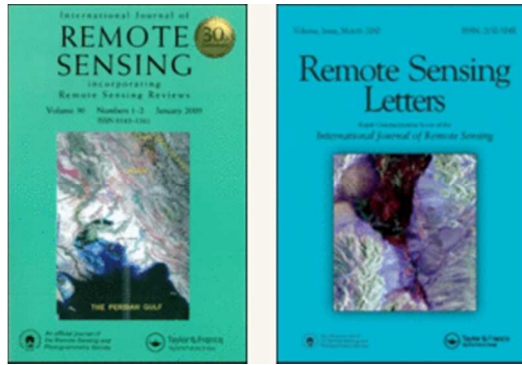


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Utilization of Depolarization Ratio derived by AERONET Sun/Sky Radiometer Data for Type Confirmation of a Mixed Aerosol Plume over East Asia

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4 1 **Utilization of Depolarization Ratio derived by AERONET Sun/Sky**
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6 2 **Radiometer Data for Type Confirmation of a Mixed Aerosol Plume over**
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ABSTRACT

This paper confirms utilization of depolarization ratio derived by Ground-based Aerosol Robotics Network (AERONET) sun/sky radiometer data obtained during a high-PM₁₀ episode at Gwangju, Korea (35.10°N, 126.53°E) in April 2009, in order to determine the nature and source of the atmospheric aerosol associated with this event. Integrated monitoring using satellite and depolarization lidar data, together with model analysis, was also completed for the period of the high-PM₁₀ event. The sun/sky radiometer-derived particle depolarization ratio values are similar to the lidar-derived values, and these values highlight the effect of dust particles on aerosol observation. High particle depolarization ratios (12.5 - 14.2 %) were shown when the aerosol plume transported from the west between April 5 and 7. In contrast, lower particle depolarization ratios (5.8 - 9.8 %) were detected when the aerosol plume was transported from north in other observation days. Different optical properties are also shown according to variation of depolarization ratio. High values in the real part of the refractive index (1.47 - 1.49 at 440 nm), lower values in the imaginary part of the refractive index (0.007 - 0.009 at 440 nm), and a high proportion of coarser particles were observed during high depolarization ratio period. In contrast, the atmospheric aerosol transported from the north showed characteristics more commonly associated with smoke, with lower values in the real part of the refractive index (1.41 - 1.48 at 440 nm), higher values in the imaginary part of the refractive index (0.008 - 0.011), and a high proportion of fine particles. This indicates that the sun/sky radiometer-derived depolarization ratio is a useful parameter when estimating the effect of dust particles during high-PM₁₀ events.

Key words: aerosols, lidar, depolarization ratio, sun/sky radiometer, size distribution

1. Introduction

East Asia is one of the main global sources of atmospheric aerosol. This aerosol consists of anthropogenic aerosols originating from urban/industrial areas and natural aerosol such as dust from desert regions of central Asia, as well as smoke from forest fires in Siberia and agricultural burning (Murayama et al., 2004; Lee et al., 2005; Noh et al., 2011). Such aerosols have been studied previously within the context of their radiative effects and uncertain influences on climate forcing (Stocker et al., 2013). The importance of these aerosols to climate change is expected to increase in the future (Takemura et al., 2005). There are main types of atmospheric aerosols: (i) urban–industrial aerosol derived from fossil fuel combustion in populated industrial regions; (ii) biomass burning aerosol produced by forest and grassland fires; (iii) desert dust blown into the atmosphere by wind; and (iv) aerosol of marine origin (Dubovik et al., 2002). The significant differences in the optical properties of these main general aerosol types have been extensively studied (Dubovik et al., 2002; Eck et al., 2005; Russel et al., 2010; Utry et al., 2014). Eck et al. (2010) and Giles et al. (2012) have been studied about the mixture of dust and pollution particles mainly forcing on the variation of single-scattering albedo. However, there has been little analysis of mixed aerosol types, especially in Asian dust mixed cases in East Asia. Dust particles show definitely different optical properties with other aerosols (Dubovik et al., 2002; Russel et al., 2010; Hess et al., 1998).

South Korea is located in the downwind area from China in East Asia and is therefore an important region for observations of atmospheric aerosols; e.g., dust, smoke, and anthropogenic aerosols transported by westerly winds (Noh et al., 2008, 2009, 2011). Moreover, the different types of atmospheric aerosol generated in the individual source regions usually flow into Korea simultaneously (Noh et al., 2014). Thus, the observation of

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4 74 atmospheric aerosols in Korea requires further investigation, as the inflow of aerosol from
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6 75 multiple sources makes the determination and identification of aerosol properties a
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8 76 challenging task. To date, a large number of surface sampling measurements have been
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10 77 conducted to investigate atmospheric aerosol properties in Korea (Kim et al., 2008; Lasserre
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12 78 et al., 2008; Moon et al., 2008; Sahu et al., 2009; Jung et al., 2011). These surface sampling
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14 79 measurements, although frequently providing excellent data regarding aerosol mass
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16 80 concentrations and their chemical/physical properties, have been limited to ground
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18 81 concentrations, and it remains difficult to generate real-time data from such measurements.
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23 82 In contrast, ground-based remote sensing of aerosol using wide angular and spectral
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25 83 measurements of solar and sky radiation are well-suited for detailed, reliable, and continuous
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27 84 monitoring of aerosol optical properties (Dubovik et al., 2002). Although ground-based
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29 85 aerosol remote sensing is widely used to monitor main aerosol, easy and specific method is
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31 86 not applied to quantitative analysis of mixed aerosol plumes until now.
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35 87 The main purpose of this study is verifying the usefulness of the depolarization ratio
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37 88 derived by Ground-based Aerosol Robotics Network (AERONET) sun/sky radiometer data
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39 89 for the type classification of mixed aerosols. The data by satellite and depolarization lidar
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41 90 system is investigated to understand the transportation and distribution of atmospheric
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43 91 aerosols. The Hybrid Single Particle Lagrangian Integrated Trajectories (HYSPLIT) model
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45 92 and reanalysis data from the Monitoring Atmospheric Composition and Climate (MACC)
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47 93 model were also used to supplement the classification and identification of the origin of
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49 94 atmospheric aerosols. The remainder of this paper is organized as follows. Section 2
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51 95 describes the monitoring site and measurement instruments used in this study. Section 3
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53 96 presents the procedures used to classify the aerosol and describes the optical properties of
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55 97 aerosol transported to Korea, using the results obtained from the integrated monitoring of
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4 98 atmospheric aerosols. Finally, we discuss our results and the values for aerosol type
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6 99 classification in this study in Section 3.
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101 **2. Measurements**

102 High PM₁₀ levels (> 100 µg m⁻³) were observed in Gwangju, South Korea between April
103 5 and 12 2009. Integrated monitoring of atmospheric aerosols was performed using the
104 AERONET sun/sky radiometer and depolarization lidar at the Gwangju Institute of Science
105 and Technology (GIST), Gwangju (35.10°N, 126.53°E), and using the Moderate Resolution
106 Imaging Spectroradiometer (MODIS) on board the Terra and Aqua satellites.
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108 **2.1 Sun/sky radiometer**

109 The AERONET sun/sky radiometer was operated at GIST. Column-integrated spectrally
110 resolved aerosol measurements and sky-bright observations (almucantar measurements) were
111 performed with the polarized-version of the CIMEL 381-1 automatic tracking sun/sky
112 radiometer (Holben et al., 1998). The sun/sky radiometer measurements incorporated the
113 radiances from four spectral channels at wavelengths of 440, 675, 870, and 1020 nm. Direct
114 sun radiation at 15-minute intervals and sky radiation at 1-hour intervals were also observed.
115 The sun/sky radiometer measurements were used to retrieve τ , the absorption Ångström
116 exponents (AAE), the complex refractive index, and the size distribution via the AERONET
117 algorithm. The Ångström exponents (AE) were retrieved directly from the optical depth
118 measurements. Detailed information on the cloud-screening and inversion data retrieval
119 algorithm can be found in Dubovik and King (2000). In the present study, we used
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4 120 AERONET level 2.0 data (quality assured), which can be downloaded from the AERONET
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6 121 website (<http://aeronet.gsfc.nasa.gov>).

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9 122 The linear particle depolarization ratios strongly depend on particle shape. We calculated
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11 123 the depolarization ratio from the sun/sky radiometer data using the kernel look-up tables as
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13 124 described by Dubovik et al. (2006). Linear particle depolarization ratios (δ_{sp}) at the four
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15 125 wavelengths (440, 675, 870, and 1020 nm) were derived from the sun/sky radiometer
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17 126 measurements to identify non-sphericity within the observed aerosol. Detailed retrieval
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19 127 method is described by Lee et al. (2010c) as follows.

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23 128 The elements $F_{11}(\lambda)$ and $F_{22}(\lambda)$ of the so-called Müller scattering matrices (Bohren et al.,
24
25 129 1983) are computed from the retrieved complex refractive index and particle size
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27 130 distributions. The two parameters strongly depend on the angular and spectral distribution of
28
29 131 the radiative intensity, which is measured with the AEROENT instrument (Dubovik et al.,
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31 132 2006). For unpolarized incident light, $F_{11}(\lambda)$ is proportional to the flux of the scattered light
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33 133 (Volten et al., 2001). Another input parameter that is needed for the retrieval of the linear
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35 134 particle depolarization ratio is the so-called aspect ratio distribution. The aspect ratio
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37 135 indicates the ratio of particle's longest axis to its shortest axis. In case of prolate particles, its
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39 136 polar diameter is greater than the equatorial diameter in contrast to oblate particles. The
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41 137 aspect ratio distribution is kept to a fixed distribution in the AERONET model, since
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43 138 scattering elements are nearly equivalent for all spheroid mixtures (Dubovik et al., 2006).

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47 139 From the ratio of the elements $F_{11}(\lambda)$ and $F_{22}(\lambda)$ at the scattering angle 180° the linear
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49 140 particle depolarization ratio $\delta_p(\lambda)$ can be computed as (Dubovik et al., 2006)

$$\delta_p(\lambda) = \frac{1 - F_{22}(\lambda, 180^\circ)/F_{11}(\lambda, 180^\circ)}{1 + F_{22}(\lambda, 180^\circ)/F_{11}(\lambda, 180^\circ)} \times 100(\%) \quad (1)$$

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143 **2.2 Depolarization lidar**

144 The depolarization lidar system was used to monitor the vertical distribution of observed
145 aerosols during the high-PM₁₀ levels. Measurements with the GIST multiwavelength Raman
146 LIDAR system were carried out at the same site as that of the AERONET sun/sky radiometer.
147 For a detailed description of the multiwavelength aerosol lidar system, the methodology used
148 to analyze the optical data, and uncertainty analysis, see Noh et al. (2007, 2008, 2009). The
149 vertical distribution of observed aerosols can be obtained using the aerosol backscatter
150 coefficient. The parallel- and perpendicular-polarized signal components (with respect to the
151 plane of polarization of the emitted laser beam) were measured at 532 nm to derive the
152 aerosol backscatter coefficient and the linear volume depolarization ratio (δ). The linear
153 particle depolarization ratio (δ_p) differs from δ as it depends on the concentration of particles
154 in relation to the concentration of air molecules. δ_p is calculated as an intensive parameter
155 and can be used to qualitatively describe the average morphology of the measured aerosol
156 particles. We applied the equation to retrieve the δ_p , as proposed by Noh et al. (2013). The
157 value of δ_p is 0 % for an ideal spherical particle. In the presence of dust particles, the
158 depolarization ratio increases up to 30 %, depending on the amount of dust particles in the
159 aerosol plume (Shimizu et al., 2004; Noh et al., 2012, 2014; Shin et al., 2014).

160 The PM₁₀ concentrations were measured at the Gwangju Local Meteorological
161 Administration building, 5 km from the observation site.

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163 **2.3 Satellite data**

164 Satellite observations such as AVHRR, SeaWIFS, MODIS, MISR, and GOCI have
165 previously been used to monitor air quality and estimate the location and extent of aerosols

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4 166 (Rao et al., 1989; Gordon and Wang, 1994; Kaufman et al., 1997; Lee et al., 2010a). A dataset
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6 167 collected by MODIS was used during a period when it was performing the integrated
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8 168 monitoring of atmospheric aerosol. The spatial distribution of Aerosol Optical Depth (AOD
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10 169 (τ) at 550 nm from MODIS and the MODIS Satellite Aerosol Retrieval (MSTAR) algorithm,
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12 170 as reported by Lee et al. (2010b), were used to determine the origin and track the long-range
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14 171 transport of atmospheric aerosol.
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173 ***2.4 Model simulations for Backward Trajectories and Pollution emission***

174 The Hybrid Single Particle Lagrangian Integrated Trajectory (HYSPLIT) model
175 (Draxler and Rolph, 2003) was used to generate 5-day backward trajectories for air masses
176 arriving over the measurement site at the altitude of the aerosol layers observed by the
177 depolarization lidar. These trajectories allowed us to track the origin of the aerosol layers and
178 their transport pathway. The European Centre for Medium-range Weather Forecast (ECMWF)
179 provides aerosol reanalysis data as part of the MACC project. These data assimilate the
180 satellite data (e.g., the AOD (τ) retrieved by MODIS) into the global model. Thus, the
181 reanalysis data are considered as comparably reliable data because corrections for the model
182 departure from observational data were performed during the assimilation (Bellouin et al.,
183 2013). This reanalysis provides information on pollution caused by the emission of various
184 aerosols, as well as chemically reactive gases and greenhouse gases. We used the τ values of
185 dust particles, black carbon, and organic matter from the MACC reanalysis data to determine
186 the intensity of pollution along the transport pathway and to estimate the characteristics of
187 atmospheric aerosols.
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189 3. Results

190 3.1 High-PM₁₀ episode, April 9–12 2009

191 Hourly averaged surface PM₁₀ concentrations were obtained using a beta gauge between
192 00:00 local time (LT) April 5 and 24:00 LT on April 12 are plotted in Fig. 1. The PM₁₀
193 concentration begins to increase above the South Korea's 24-hour PM₁₀ standard (100 µg m⁻³)
194 from April 5 (15:00 LT), and these high values were continuously observed until April 12
195 (12:00 LT). The PM₁₀ concentrations only fell below this level on April 7 (15:00 - 21:00 LT)
196 and April 9 (14:00 - 17:00 LT).

197 The values of τ_{500} (AOD at 500 nm), AE at 440 - 870 nm, and AAE at 440 - 870 nm
198 measured with the AERONET sun/sky radiometer and τ_{550} (AOD at 550 nm) retrieved by
199 MODIS are shown in Fig. 1 and Table 1. The temporal variations in τ_{500} and τ_{550} are similar
200 to those of PM₁₀ levels. Values of τ_{500} and τ_{550} increased when the PM₁₀ concentration
201 increased, whereas the lower values of τ_{500} and τ_{550} were measured as the concentration
202 decreased in most cases. Differences in the values of the AEs were recorded during the high-
203 PM₁₀ episode. The AE values measured during April 6 - 8 2009 were in the range 1.24 - 1.38
204 (average = 1.31 ± 0.06), and thus considerably lower than those recorded during April 9 - 11
205 2009 (1.42 - 1.53, average = 1.47 ± 0.04). These differences suggest that the aerosol layers
206 observed from April 5 to 8 contained a higher concentration of large aerosol particles when
207 compared with the average particle size in the aerosol layer observed from April 9 to 11. This
208 result is possibly related to differences in the types and sources of the atmospheric aerosols.

209 The AAEs measured during April 6 - 8 2009 were in the range 0.62 - 1.36 (average =
210 1.03 ± 0.20) and thus were similar to those recorded during April 9 - 11 2009 (0.85 - 1.59,
211 average = 1.06 ± 0.21). Russell et al. (2010) showed that AAE is correlated with aerosol

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4 212 composition or type, and reported AAE values near 1 for AERONET-measured aerosol
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6 213 columns dominated by urban–industrial aerosol. Although the aerosol size was different
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8 214 between the two periods, as inferred from AE values, the main aerosol type using the AAE
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10 215 can be estimated. However, a more detailed analysis is required to determine which
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12 216 atmospheric aerosol types caused the high PM₁₀ levels during early April of 2009.

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16 217 Figure 2 shows time–height cross-sections of the aerosol backscatter coefficient and the
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18 218 volume depolarization ratio (δ) derived from continuous depolarization ratio lidar
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20 219 measurements between April 5 and 12 2009. No observations were made from 12:00 LT on
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22 220 April 8 to 00:00 LT on April 9 because of precipitation. Figure 2(a) shows the presence of
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24 221 atmospheric aerosol that was detected mostly within the planetary boundary layer (1 - 2 km
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26 222 altitude) during the observation periods. The significant atmospheric aerosol layers were
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28 223 defined using their relatively high backscatter coefficients at low altitudes. However, the
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30 224 backscatter coefficients shown in Fig. 2(a) only provide qualitative information and the
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32 225 vertical distribution of atmospheric aerosol. A preliminary classification of the types of
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34 226 atmospheric aerosol observed during this period was made using the δ values shown in Fig.
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36 227 2(b). δ is a reliable indicator of particle shape and can be used to distinguish between non-
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38 228 spherical and spherical particles (Shimizu et al., 2004, Noh et al., 2012, Shin et al., 2015). In
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40 229 Fig. 2(b), the δ values begin to increase in the layer between 1 and 2 km on April 5 2009. The
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42 230 values of δ greater than 10 % suggest the inflow of atmospheric aerosol containing dust
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44 231 particles. The higher δ values (ca. 13 %) detected from April 6 to 8 indicate that the
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46 232 atmospheric aerosol over Korea contained a higher amount of dust particles than on other
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48 233 observation days. In contrast, the δ value in the layer around 2 km decreased from April 9
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50 234 onwards. This might point to the inflow of another type of atmospheric aerosol at higher
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52 235 altitudes, although atmospheric aerosols with relatively high depolarization ratios (> 8 %)
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4 236 were still detected at lower altitudes.
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10 238 ***3.2 Classification of aerosol source***

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13 239 Figure 3 shows the results from the HYSPLIT backward trajectories and the distribution
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15 240 of τ at 550 nm for dust, black carbon, and organic matter obtained from the MACC model
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17 241 and calculated using ECMWF reanalysis data. The distribution of the aerosol optical depth
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19 242 derived from MODIS is also shown in Fig. 3.
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23 243 The consistently high values of τ detected by MODIS correspond with the high aerosol
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25 244 loading for the observation period. The heights of the aerosol layers were determined from
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27 245 the lidar measurements; i.e., the aerosol layers with heights that correspond to increased
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29 246 backscatter coefficients and δ values, for the calculation of the HYSPLIT model. The
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31 247 HYSPLIT model shows different transport pathways and source regions of the aerosol layer
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33 248 observed on each measurement day. The air masses were transported to the observation site
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35 249 from either the west or northwest of the monitoring site (eastern and northeast China,
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37 250 respectively) over April 6 - 8, as shown in Fig. 3 (a1 - c1). In contrast, the backward
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39 251 trajectories for arrival heights of 1600 and 2000 m on April 9 differ from the air mass
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41 252 movement patterns for April 6 - 8. On April 9, the air masses that passed over the monitoring
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43 253 site at 1600 and 2000 m were more likely to have arrived from the north via North Korea,
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45 254 whereas the air masses at lower altitudes (800 and 1200 m) were transported from the
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47 255 west/northwest regions. The changes in the air mass movement patterns were more obvious
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49 256 on April 10 and 11. The air masses that arrived at the observation site at higher altitudes
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51 257 (1600 and 2000 m) on April 10 and 11 had passed over Siberia and North Korea. However,
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53 258 the air masses that passed over the observation site at lower altitudes on April 10 and 11 were
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4 259 transported from desert regions and passed over eastern and northeast China. The aerosols
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6 260 observed on April 12 seem to have been generated from local sources. We consider that the
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8 261 aerosol load during the high-PM₁₀ episodes was caused by the transportation of various
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10 262 aerosols from different source regions.
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13 263 The differing source regions and origins of each transported aerosol result in different
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15 264 aerosol compositions. Those air masses with a desert origin might consist primarily of dust
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17 265 particles, whereas those transported over densely populated/highly industrialized areas are
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19 266 likely to include anthropogenic pollutants. The smoke particles, possibly derived from
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21 267 biomass/forest burning in Siberia and North Korea, are more likely to contain black carbon
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23 268 and organic matter. The distribution of τ for dust, black carbon, and organic matter computed
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25 269 by the MACC model using the ECMWF reanalysis data were used to estimate the distribution
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27 270 of the various aerosols transported to Korea, as shown Fig. 3 (a3 - g5). The dust particles
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29 271 emitted from the desert regions of Inner Mongolia were transported across China and affected
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31 272 Korea during April 7 - 9. The model values of τ for the dust over Korea on April 7 - 9 are
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33 273 significantly higher than for the other dates during the monitoring period. The τ of black
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35 274 carbon that possibly originated from industrial regions of China was also significantly higher
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37 275 on April 7 - 9. On the other hand, the τ of black carbon and organic matter over Siberia and
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39 276 on the transport pathway of the air masses increased from April 9 onwards. Figure 4 shows
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41 277 the accumulated fire spots obtained from the MODIS thermal anomalies/fire product
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43 278 (Kaufman et al., 1998) for April 9 - 12 2009. Although a few fire spots were detected in
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45 279 northeast China, most were in northeast Siberia and North Korea. This suggests that aerosols
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47 280 observed on April 9 - 11 were affected by the transport of smoke from Siberia. As a result, the
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49 281 τ of black carbon and organic matter in Siberia on these observation days increased. On the
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51 282 basis of the distribution of τ for various aerosols obtained from the MACC model, and the
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4 283 transport pathway of aerosol retrieved from the HYSPLIT model, we conclude that the types
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6 284 of aerosol that generated the high-PM₁₀ event were dust particles, anthropogenic pollutants,
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8 285 and smoke particles. Moreover, the influence of these aerosols on high aerosol loading over
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10 286 Korea varied according to the mass movement patterns of the atmosphere on each
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12 287 measurement day.
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18 19 289 *3.3 Depolarization ratio*

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22 290 Lidar-derived δ_p values can be used to estimate the amount of mixed dust in aerosol
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24 291 plumes (Shimizu et al., 2004; Tesche et al., 2011; Noh et al., 2012; 2014). However, sun/sky
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26 292 radiometer-derived δ_{sp} values have previously been applied only to the study of Saharan dust
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28 293 (Müller et al., 2010). Figure 5 shows δ_{sp} values at four wavelengths derived from the sun/sky
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30 294 radiometer data, and the column-integrated δ_p at 532 nm derived from the lidar system. The
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32 295 correlation of δ_p between lidar and sun/sky radiometer is shown in Figure 6. The
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34 296 depolarization ratios from the two instruments are in relatively good agreement throughout
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36 297 the observation period. However, the δ_p by lidar in many cases was lower than the
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38 298 AERONET sun/sky radiometer-derived δ_{sp} . The vertical distribution of δ in Fig. 2 (b) shows
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40 299 that δ decreases above an altitude of 0.5 km from April 12 (09:00 LT). However, we must
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42 300 remember that the lidar-derived data do not take account of the optical properties of the
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44 301 aerosols below 0.5 km. The incomplete overlap between the laser beam and the field of view
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46 302 of the receiver telescope does not allow for a reliable retrieval of lidar profiles in this altitude
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48 303 range (Noh et al., 2013). Considering the high δ_{sp} and τ values obtained from the sun/sky
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50 304 radiometer data, it seems that the dust particles inducing high δ existed below the lidar
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52 305 overlap height.
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4 306 The value of δ_{sp} at 440 nm was greater than that at the other wavelengths in most cases.
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6 307 Müller et al. (2010) reported that the values increase with wavelength for the pure dust cases.
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8 308 However, δ_{sp} decreases with wavelength for mixed dust cases (Lee et al., 2010c). From these
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10 309 results, the aerosols in this study can be interpreted as mixed dust. However, the daily
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12 310 average values listed in Table 1 show clear differences over time. The δ_{sp} values at 440 nm
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14 311 between April 5 and 8 (12.5 - 14.2 %) were higher than them between April 9 and 11 (5.8 -
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16 312 9.8 %). The depolarization ratios of individual aerosol types have been reported previously;
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18 313 e.g., 10 - 20 % for polluted dust (Shimizu et al., 2004; Tesche et al., 2011; Burton et al., 2012;
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20 314 Shin et al., 2013), 30 - 35 % for pure dust (Murayama et al., 2004; Freudenthaler et al., 2009),
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22 315 4 - 9 % for smoke (Murayama et al., 2004), and < 5 % for pollution particles (Yoon et al.,
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24 316 2010). If we consider only daily average δ_{sp} values, the aerosol observed over the periods
25
26 317 April 5 - 8 and 9 - 11 can be categorized as polluted dust and smoke particles, respectively.
27
28 318 However, the data in Fig. 5 (e and f) show relatively high δ_{sp} values of 8.3 - 11.6 % in the
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30 319 morning on April 9 and 10. Lidar-derived δ_p also shows values that are 1 - 3 % higher in the
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32 320 morning than afternoon in Fig. 2 (b). The distribution of dust calculated using the MACC
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34 321 model (Fig. 3) shows that the dust particles are continuously distributed around South Korea.
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36 322 The relatively high values of δ_{sp} in the morning on April 9 and 10 is likely to have been
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38 323 caused by dust particles. The relatively high δ_{sp} values on April 12 are also likely to have
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40 324 been affected by dust particles.
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3.4 Optical/microphysical properties

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53 327 Figure 7 shows the daily average value of the real and imaginary parts of the refractive
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55 328 index at 440, 675, 875, and 1020 nm obtained from the AERONET sun/sky radiometer
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57 329 between April 5 and 12.
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4 330 The real part of the refractive index describes the scattering properties, whereas the
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6 331 imaginary part describes the absorption properties of the particles (Barber et al., 1999).
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8 332 Differences in the values of the refractive index were found for the different aerosol transport
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10 333 pathways on each measurement day. The values of the real part of the refractive index
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12 334 measured on April 7 and 8 were 1.47 ± 0.06 and 1.49 ± 0.03 , respectively, at 440 nm. These
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14 335 values are larger, and less variable, than those measured between April 9 and 12, which were
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16 336 1.41 ± 0.03 to 1.46 ± 0.04 , 1.44 ± 0.03 to 1.47 ± 0.03 , 1.45 ± 0.03 to 1.48 ± 0.02 , and $1.45 \pm$
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18 337 0.02 to 1.47 ± 0.02 at 440, 675, 870, and 1020 nm, respectively.
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22 338 Several previous studies have reported the real part of the refractive index for various
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24 339 aerosols. For example, Dubovik et al. (2002) used AERONET sun/sky radiometer data and
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26 340 observed the real part of refractive index values of 1.55 ± 0.03 to 1.56 ± 0.03 for desert dust,
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28 341 of 1.47 and 1.52 for smoke derived from biomass burning in the Amazonian forest region and
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30 342 in the South American Cerrado, respectively, and lower values for urban/industrial aerosols.
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32 343 In this study the real part of refractive index values ranged from 1.39 to 1.47. Noh et al. (2009,
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34 344 2011) reported values for smoke aerosols from Siberia and northern China, and urban haze
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36 345 from northeast China, of 1.41 ± 0.03 to 1.42 ± 0.02 and 1.44 ± 0.03 to 1.44 ± 0.05 ,
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38 346 respectively.
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43 347 The value of the imaginary part of the refractive index, which represents the light-
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45 348 absorption properties of the aerosol particles, tended to vary according to the aerosol source
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47 349 region. Lower values for the imaginary part were measured on April 7 and 8 in the ranges
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49 350 $0.008 - 0.009$, $0.008 - 0.007$, $0.008 - 0.007$, and $0.009 - 0.007$ at 440, 675, 870, and 1020 nm,
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51 351 respectively. These values are higher than the values for the imaginary part of desert dust
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53 352 reported by Dubovik et al. (2002) of $0.0025 - 0.0029$, $0.0013 - 0.0014$, 0.001 , and 0.001 at
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55 353 440, 670, 870, and 1020 nm, respectively. The values of the imaginary part of the refractive
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4 354 index increased when the source and transport pathway of the aerosol changed, and were
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6 355 higher between April 9 and 11 (by about 0.01) than on April 7 and 8. These differences
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8 356 between the real and imaginary parts of the refractive index indicate that the aerosol types
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10 357 changed during the high-PM₁₀ episode. However, it is difficult to classify the aerosol types
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12 358 using only the refractive index data obtained during the high-PM₁₀ episode.

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16 359 Figure 8 shows the particle volume size distributions observed at the same time as τ in
17
18 360 Fig. 1. The distribution is bimodal in all cases, but the dominant particle size changes over
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20 361 the monitoring period. A fine-mode dominance is evident on April 5, the size distribution on
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22 362 April 7 and 8 shows sub-equal amounts of fine and coarse particles, and finer material is
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24 363 again dominant during April 9 - 12. The presence of larger particles on April 7 and 8 suggests
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26 364 that dust particles were transported into the region on these days. A significant fine fraction
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28 365 ($< 0.5 \mu\text{m}$) is also apparent in the size distribution, which we interpret to indicate that the
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30 366 aerosol particles transported on April 7 and 8 were a mixture of dust and anthropogenic
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32 367 particles. However, the proportion of fine particles increased from April 9 onwards. The
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34 368 proportion of finer particles was much greater on April 10 and 11 (Fig. 8), possibly related to
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36 369 the dominance of smoke particles that originated from Siberia and North Korea, as explained
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38 370 previously.

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43 371 Figure 9 shows daily averaged single scattering albedo (SSA) at four wavelengths (440,
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45 372 675, 870, and 1020 nm) from 5 to 12 April 2009. The spectral SSA behavior can be utilized
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47 373 for main aerosol type classification (Dubovik et al., 2002; Eck et al., 2005; 2010; Giles et al.,
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49 374 2012). Increasing spectral SSA behavior is observed for dust particle. In contrast,
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51 375 urban/industrial or biomass burning aerosol shows the decreasing spectral SSA behavior (Eck
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53 376 et al., 2005). In mixed cases, these spectral behaviors are lessened (Eck et al., 2005; 2010;
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55 377 Giles et al., 2012). Since the mixed plume of dust and anthropogenic/biomass burning
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4 378 particles was observed during research period, clear pattern of spectral SSA behavior for
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6 379 aerosol type classification was not shown in Figure 9. However, Increase of SSA between
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8 380 440 and 675 nm was shown on 7 and 8 April 2009 at the dust dominant cases. Spectral SSA
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10 381 behavior of anthropogenic/biomass burning particle was observed on 6 April 2009 even
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12 382 though bimodal size distribution is detected. Those results denote that the spectral SSA
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14 383 behavior also needs support of other parameters to clearly classify aerosol type.
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21 385 **4. Summary and conclusions**

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24 386 In this study, satellite, lidar, and AERONET sun/sky radiometer data, in combination
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26 387 with data from the HYSPLIT and MACC models, were analyzed to determine the sources of
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28 388 observed atmospheric aerosol. We found that high PM₁₀ levels were affected differently by
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30 389 dust, and anthropogenic and smoke aerosols.
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34 390 The sun/sky radiometer-derived δ_{sp} values are similar to the lidar-derived values, and
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36 391 these values highlight the effect of dust particles on aerosol observation, as the depolarization
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38 392 ratio is directly related to the non-sphericity of particles. From these values, we can infer that
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40 393 dust particles were continuously present during the high-PM₁₀ episode, but were most
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42 394 prominent during April 5 - 8 based on the relatively elevated δ_{sp} values of between 12.5 %
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44 395 and 14.2 %. And the aerosol plume transported from the west between at that time. In
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46 396 contrast, lower particle depolarization ratios (5.8 - 9.8 %) were detected when the aerosol
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48 397 plume was transported from north in other observation days.
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52 398 The optical and microphysical properties of atmospheric aerosol retrieved from the
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54 399 AERONET sun/sky radiometer data during the high-PM₁₀ episode showed similar trend with
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56 400 δ_{sp} during observation period. The atmospheric aerosol transported from the west showed
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4 401 higher values in the real part of the refractive index, lower values in the imaginary part of the
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6 402 refractive index, and a high proportion of coarser particles. In contrast, the atmospheric
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8 403 aerosol transported from the north showed lower values in the real part of the refractive index,
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10 404 higher values in the imaginary part of the refractive index, and a greater proportion of finer
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12 405 particles. However, the use of these parameters alone is generally insufficient to clarify the
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14 406 effect of dust particles on the mixed aerosol plumes. We can clearly classify the aerosol type
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16 407 and the degree of mixing among various aerosols when depolarization ratio is analyzed with
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18 408 other optical and microphysical parameters.
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23 409 If δ_{sp} values are combined with other optical and microphysical parameters retrieved
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25 410 from AERONET sun/sky radiometer data, it is possible to estimate the aerosol characteristics
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27 411 and degree of mixing of dust particles without the support of other analytical techniques.
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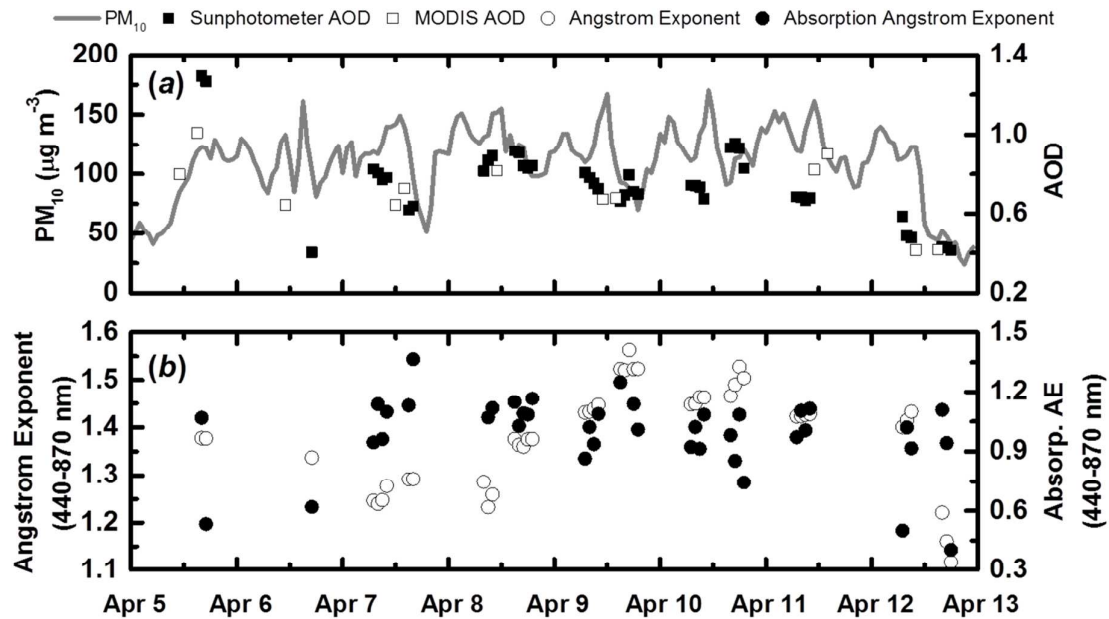


Fig. 1. (a) Time series of the PM₁₀ concentration (gray line) measured with a beta gauge, the AERONET sun/sky radiometer AOD (black square) at 500 nm, and MODIS AOD (open square) at 550 nm (b) Ångström exponent and absorption Ångström exponent (440–870 nm wavelength range) derived from the AERONET sun/sky radiometer data.

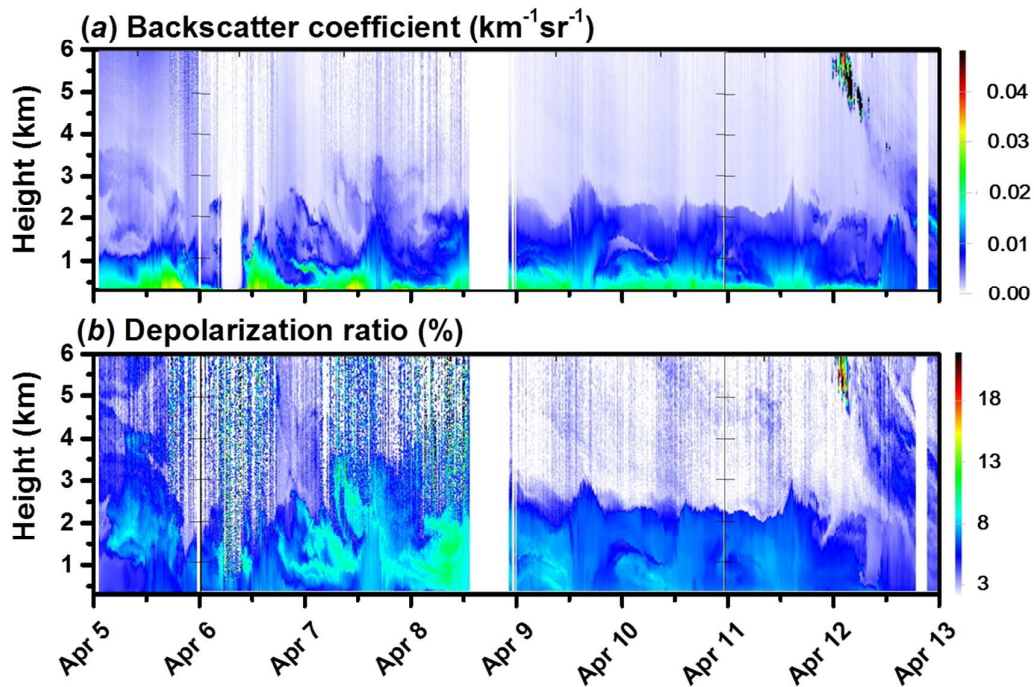


Fig. 2. Time–height cross-sections of (a) the 532 nm attenuated backscattered coefficient and (b) the linear volume depolarization ratios observed by the depolarization ratio lidar system between April 5 (00:00 LT) and 12 (24:00 LT) 2009.

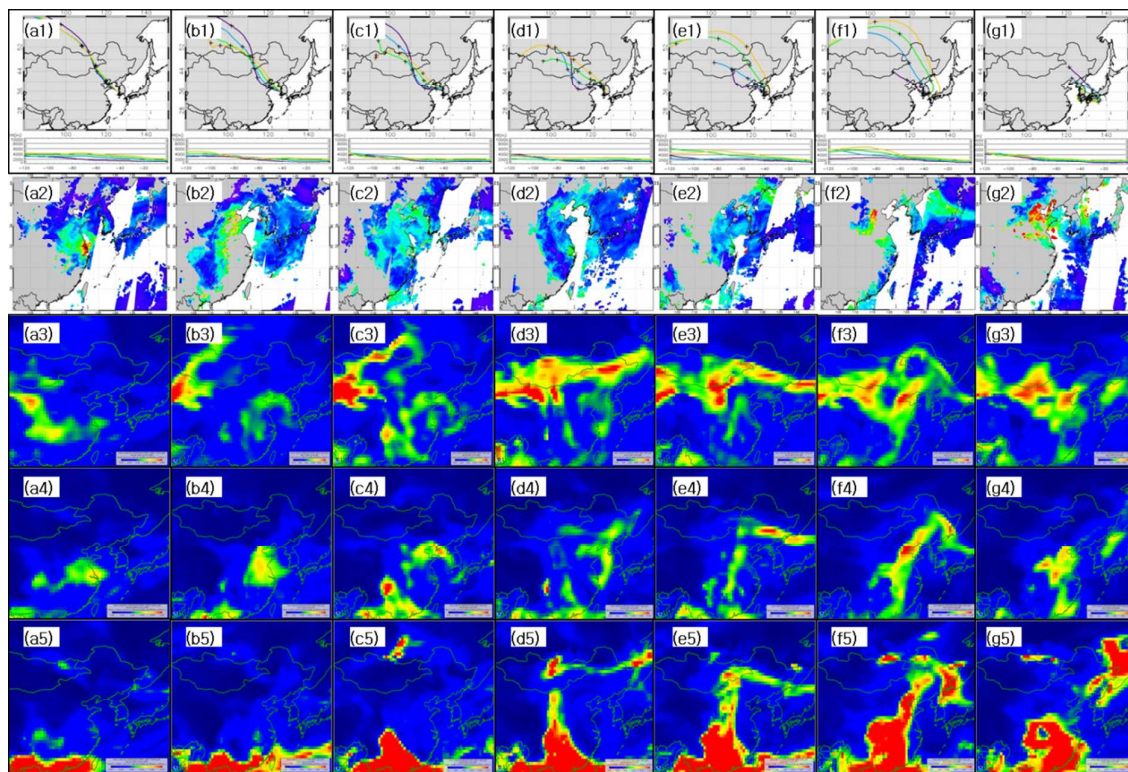


Fig. 3. Five-day HYSPLIT backward trajectory analysis (a1–g1), MODIS-derived aerosol optical depth at 550 nm (a2–g2), and the ECMWF-derived distributions of the aerosol optical depth for dust (a3–g3), black carbon (a4–g4), and organic matter (a5–g5) over East Asia for April 6 to 12 2009.

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Fig. 4. MODIS active fire products for April 9–12 2009.

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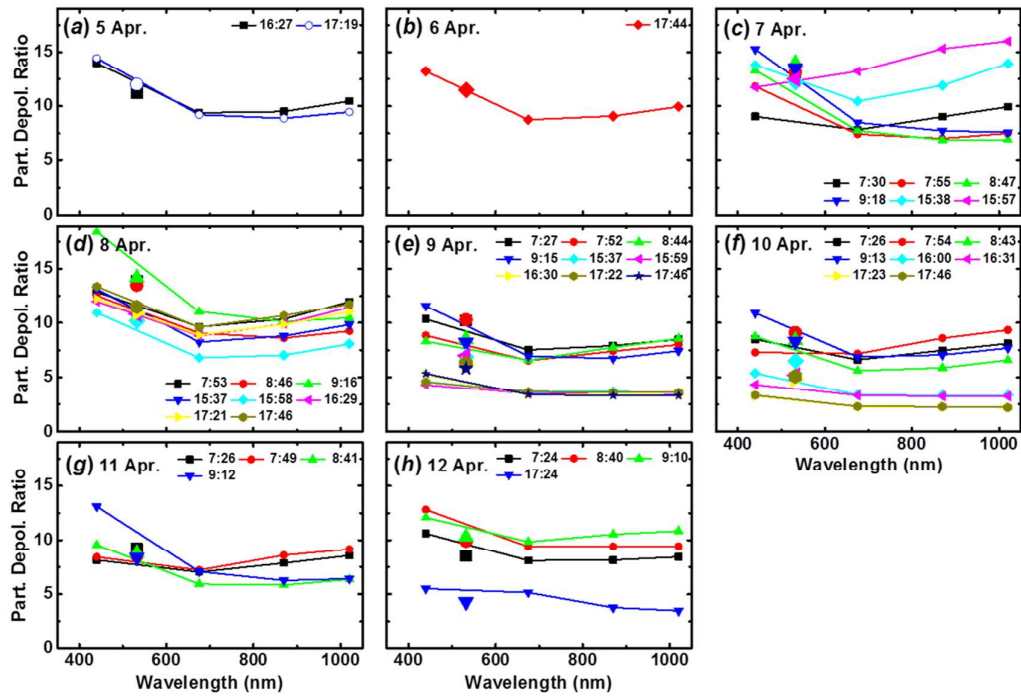


Fig. 5. Particle depolarization ratio at four wavelengths (440, 675, 870, and 1020 nm) derived from the AERONET sun/sky radiometer data (dotted lines) and lidar-derived depolarization ratio (large dots) at 532 nm. Lidar-derived DPRs are represented by dots, whereas the sun/sky radiometer-derived DPRs are represented by line with dot. Observation days and times are as in Fig. 2.

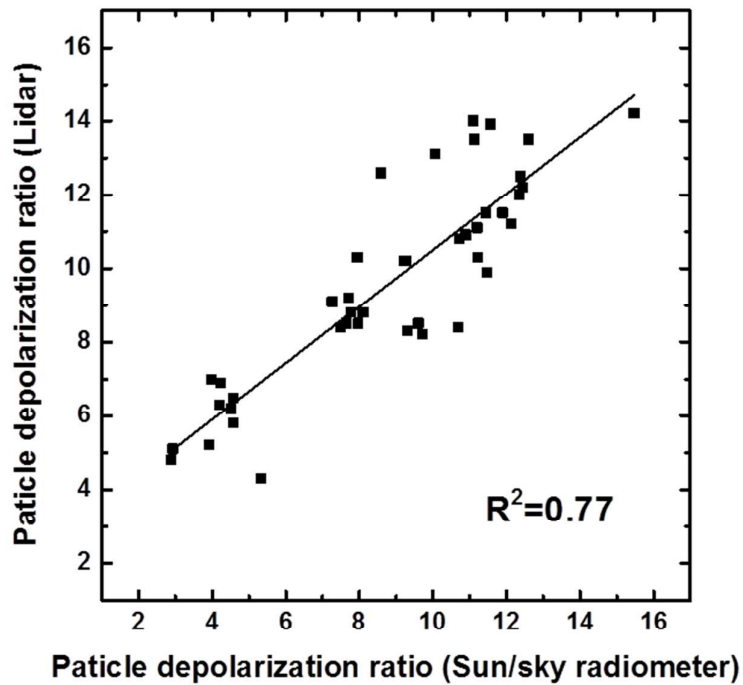


Fig. 6. Correlation plot of particle depolarization ratio at 532 nm derived by lidar and sun/sky radiometer data. The particle depolarization ratio of sun/sky radiometer at 532 nm was calculated by the linear regression method.

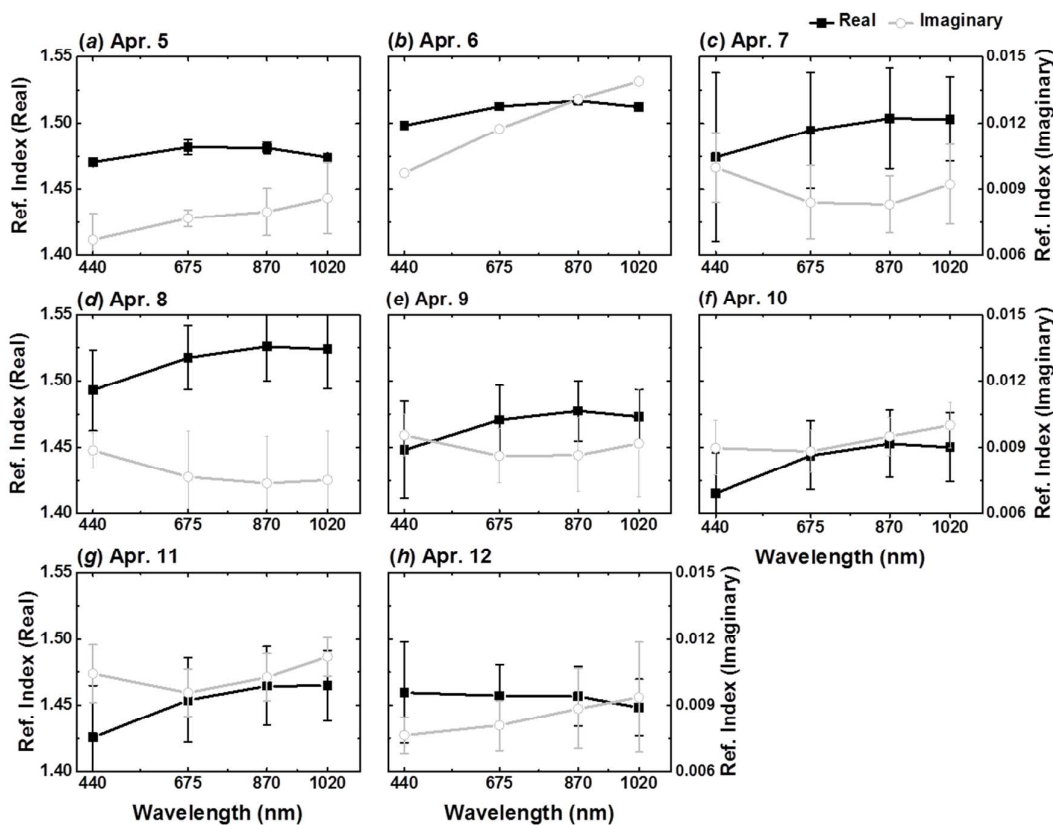


Fig. 7. Refractive index (real part (black squares) + imaginary part (open circles)) of particles with respect to the measured wavelengths (440, 675, 870, and 1020 nm), as retrieved from the AERONET sun/sky radiometer measurements on April 5 to 12 2009. The number of measurement data for each day is the same in Figure 5.

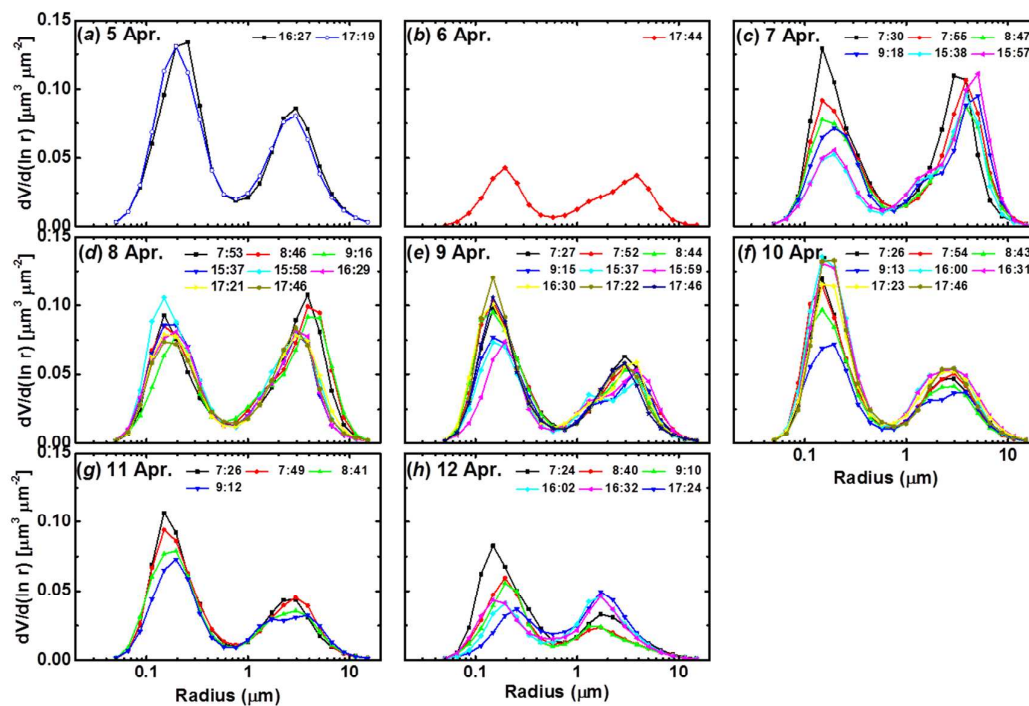


Fig. 8. Particle volume size distribution retrieved from the AERONET sun/sky radiometer on April 5 to 12 2009. Observation times are shown for each day.

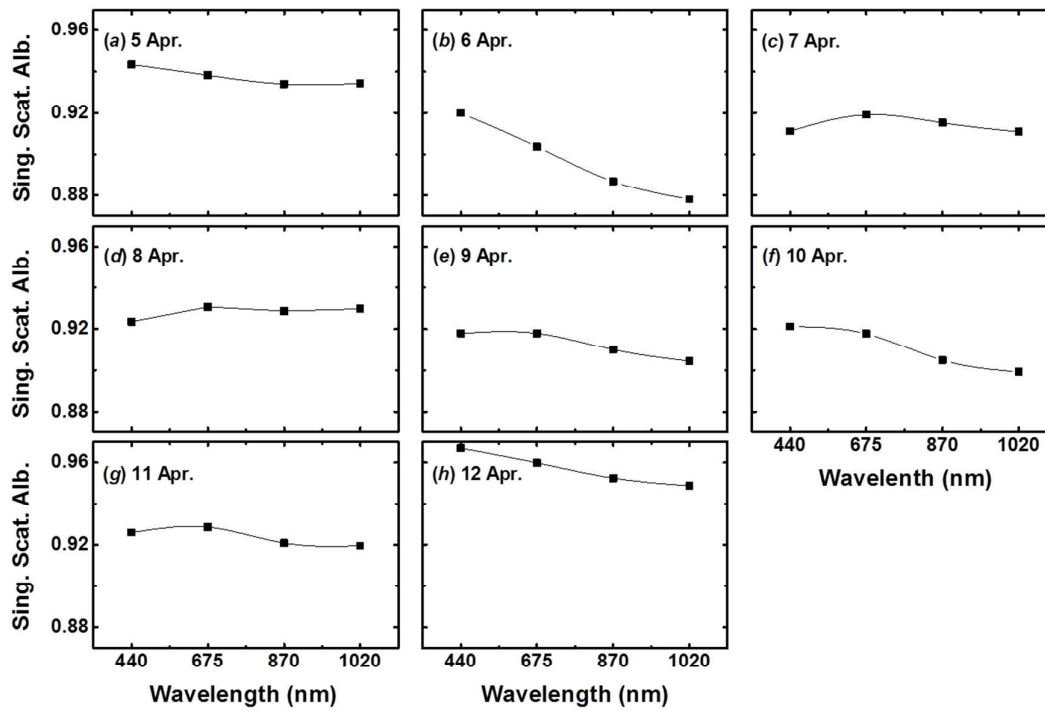


Fig. 9. Daily averaged spectral single scattering albedo at 440, 675, 870, and 1020 nm by AERONET Version 2, Level 2.0 data from April 5 to 12 2009.

Table 1. Daily average values of aerosol optical depth (τ) at 500 nm, AE, sun/sky radiometer-derived particle depolarization ratios (δ_{sp}) at 440, 675, 870, and 1020 nm, and AAE.

Date	τ (500 nm)	AE (440-870nm)	δ_{sp} (%)				AAE (440-870 nm)
			440 nm	675 nm	870 nm	1020 nm	
5 Apr.	1.28 ± 0.02	1.38	14.2 ± 0.3	9.3 ± 0.1	9.2 ± 0.4	10.0 ± 0.7	0.80 ± 0.38
6 Apr.	0.40	1.34	13.2	8.8	9.1	10.0	0.62
7 Apr.	0.74 ± 0.09	1.27 ± 0.02	12.5 ± 2.1	9.2 ± 2.2	9.7 ± 3.3	10.3 ± 3.8	1.11 ± 0.15
8 Apr.	0.86 ± 0.04	1.33 ± 0.06	13.2 ± 2.2	9.0 ± 1.2	9.4 ± 1.2	10.5 ± 1.4	1.26 ± 0.46
9 Apr.	0.74 ± 0.05	1.49 ± 0.05	6.2 ± 3.5	5.0 ± 1.8	5.2 ± 2.1	5.5 ± 2.5	1.16 ± 0.26
10 Apr.	0.82 ± 0.11	1.48 ± 1.43	5.8 ± 3.4	4.7 ± 2.1	5.0 ± 2.5	5.3 ± 2.9	0.95 ± 0.12
11 Apr.	0.68 ± 0.01	1.43	9.8 ± 2.3	6.8 ± 0.6	7.1 ± 1.3	7.6 ± 1.4	1.05 ± 0.07
12 Apr.	0.47 ± 0.06	1.29 ± 0.14	12.0 ± 3.8	10.3 ± 4.2	10.3 ± 4.8	10.7 ± 5.2	0.81 ± 0.29