-dimensional radiative  
 727 transfer scheme used for this work, even though the effects of different terrain geometries  
 728 and initial conditions considered by the two studies can not be ruled out.

729 Some of the intricacies of the valley mixing have been revealed. There are places where  
 730 the dynamics dominate the cooling and radiation effects are minor.

731 Internal gravity waves have been identified in and above the valley atmosphere. An anal-  
 732 ysis of  $\omega'$  complements the work of Chemel et al. (2009) and LARGERON et al. (2013). It has  
 733 been found that  $0.88 < \langle \omega'/N \rangle_{xz} \approx \langle \omega' \rangle_{xz}/\langle N \rangle_{xz} < 0.92$  for  $-2.25 \leq x \leq 2.25 \text{ km}$ ,  $2.5 \leq z \leq$   
 734  $3.5 \text{ km a.s.l.}$ , and  $0.80 < \langle \omega'/N \rangle_{xz} \approx \langle \omega' \rangle_{xz}/\langle N \rangle_{xz} < 0.835$  for  $-3.75 \leq x \leq 3.75 \text{ km}$ , with  
 735 the same  $z$  range. The difference is caused by lower values of  $\omega'$  above the top of the valley  
 736 slopes, associated with a tilting of the wave vector towards the ground as the waves approach  
 737 the plateaus, also apparent in the streamlines. The ratios decrease with  $t$ , as  $N$  increases, in  
 738 agreement with the findings of LARGERON et al. (2013).

#### 739 Appendix

740 It is clear that  $\langle R \rangle_{vs}$  satisfies the Dirichlet conditions, and so a Fourier series will converge  
 741 to the signal. A fast Fourier transform (FFT) of form  $g_\omega = 1/N_p \sum_{n=0}^{N_p-1} f_n e^{i2\pi\omega n/N_p}$ , with  
 742  $\omega = 0, \dots, N_p - 1$ , was applied to  $\langle R \rangle_{vs}$ , taken half-way along  $y$ , after  $t = 60 \text{ min}$ , where  $\omega$   
 743 is the discrete set of angular frequencies, and  $N_p$  the number of points in the discrete time  
 744 series  $f_n$ . Figure 9a reveals that the harmonic with  $\omega$  close to  $0.01 \text{ rad s}^{-1}$ , a period close to

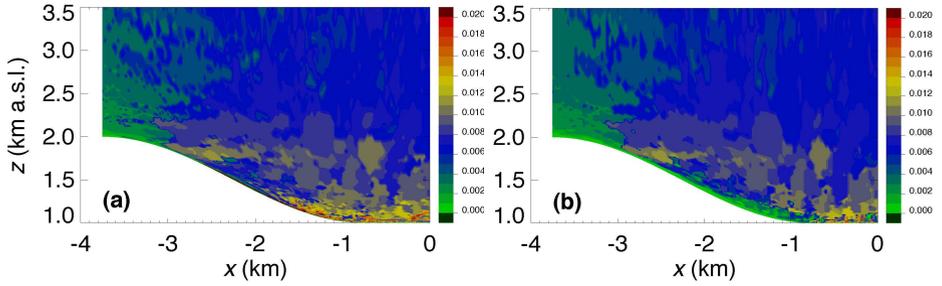


**Fig. 9** Spectrum of the time series, after  $t = 60$  min, of (a)  $\langle R \rangle_{vs}$  and (b)  $\langle R_2 \rangle_{vs}$ , taken half-way along  $y$ , where the vertical dotted lines mark the frequencies with the largest amplitude in the spectrum (see text for details).

745 10.48 min (see Fig. 4a), dominated the signal, with  $2 |g_\omega|^2 = S(\langle R \rangle_{vs}) = 4.01 \cdot 10^{-12} \text{ K}^2$ . The  
 746 zero frequency, the signal mean, was removed, as was the fundamental frequency, which is  
 747 statistically not well defined and otherwise dominated the signal. The FFT normalizes  $g_\omega$   
 748 by  $N_p$ , in order that Parseval's theorem applies, that is, in discrete form,  $1/N_p \sum_{n=0}^{N_p-1} |f_n|^2 =$   
 749  $\sum_{\omega=0}^{N_p-1} |g_\omega|^2$ , where the total energy of the signal is the same in both the real and phase-space  
 750 domains. For a real signal  $\sum_{\omega=0}^{N_p-1} |g_\omega|^2 = \sum_{\omega=0}^{N_p/2-1} 2 |g_\omega|^2$ . An in-depth spectral analysis is  
 751 beyond the scope of this work, however, it is reasonable to argue that the dominant peak,  
 752 found above, is the signature of internal gravity waves (IGWs). It was confirmed that the  
 753 oscillations in  $\langle R \rangle_{vs}$  are caused by the dynamics and not radiative processes (see Sect. 3.1.2).

754 All points in the  $\partial\theta_v/\partial t$  field within 100 m of the sloping valley sidewalls were then  
 755 removed and  $\langle \rangle_{vs}$  was applied to the resulting field, in the same way as for  $\langle \partial\theta_v/\partial t \rangle_{vs}$ ,  
 756 which provided a time series, denoted by  $\langle R_2 \rangle_{vs}$ , free from any signature of an oscillating  
 757 downslope flow. Figure 9b displays the above FFT applied to  $\langle R_2 \rangle_{vs}$ , which, when com-  
 758 pared to Fig. 9a, shows that the oscillations in  $\langle R \rangle_{vs}$  are likely the result of IGWs propaga-  
 759 ting through the stable valley atmosphere. Llargeron et al. (2013) demonstrated that it is the  
 760 unstable and/or oscillatory downslope flow that initiates the IGWs. Further investigation,  
 761 using the dominant frequency identified above, denoted by  $\omega'_{\langle R_2 \rangle_{vs}}$ , where  $\omega'$  denotes the  
 762 frequency with the largest amplitude in the spectrum of a time series, considering all times  
 763 after  $t = 60$  min, is difficult since  $\langle R_2 \rangle_{vs}$  does not provide an accurate representation of the  
 764 wave field (not shown). The problem is due to the averaging operation rather than the chosen  
 765 proxy variable, which is representative of the wave field for positions above 200 m from the  
 766 terrain surface (see Fig. 10). The symmetry of the terrain and initial conditions makes the  
 767 model output qualitatively symmetric about the valley axis, and therefore only the western  
 768 side of the valley atmosphere is presented to make clear any features of interest.

769 An initial analysis of  $\omega'$  across an  $(x, z)$  slice taken half-way along  $y$  (see Fig. 10a)  
 770 indicates that the wave field within the valley atmosphere is non-uniform. The reasons be-  
 771 hind this heterogeneity have not been fully quantified, but seem likely to include wave-  
 772 wave interactions (Llargeron et al. 2013), which strictly precludes the use of IGW linear  
 773 theory in this region, as well as the use of a single representative  $\omega'$  for the valley atmo-



**Fig. 10** Contour plots of  $\omega'$  (in  $\text{rad s}^{-1}$ ), the frequency with the largest amplitude in the spectrum of the time series, after  $t = 60$  min, of (a)  $\partial\theta_v/\partial t$  and (b)  $w$ , across an  $(x, z)$  slice taken half-way along  $y$ .

sphere.  $\omega'$ , as defined above, is not required to be a clearly dominant frequency, and an initial analysis indicates that the dominance of  $\omega'$  is less clear wherever there is a relatively large and rapid spatial change in  $\omega'$  (not shown). It is interesting that the waves in the valley atmosphere, above 200 m from the terrain surface, are restricted to approximately  $0.005 \leq \omega' \leq 0.014 \text{ rad s}^{-1}$ . The wave field above  $z = 2.5 \text{ km a.s.l.}$  (i.e., 500 m above the plateaus), and for  $-2.25 \leq x \leq 2.25 \text{ km}$  (between the slope inflection points), is quasi-monochromatic (see Fig. 10a), which permits the use of a single representative  $\omega'$ . Using a similar model set-up to that used here, Chemel et al. (2009) found  $\omega'/N_0 \approx 0.8\text{--}0.9$  at two locations a few hundred metres above the valley atmosphere, where  $N_0$  is the Brunt-Väisälä frequency at  $t = 0$ . The sensitivity study by Largeron et al. (2013) extended the work by Chemel et al. (2009) and found  $0.8 < \langle \omega' \rangle_y / N_0 < 0.9$  for a similar location above the valley atmosphere, where  $\langle \rangle_y$  indicates an average across  $y$ . These results were found to correspond to IGWs radiated by any turbulent field with no dominant frequency component. For the model set-up used here,  $N$  is near-constant in space above 2.5 km a.s.l., for the full simulated period (not shown), resulting in  $0.88 < \langle \omega' / N \rangle_{xz} \approx \langle \omega' \rangle_{xz} / \langle N \rangle_{xz} < 0.92$ , with the ratio decreasing with  $t$  as  $N$  increases slightly. The averages were made across  $-2.25 \leq x \leq 2.25 \text{ km}$ ,  $2.5 \leq z \leq 3.5 \text{ km a.s.l.}$ , where the upper  $z$  limit was chosen to lie well below the Rayleigh damping layer at 8 km a.s.l. Largeron et al. (2013) also found the ratio to generally decrease with increasing  $N$ . Extending the  $x$  range to include the regions of lower  $\omega'$  above the top of each slope, with  $-3.75 \leq x \leq 3.75 \text{ km}$ , gives  $0.80 < \langle \omega' / N \rangle_{xz} \approx \langle \omega' \rangle_{xz} / \langle N \rangle_{xz} < 0.835$ . The two ranges of  $\langle \omega' / N \rangle_{xz}$  correspond reasonably well with those reported by Chemel et al. (2009) and Largeron et al. (2013).

## References

- Anquetin S, Guilbaud C, Chollet JP (1998) The formation and destruction of inversion layers within a deep valley. *J Appl Meteorol* 37:1547–1560
- Anquetin S, Guilbaud C, Chollet JP (1999) Thermal valley inversion impact on the dispersion of a passive pollutant in a complex mountainous area. *Atmos Environ* 33:3953–3959
- Brulfert C, Chemel C, Chaxel E, Chollet JP (2005) Modelling photochemistry in alpine valleys. *Atmos Chem Phys* 5:2341–2355
- Catalano F, Cenedese A (2010) High-resolution numerical modeling of thermally driven slope winds in a valley with strong capping. *J Appl Meteorol Climatol* 49:1859–1880
- Chazette P, Couvert P, Randriamiarisoa H, Sanak J, Bonsang B, Moral P, Berthier S, Salanave S, Toussaint F (2005) Three-dimensional survey of pollution during winter in French Alps valleys. *Atmos Environ* 39:1035–1047

- 808 Chemel C, Staquet C, Llargeron Y (2009) Generation of internal gravity waves by a katabatic wind in an  
809 idealized alpine valley. *Meteorol Atmos Phys* 103:187–194
- 810 Chen F, Dudhia J (2001) Coupling an advanced land-surface/hydrology model with the Penn State/NCAR  
811 MM5 modeling system. Part I: model implementation and sensitivity. *Mon Weather Rev* 129:569–585
- 812 Chen F, Zhang Y (2009) On the coupling strength between the land surface and the atmosphere: From view-  
813 point of surface exchange coefficients. *Geophys Res Lett* 36:L10,404, DOI 10.1029/2009GL037980
- 814 Cheng Y, Brutsaert W (2005) Flux-profile relationships for wind speed and temperature in the stable atmo-  
815 spheric boundary layer. *Boundary-Layer Meteorol* 114:519–538
- 816 Daly C, Conklin DR, Unsworth MH (2010) Local atmospheric decoupling in complex topography alters  
817 climate change impacts. *Int J Climatol* 30:1857–1864
- 818 Deardorff JW (1980) Stratocumulus-capped mixed layers derived from a three-dimensional model. *Boundary-  
819 Layer Meteorol* 18:495–527
- 820 Doran JC, Horst TW (1983) Observations and models of simple nocturnal slope flows. *J Atmos Sci* 40:708–  
821 717
- 822 Droulia F, Lykoudis S, Tsiros I, Alvertos N, Akylas E, Garofalakis I (2009) Ground temperature estimations  
823 using simplified analytical and semi-analytical approaches. *Solar Energy* 83:211–219
- 824 Dudhia J (1989) Numerical study of convection observed during the winter monsoon experiment using a  
825 mesoscale two-dimensional model. *J Atmos Sci* 46:3077–3107
- 826 Dudhia J (1995) Reply. *Mon Weather Rev* 123:2573–2575
- 827 Fairall CW, Bradley EF, Rogers DP, Edson JB, Young GS (1996) Bulk parameterization of air-sea fluxes for  
828 Tropical Ocean Global Atmosphere Coupled-Ocean Atmosphere Response Experiment. *J Geophys Res*  
829 101:3747–3764
- 830 Green FHW, Harding RJ (1979) The effects of altitude on soil temperature. *Meteorol Mag* 108:81–91
- 831 Green FHW, Harding RJ (1980) Altitudinal gradients of soil temperatures in Europe. *Trans Inst Br Geogr*  
832 5:243–254
- 833 Grisogono B, Kraljevic L, Jericevic A (2007) The low-level katabatic jet height versus Monin-Obukhov  
834 height. *Q J Roy Meteorol Soc* 133:2133–2136
- 835 Gustavsson T, Karlsson M, Bogren J, Lindqvist S (1998) Development of temperature patterns during clear  
836 nights. *J Appl Meteorol* 37:559–571
- 837 Helmig CG, Papadopoulos KH (1996) Some aspects of the variation with time of katabatic flow over simple  
838 slope. *Q J Roy Meteorol Soc* 122:595–610
- 839 Hillel D (1982) *Introduction to Soil Physics*. Academic Press, New York, NY, USA, 364 pp
- 840 Hoch SW, Whiteman DC, Mayer B (2011) A systematic study of longwave radiative heating and cooling  
841 within valleys and basins using a three-dimensional radiative transfer model. *J Appl Meteorol Climatol*  
842 50:2473–2489
- 843 Iacono MJ, Delamere JS, Mlawer EJ, Shephard MW, Clough SA, Collins WD (2008) Radiative forcing  
844 by long-lived greenhouse gases: calculations with the AER radiative transfer models. *J Geophys Res*  
845 113:D13,103, DOI 10.1029/2008JD009944
- 846 Jiménez PA, Dudhia J, Gonzalez-Rouco JF, Navarro J, Montávez JP, García-Bustamante E (2012) A revised  
847 scheme for the WRF surface layer formulation. *Mon Weather Rev* 140:898–918
- 848 Klemp JB, Dudhia J, Hassiotis AD (2008) An upper gravity-wave absorbing layer for NWP applications.  
849 *Mon Weather Rev* 136:3987–4004
- 850 Lacis AA, Hansen JE (1974) A parameterization for the absorption of solar radiation in the Earth's atmo-  
851 sphere. *J Atmos Sci* 31:118–133
- 852 Llargeron Y, Staquet C, Chemel C (2013) Characterization of oscillatory motions in the stable atmosphere of  
853 a deep valley. *Boundary-Layer Meteorol* 148:439–454
- 854 Lehner M, Whiteman D, Hoch SW (2011) Diurnal cycle of thermally driven cross-basin winds in Arizona's  
855 meteor crater. *J Appl Meteorol Climatol* 50:729–744
- 856 Lundquist KA (2010) Immersed boundary methods for high-resolution simulation of atmospheric boundary-  
857 layer flow over complex terrain. PhD thesis, University of California, Berkeley, CA, USA, 159 pp
- 858 Mahrer Y (1984) An improved numerical approximation of the horizontal gradients in a terrain-following  
859 coordinate system. *Mon Weather Rev* 112:918–922
- 860 Mansell ER, Ziegler CL, Bruning EC (2010) Simulated electrification of a small thunderstorm with two-  
861 moment bulk microphysics. *J Atmos Sci* 67:171–194
- 862 Mayer B (2009) Radiative transfer in the cloudy atmosphere. *Eur Phys J Conf* 1:75–99
- 863 Mayer B, Kylling A (2005) The libRadtran software package for radiative transfer calculations: Description  
864 and examples of use. *Atmos Chem Phys* 5:1855–1877
- 865 Moeng CH, Dudhia J, Klemp J, Sullivan P (2007) Examining two-way grid nesting for large eddy simulation  
866 of the PBL using the WRF model. *Mon Weather Rev* 135:2295–2311
- 867 Nachabe MH (1998) Refining the definition of field capacity in the literature. *J Irrigat Drain Eng* 124:230–232

- 868 Noppel H, Fiedler F (2002) Mesoscale heat transport over complex terrain by slope winds – a conceptual  
869 model and numerical simulations. *Boundary-Layer Meteorol* 104:73–97
- 870 Oke TR (1987) *Boundary Layer Climates*. Routledge, London, UK, London, UK, 464 pp
- 871 Price JD, Vosper S, Brown A, Ross A, Clark P, Davies F, Horlacher V, Claxton B, McGregor JR, Hoare JS,  
872 Jemmett-Smith B, Sheridan P (2011) COLPEX: field and numerical studies over a region of small hills.  
873 *Bull Am Meteorol Soc* 92:1636–1650
- 874 Rowell DL (1994) *Soil Science: Methods & Applications*. Prentice Hall, Upper Saddle River, NJ, USA,  
875 350 pp
- 876 Scotti A, Meneveau C, Lilly DK (1993) Generalized Smagorinsky model for anisotropic grids. *Phys Fluids*  
877 5:2306–2308
- 878 Sheridan PF, Vosper SB, Brown AR (2013) Characteristics of cold pools observed in narrow valleys and  
879 dependence on external conditions. *Q J Roy Meteorol Soc* DOI 10.1002/qj.2159, in press
- 880 Shu CW (2003) High-order finite difference and finite volume WENO schemes and discontinuous Galerkin  
881 methods for CFD. *Int J Comput Fluid Dyn* 17:107–118
- 882 Skamarock WC, Klemp JB, Dudhia J, Gill DO, Barker DM, Duda MG, Huang XY, Wang W, Powers JG  
883 (2008) A Description of the Advanced Research WRF Version 3. NCAR Technical Note NCAR/TN-  
884 475+STR, NCAR, Boulder, CO, USA, 125 pp
- 885 Skillingstad ED (2003) Large eddy simulation of katabatic flows. *Boundary-Layer Meteorol* 106:217–243
- 886 Smith CM, Skillingstad ED (2005) Numerical simulation of katabatic flow with changing slope angle.  
887 *Mon Weather Rev* 133:3065–3080
- 888 Smith SA, Brown AR, Vosper SB, Murkin PA, Veal AT (2010) Observations and simulations of cold air  
889 pooling in valleys. *Boundary-Layer Meteorol* 134:85–108
- 890 Stephens GL (1978) Radiation profiles in extended water clouds. Part II: Parameterization schemes. *J At-  
891 mos Sci* 35:2123–2132
- 892 Szintai B, Kaufmann P, Rotach MW (2010) Simulation of pollutant transport in complex terrain with a numer-  
893 ical weather prediction–particle dispersion model combination. *Boundary-Layer Meteorol* 137:373–396
- 894 Thompson BW (1986) Small-scale katabatics and cold hollows. *Weather* 41:146–153
- 895 Vinokur M (1980) On One-Dimensional Stretching Functions for Finite-Difference Calculations. NASA Con-  
896 tractor Report 3313, NASA, Washington, DC, USA, 56 pp
- 897 Vosper SB, Brown AR (2008) Numerical simulations of sheltering in valleys: the formation of nighttime  
898 cold-air pools. *Boundary-Layer Meteorol* 127:429–448
- 899 Vosper SB, Hughes JK, Lock AP, Sheridan PF, Ross AN, Jemmett-Smith B, Brown AR (2013) Cold-pool  
900 formation in a narrow valley. *Q J Roy Meteorol Soc* DOI 10.1002/qj.2160, in press
- 901 Whiteman CD (2000) *Mountain Meteorology: fundamentals and applications*. Oxford University Press, New  
902 York, NY, USA, 355 pp
- 903 Zardi D, Whiteman CD (2013) Diurnal mountain wind systems. In: Chow FK, De Wekker SFJ, Snyder BJ  
904 (eds) *Mountain Weather Research and Forecasting: Recent Progress and Current Challenges*, Springer  
905 Atmospheric Sciences, Springer, New York, NY, USA, chap 2, pp 35–119