Coulomb suppression of the stellar enhancement factor

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It is commonly assumed that reaction measurements for astrophysics should be preferably performed in the direction of positive Q value to minimize the impact of the stellar enhancement factor, i.e. the difference between the laboratory rate and the actual stellar rate. We show that the stellar effects can be minimized in the charged particle channel, even when the reaction Q value is negative. As a demonstration, the cross section of the astrophysically relevant ⁸⁵Rb(p,n)⁸⁵Sr reaction has been measured by activation between $2.16 \leq E_{c.m.} \leq 3.96$ MeV and the astrophysical reaction rate for (p,n) as well as (n,p) is directly inferred from the data. The presented arguments are also relevant for other α - and proton-induced reactions in the p and rp processes. Additionally, our results confirm a previously derived modification of a global optical proton potential.

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Introduction. Modern nucleosynthesis studies require large reaction networks, often including hundreds and thousands of nuclei and their respective reactions with light particles. Astrophysical reaction rates employed in reaction network calculations are determined either directly from cross sections or from the rate for the inverse reaction by applying detailed balance. The cross sections are either known from experiment or predicted by theory. Even when a reaction is experimentally accessible, often astrophysical rates cannot be directly measured. Excited states are thermally populated in an astrophysical plasma whereas only reactions on the ground state of the target can be investigated in the laboratory. A measure of the influence of the excited target states is given by the stellar enhancement factor $f = r_{\text{stellar}}/r_{\text{g.s.}}$, defined by the ratio of the stellar rate to the ground state rate.

Relevance of the Q value. The enhancement factor f_{rev} for the reverse reaction B(b,a)A (defined by having nega-



FIG. 1: Reaction Q values for (p,n) and (α ,n) reactions with $f_{rev} < f_{forw}$.

tive reaction Q value) is usually larger than the enhancement f_{forw} of the forward reaction A(a,b)B (being the one with positive Q value) because more excited states are energetically accessible in nucleus B than in nucleus A. This is especially pronounced in photodisintegration reactions [1, 2]. Therefore, it was assumed so far that more astrophysically relevant transitions are neglected when experimentally studying a reaction with negative Q value. Furthermore, rates employed in reaction networks often use fitted rates for the forward and backward reaction which are derived from the same rate for one direction to ensure compatibility and numerical stability. For the latter reason, the fit for the reverse stellar rate is derived from the one for the forward rate by applying detailed balance. This is the preferred approach because the relation between backward and forward rate [3]

$$r_{\text{stellar}}^{\text{B}(b,a)\text{A}} \propto e^{-\frac{Q_{\text{A}(a,b)\text{B}}}{kT}} r_{\text{stellar}}^{\text{A}(a,b)\text{B}}$$
(1)

includes the exponential which would enhance fit inaccuracies when starting from the reverse rate with Q < 0.

Here, we argue that there are cases for which $f_{\rm rev} <$ $f_{\rm forw}$ due to Coulomb suppression of a part of the energetically allowed transitions. This effect will be most pronounced in reactions with a charged particle in one and a neutral particle in the other channel, e.g. (n,p), but it can also appear when the entrance channel and exit channel have Coulomb barriers of different height, e.g. (\mathbf{p}, α) . Transitions from excited states to the same state in a compound nucleus are proceeding at smaller relative energy and are stronger suppressed by the Coulomb barrier. Thus, a prerequisite is that |Q| is low compared to the Coulomb barrier. Using the NON-SMOKER code [3, 4] we calculated f_{forw} and f_{rev} for reactions involving light projectiles (nucleons, α) and targets from Ne to Pb between the proton and neutron driplines. We find more than 1200 reactions exhibiting the suppression effect. Figure 1 shows the obtained range of Q values as a function of target charge Z. It can be clearly seen that larger |Q| is allowed with increasing Coulomb barrier.

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TABLE I: ⁸⁵Rb(p,n)⁸⁵Sr reaction decay parameters [12]

Residual	J^{π}	Half-	Gamma	Relative γ -intensity
nucleus		life	energy $[keV]$	per decay [%]
^{85g}Sr	$9/2^{+}$	64.84 \pm 0.02 d	514.01 ± 0.02	96 ± 4
$^{85\mathrm{m}}\mathrm{Sr}^m$	$1/2^-$	67.63 \pm 0.04 m	231.64 ± 0.01	84.4 ± 0.2

Although the strengths of the involved transitions also depend on spin and parity of the initial and final state, Coulomb repulsion dominates the suppression when the interaction energy is small.

Not only theoretically interesting, the Coulomb suppression effect is also important for experiments because it allows to directly determine an astrophysically relevant rate by measuring in the direction of suppressed enhancement factor. The above mentioned complication of fitting rates with negative Q values can be circumvented by directly applying detailed balance and numerically computing the rate for the forward reaction. This is possible when $f_{\rm rev} \approx 1$. Subsequently, fits for both rates can be obtained in the standard way.

Experimental study of ${}^{85}Rb(p,n){}^{85}Sr$. As an example of the suppression effect and for the derivation of the astrophysical rates, we experimentally studied the reaction 85 Rb(p,n) 85 Sr, having Q = -1.847 MeV. The importance of the reaction is manifold. In the last several years a number of proton capture cross section measurements with relevance for γ process studies have been carried out (see, e.g., [5] and references therein). The γ process was shown to synthesize p nuclides (proton-rich isotopes not accessible to the s and r processes) by a series of photodisintegrations of stable nuclides in hot layers of massive stars [6, 7, 8, 9]. Recently, systematic γ process simulations found not only that photodisintegration reactions are important but also that (p,n) reactions, and in particular 85 Rb(p,n) 85 Sr, strongly influence the final p abundances [10]. Additionally, this reaction is well suited to test the optical potential used for calculating the interaction between protons and target nuclei. In low-energy (p,γ) reactions, cross sections can be sensitive to the proton as well as the γ strength in varying, energy-dependent proportions. Conversely, in (p.n) reactions the sensitivity is highest to a variation in the proton width because the neutron width is much larger, thus eliminating the sensitivity on the width in the exit channel. Compared to the well-studied (p,γ) reactions, there is only limited experimental information available on the low-energy (p,n) cross sections in the mass region of the light p nuclei. The cross section of the 85 Rb(p,n) 85 Sr reaction was already investigated by [11] between $E_{c.m.} = 3.1$ and 70.6 MeV. However, the accuracy is not sufficient for astrophysical applications, mainly because of the large uncertainty of the c.m. energies. Moreover, there is only one data point in the relevant energy region for the γ process and it bears an uncertainty of ± 0.5 MeV in the c.m. energy.

We measured the ${}^{85}\text{Rb}(p,n){}^{85}\text{Sr}$ cross sections using the activation method. Some aspects of the experiment



FIG. 2: Off-line γ spectrum measured 9 hours after the end of the irradiation at 2.4 MeV. The 514 keV peak from the ⁸⁵Rb(p,n)⁸⁵Sr^g reaction can be well separated from the annihilation peak.

are given here, further details can be found in [5]. Rubidium targets were produced by evaporating natural RbCl onto a thin Al foil. The absolute number of target atoms and the uniformity were determined by the Rutherford Backscattering Method (RBS) using the Nuclear Microbeam facility of ATOMKI [13]. A 2 MeV He⁺ beam was focused down to $3x3 \ \mu m^2$ and was scanned over a surface of 75x75 μm^2 at several different positions of the target. Two ion-implanted Si detectors of 18 keV system resolution and 50 mm^2 area were applied to collect the backscattering spectra set at $\Theta = 165^{\circ}$ ($\Omega =$ 32.5 msrad) and $\Theta = 135^{\circ}$ ($\Omega = 57.3$ msrad). The precision of the determination of the number of target atoms was better than 3%. The thickness was found to be uniform within 1%. For the cross section measurements the targets were bombarded with a proton beam of 600 nA typical intensity provided by the Van de Graaff and cyclotron accelerators of ATOMKI. The energy range of the proton beam between 2 and 4 MeV was covered with 200 keV steps. To check a possible systematic errors, the E_n = 2.6 MeV irradiation was carried out with both accelerators and no difference in the cross section was found. Each irradiation lasted approximately 8 hours.

The 85 Rb(p,n) reaction populates the ground (85g Sr) and isomeric state (^{85m}Sr) of the Strontium isotope, either directly or via γ cascades. ^{85g}Sr decays by electron capture to ⁸⁵Rb and ^{85m}Sr with internal transition to $^{85\mathrm{g}}\mathrm{Sr}$ and with electron capture and β^+ to $^{85}\mathrm{Rb}.$ For determining the cross section of ${}^{85}Rb(p,n){}^{85g}Sr$ the 514 keV, for 85 Rb(p,n) 85m Sr the 232 keV γ line was used. The decay parameters of ^{85g,m}Sr are summarized in Table I. With the exception of a $388.5 \,\text{keV} \gamma$ -radiation following the decay of 87m Sr, no disturbing γ -radiations induced on ⁸⁷Rb have been observed since all other open reaction channels on ⁸⁷Rb lead to stable nuclei. For measuring the induced γ activity a calibrated HPGe detector was used. The distance between the surface of the detector and the target was 10 cm. This way the γ yield was reasonable and the summing effect was well below 1%. The yield of the 511 keV annihilation peak (coming from

TABLE II: Experimental cross section and S factor values of the 85 Rb(p,n) 85 Sr reaction.

${\mathop{\rm E_{c.m.}}\limits_{[keV]}}$	Ground state cross section $[mbarn]$	Isomeric state cross section $[mbarn]$	Total cross section $[mbarn]$	S -factor $\left[10^{6}MeV barn ight]$
2158 ± 8	0.050 ± 0.005	0.008 ± 0.001	0.058 ± 0.006	7.13 ± 0.67
2341 ± 16	0.185 ± 0.013	0.039 ± 0.006	0.224 ± 0.019	11.22 ± 0.96
2552 ± 27	0.422 ± 0.029	0.147 ± 0.022	0.569 ± 0.051	11.35 ± 1.02
2566 ± 26	0.420 ± 0.032	0.162 ± 0.023	0.582 ± 0.055	11.01 ± 1.04
2765 ± 28	0.920 ± 0.087	0.282 ± 0.031	1.20 ± 0.12	10.65 ± 1.05
2963 ± 30	1.73 ± 0.17	0.391 ± 0.039	2.12 ± 0.21	9.59 ± 0.92
3156 ± 32	3.16 ± 0.29	0.612 ± 0.061	3.77 ± 0.35	9.40 ± 0.87
3342 ± 35	4.55 ± 0.40	1.11 ± 0.14	5.66 ± 0.54	8.37 ± 0.79
$3551~\pm~36$	7.67 ± 0.64	1.93 ± 0.23	9.60 ± 0.87	8.32 ± 0.76
3754 ± 37	11.62 ± 0.90	2.69 ± 0.32	14.31 ± 1.22	7.73 ± 0.66
3952 ± 40	14.42 ± 1.18	5.23 ± 0.64	19.65 ± 1.82	6.93 ± 0.64

proton induced reactions on target impurities) was less than or comparable to the one of the relevant transition at 514 keV, as shown in Fig. 2. After each irradiation the γ spectra were taken for 12 h. Because of the relatively long half life of ^{85g}Sr ($T_{1/2} = 64.84$ d) we were able to repeat the activity measurement for each target after approximately 1 month when the intensity of the 511 keV radiation is substantially reduced. The two measurements yielded consistent cross sections proving the proper separation of the 511 keV and 514 keV peaks.

The measured total cross sections cover 3 orders of magnitude, varying from 0.058 to 19.645 mb. Table II lists the measured cross sections σ and the *S* factors, defined as $S(E) = \sigma E^{-1} \exp(-2\pi\eta)$, with the Sommerfeld parameter η accounting for the Coulomb barrier penetration [14]. The quoted uncertainty in the E_{c.m.} values corresponds to the energy stability of the proton beam and to the uncertainty of the energy loss in the target. The uncertainty of the cross section values is the quadratic sum of the following partial errors: efficiency of the HPGe detector (6%), number of target atoms (3%), current measurement (3%), uncertainty of decay parameters ($\leq 4\%$) and counting statistics (0.7-4%).

Discussion. The measured S factors are compared to theoretical predictions obtained with the code NON-SMOKER [3, 4] in Fig. 3. The standard calculation applied a proton optical potential widely used in astrophysical applications, based on a microscopic approach utilizing a local density approximation [15]. Low-energy modifications, which are relevant in astrophysics, have been provided by [16]. As can be seen in Fig. 3, the theoretical energy dependence of the resulting S factor is slightly steeper than the data, although there is general agreement in magnitude. In the energy range covered by the measurement, the proton width is smaller than the neutron width (except close to the threshold) and thus uncertainties in the description of the proton width (and proton transmission coefficient) will fully impact the resulting S factor. A recent investigation [5] suggested that the strength of the imaginary part of the microscopic potential should be increased by 70%. We find that the



FIG. 3: Experimental (dots) and theoretical (lines) astrophysical S factors of ${}^{85}\text{Rb}(p,n){}^{85}\text{Sr}$ (see text).

energy-dependence of the theoretical S factor is changed in such a way as to show perfect agreement with the new data, as seen in Fig. 3. This independently confirms the conclusions of previous work [5].

Regarding the Coulomb suppression effect, a comparison of $1.03 \leq f_{\rm pn} \leq 1.08$ and $2.6 \leq f_{\rm np} \leq 3.9$ shows that the transitions to excited states of 85 Sr are more important than those to states in ⁸⁵Rb in the relevant plasma temperature range of $2 \le T \le 4$ GK. The almost negligible stellar enhancement f_{pn} is due to the suppression of the proton transmission coefficients to and from the excited states of ⁸⁵Rb for small relative proton energies because of the Coulomb barrier. There are only few transitions able to contribute due to the low Q value. As shown by the small f_{pn} , the transition from the ground state of ⁸⁵Rb dominates the proton channel. Obviously, a Coulomb suppression is not present in the neutron channel. On the contrary, for this reaction f_{np} is even more enhanced due to the spin structure of the available nuclear levels and especially the large spin of 85 Sr^g. Because of its large spin, it is connected to the (dominating) low spin states in ⁸⁵Rb through higher partial waves than the excited states, such as the isomeric state, which have lower spins. Thus, the transitions from the ground state are suppressed by the centrifugal barrier relative to transitions from excited states and the latter will quickly

TABLE III: Astrophysical reaction rates $N_A \langle \sigma v \rangle$ of the reactions ${}^{85}\text{Rb}(p,n){}^{85}\text{Sr}$ and ${}^{85}\text{Sr}(n,p){}^{85}\text{Rb}$ computed from experimental data. The values in italics are at temperatures where the experimental data mostly contribute to the rate. The other values are computed by supplementing theoretical cross sections using the modified optical potential.

Temperature	${}^{85}{ m Rb}({ m p,n}){}^{85}{ m Sr}$	${}^{85}{ m Sr(n,p)}{}^{85}{ m Rb}$
$[10^9 \text{ K}]$	$[\mathrm{cm}^3\mathrm{s}^{-1}\mathrm{mole}^{-1}]$	$[\mathrm{cm}^3\mathrm{s}^{-1}\mathrm{mole}^{-1}]$
0.10	$(1.72\pm0.17)\times10^{-89}$	$(1.19\pm0.2)\times10^4$
0.20	$(8.33\pm0.83)\times10^{-43}$	$(1.74\pm0.17)\times10^4$
0.40	$(2.26\pm0.23)\times10^{-19}$	$(2.55\pm0.26)\times10^4$
0.60	$(1.74\pm0.17)\times10^{-11}$	$(3.49\pm0.35)\times10^4$
0.80	$(1.77\pm0.18)\times10^{-7}$	$(4.80\pm0.48)\times10^4$
1.00	$(5.07\pm0.51)\times10^{-5}$	$(6.57 \pm 0.66) \times 10^4$
1.50	$(1.28\pm0.13)\times10^{-1}$	$(1.35\pm0.14)\times10^5$
2.00	(8.30 ± 0.83)	$(2.56 \pm 0.26) \times 10^{5}$
2.50	$(1.21 \pm 0.12) \times 10^{2}$	$(4.57 \pm 0.46) \times 10^{5}$
3.00	$(8.22 \pm 0.82) \times 10^{2}$	$(7.81 \pm 0.78) \times 10^5$
3.50	$(3.56 \pm 0.36) \times 10^3$	$(1.28 \pm 0.13) \times 10^{6}$
4.00	$(1.15 \pm 0.12) \times 10^4$	$(2.04 \pm 0.20) \times 10^{6}$
4.50	$(3.03\pm0.30)\times10^4$	$(3.17 \pm 0.32) \times 10^6$
5.00	$(6.89 \pm 0.69) \times 10^4$	$(4.76\pm0.48)\times10^6$
6.00	$(2.60\pm0.26)\times10^5$	$(9.52\pm0.95)\times10^{6}$
7.00	$(7.14 \pm 0.71) \times 10^{5}$	$(1.54\pm0.15)\times10^{7}$
8.00	$(1.50\pm0.15)\times10^{6}$	$(2.01\pm0.20)\times10^{7}$
9.00	$(2.50\pm0.25)\times10^6$	$(2.18\pm0.22)\times10^{7}$
10.00	$(3.44\pm0.34)\times10^{6}$	$(2.05\pm0.21)\times10^7$

become important, even at low temperature. As a consequence of the enhancement of $f_{\rm np}$ and the suppression of $f_{\rm pn}$, it is more advantageous to measure the (p,n) direction. Important transitions to states in ⁸⁵Sr are included in our data and the small impact of transitions from excited states in ⁸⁵Rb is within the experimental error.

Table III gives the stellar rates for ${}^{85}\text{Rb}(p,n){}^{85}\text{Sr}$ as well as for ${}^{85}\text{Sr}(n,p){}^{85}\text{Rb}$. Our data cover an energy range sufficient to compute the rates from 2 GK up to 4 GK. Because of the excellent agreement of theory with experiment, we supplement the data with the theoretical values to compute the rates at lower and higher temperatures, applying the same errors as for the data. It is

to be noted that fits of the rates should be obtained by first fitting the (n,p) rate and then deriving the (p,n) rate fit by modifying the fit coefficients according to detailed balance (see [3] for details). For convenience, we provide the fit coefficients (including a 10% error) for the (n,p) rate in the widely used REACLIB format [3]: $a_0 = 16.7791^{+\ln 1.1}_{+\ln 0.9}, a_1 = -7.0325 \times 10^{-4}, a_2 = 0.9683,$ $a_3 = -9.4828, a_4 = 3.1807, a_5 = -0.3688, a_6 = 2.3328.$ The coefficients for the (p,n) rate are the same, except $a_0^{\text{pn}} = 17.2912^{+\ln 1.1}_{+\ln 0.9}$ and $a_1^{\text{pn}} = -21.4342$. To obtain the (p,n) rate the value obtained with the seven parameter expression has to be multiplied by the ratio of the temperature-dependent partition functions. Details and the required partition functions can be found in [3].

Summary. We measured the astrophysically important reaction ${}^{85}\text{Rb}(p,n){}^{85}\text{Sr}$ close above the threshold in the energy range relevant for the γ process. Our measurement confirms a previously derived modification of the global proton optical potential used in theoretical predictions. Even more importantly, it was shown that in this case it is possible to derive astrophysical reaction rates for the (n,p) as well as the (p,n) direction from our (p,n) data despite of the negative reaction Q value due to Coulomb suppression of the stellar enhancement. A similar argument applies for all reactions with Q < 0 but low |Q| and charged projectiles. Allowing only nucleons, α particles, and γ -s as projectiles or ejectiles, this effect still appears in more than 1200 reactions, including α captures relevant in the p process [9, 10] and proton captures close to the proton dripline in the rp process [17] and the νp process [18].

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