



# Stabilisation of deoxyribonuclease in hydrofluoroalkane using miscible vinyl polymers

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## Abstract

A mix of biocompatible macromolecules (poly(vinyl alcohol) (PVA) and poly(vinyl pyrrolidone) (PVP)) has been shown previously to enhance the physical stability of non-aqueous pharmaceutical suspensions. The aim of this work was to assess the feasibility of employing such a combination to facilitate the formulation of deoxyribonuclease I (DNase I) in a metered dose inhaler (MDI) using hydrofluoroalkane (HFA) propellants. DNase I was combined with the selected excipients and formed into an inhalable microparticle by spray-drying. When spray-dried alone DNase I lost almost 40% of its original biological activity, but stabilising DNase I with trehalose and PVA (DTPVA) retained 85% biological activity and trehalose, PVA and PVP (DTPVAPVP) retained 100%. Suspending the DTPVAPVP microparticles within a HFA pMDI for 24 weeks led to no further reduction in the biological activity of DNase I and the formulation delivered almost 60% of the dose expelled to the second stage of a twin-stage impinger. The solubility of PVP in HFA propellants suggests that the enhanced physical stability observed with PVA and PVP may partially be as a result of steric stabilisation. However, the large zeta potential associated with the suspensions suggested that charge stabilisation may also influence the pMDI physical stability.

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## 1. Introduction

Deoxyribonuclease I (DNase I) is a hydrophilic glycosylated protein with a molecular mass of approximately 33 kDa [1]. It is an endonuclease, which degrades DNA through the hydrolysis of the P–O3' bond, yielding 5'-oligonucleotides [2]. The biological activity of DNase I is catalysed by divalent cations and it has previously been shown to exhibit optimal activity in the presence of Ca<sup>2+</sup>, Mg<sup>2+</sup> or Ca<sup>2+</sup> and Mn<sup>2+</sup> [3]. DNase I readily digests both single and double stranded DNA, but the enzyme reaction occurs at a rate of at least four orders of magnitude slower with a single stranded substrate [2].

DNase I has been employed clinically as a mucolytic since the 1960's because the breakdown of DNA within purulent sputum leads to a dramatic reduction in the viscosity of airway secretions. However, after a reported case of bronchospasm caused by an immunogenic response to the bovine form of the protein, the clinical applications of DNase I fell into disuse [4].

Subsequent development of recombinant DNA technology has allowed the production of a humanised form of DNase I (rhDNase I). rhDNase I lacks the immunogenicity of bovine DNase I and as a result rhDNase I is now licensed in numerous countries worldwide for the symptomatic relief of cystic fibrosis [5–7].

Whilst rhDNase I is highly effective in breaking down viscous mucus in the airways, its clinical use is limited by the fact that it is delivered using a nebuliser (Pulmozyme®). Although atomisation of a drug solution into droplets using a nebuliser avoids the often-problematic fabrication of a particulate-based drug delivery system, these devices are not favoured by patients due to their lack of portability and low delivery efficiency.

Pressurised metered dose inhalers (pMDIs) incorporate compounds within an air tight, light protective, low moisture environment and therefore provide excellent protection for labile therapeutics such as proteins [8]. However, hydrophilic proteins such as rhDNase I are typically poorly soluble within non-polar HFA propellants. Thus, to enable the delivery of such agents via pMDIs, the therapeutic protein must be formulated as

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a particulate that can be suspended within the pMDI propellant. In order to minimise chemical and physical instability within a suspension pMDI a desirable compatibility between the propellant and the particulate (containing the protein) must be achieved within the formulation reservoir. In addition, upon dose actuation, the pMDI must reproducibly release a homogeneous aliquot of the particulate suspension which upon evaporation of the propellant must produce particles with suitable aerodynamic characteristics to deposit within the airways. Furthermore, it is essential that the protein's biological activity is maintained during the formulation manufacturing process and over the product shelf-life.

Despite the complexity of formulating proteins within pMDIs, preliminary studies by Quinn and co-workers [9] have found (using Fourier transform Raman spectroscopy) that lysozyme undergoes no change in the presence of the pMDI propellant HFA 134a. However, whilst the potential of pMDIs to deliver proteins effectively has been reported previously, few details have been published on the suspension stability, biological activity, structural integrity and aerodynamic characteristics of such formulations during long-term storage. In addition, the mechanism by which these hydrophilic labile macromolecules remain physically and chemically stable in hydrophobic solvents is currently unknown.

As such, the objective of this study was to investigate the feasibility of formulating DNase I within an HFA-based pMDI for pulmonary delivery. In an attempt to try and achieve this aim, a number of stabilising excipients, previously shown to improve the physical stability of HFA suspensions, were combined with the protein using spray-drying [10,11]. The excipients were selected with a view to improving both the chemical and physical stability of the protein during manufacture and storage within the pMDI.

## 2. Materials and methods

### 2.1. Spray-drying the DNase I formulations

Approximately 500 mg of DNase I (deoxyribonuclease I isolated from the bovine pancreas, high purity, Rnase free, 14,200 U/mg, defined by Sigma Aldrich as Genotech® units, supplied by Sigma Aldrich, UK) was added to 100 ml sodium chloride solution (10 mM) containing a number of different combinations of excipients (Table 1) which included PVA 80% hydrolysed ( $M_w$  of 8000–10,000, Sigma Aldrich, UK), trehalose dihydrate (Sigma Aldrich, UK) and PVP K15

(10,000  $M_w$  Sigma Aldrich, UK). The excipients were dissolved in solution by simple stirring using a magnetic hotplate (Stuart Scientific, UK). When PVA was included within the solution it required heating for 30 min at 90 °C to facilitate dissolution of the polymer after which the solution was allowed to cool to room temperature prior to addition of the protein. DNase I was carefully added to the solution containing the excipients using gentle rotation by hand to facilitate dissolution.

DNase I microparticles were produced from the solutions using a 191 mini spray-dryer (Buchi, Germany). The protein/excipient(s) solutions were pumped through a spray atomisation nozzle that combined the liquid with a 700 ml h<sup>-1</sup> airflow delivered to the drying chamber. The aspiration rate was set as 70%, the material feed rate was 3 ml min<sup>-1</sup> and the inlet temperature was set to 95 °C. The outlet temperature was found to be in the range of 65–70 °C. The final spray-dried product was collected on wax paper and stored in a desiccator containing phosphorous pentoxide (Sigma Aldrich, UK) prior to use. The yield was calculated as the solid mass collected at the end of the spray-drying process as a percentage of the initial solid weight of the excipients in the feed stock solution.

### 2.2. Microparticle characterisation

Approximately 2 mg of spray-dried powder was dispersed in 1 ml of 0.1% w/v lecithin (Sigma Aldrich, UK)–cyclohexane (BDH, Germany) solution and sonicated in a water bath (Model F5100b; Decon Laboratories, UK) for 30 s to disperse any possible agglomerates before a small sample of the suspension was added to a stirred sample cell again containing approximately 0.1% w/v lecithin–cyclohexane solution as the dispersion media. The particle size of the sample was measured using a laser diffraction analyser (Malvern Instruments, UK) fitted with a 63 mm focal length lens at an obscuration of 0.165–0.25. Particle size distributions were expressed in terms of D(v,0.9), D(v,0.5), and D(v,0.1) which were the respective diameters at 90, 50 and 10% cumulative volumes.

### 2.3. pMDI manufacture

The pMDIs were manufactured by adding the equivalent of 15.0 mg of the raw drug (calculated using the % of the DNase I content of the microparticles) directly into a poly(ethylene terephthalate) canister (donated by Astrazeneca, UK). A 25 µl canister valve (donated by Astrazeneca, UK) was crimped in place using a manual pMDI filler (Pamasol, Switzerland) and 20.0 g of HFA 134a (Dupont, Germany) or 17.5 g HFA 227 (Solvay, Germany) was pressure-filled into the can via the valve. The formulation was then sonicated in an ultrasonication bath (Decon Laboratories, UK) for 15 s to ensure particle separation and stored, valve up, at room temperature. Several batches of each formulation were manufactured and utilised in the study.

### 2.4. DNase I biological stability in HFA

The DNase I pMDIs were stored at room temperature 'valve up' for 24 weeks. Immediately upon manufacture and after 2, 4,

Table 1  
Compositions of the spray-dried microparticulate formulations containing DNase I

Formulation	Composition
DNase I SD	DNase I 500 mg
DT	DNase I 500 mg–trehalose 500 mg
DTPVA	DNase I 500 mg–trehalose 500 mg–PVA 80% hydrolysed 500 mg
DTPVAPVP	DNase I 500 mg–trehalose 500 mg–PVA 80% hydrolysed 500 mg–PVP K15 500 mg

153 12 and 24 weeks, the ~~secondary structure~~, deposition character-  
 154 istics and biological activity of DNase I were determined using  
 155 the methods detailed below.

#### 156 2.5. DNase I quantification

157 The Pierce Protein Assay<sup>®</sup> was performed as per the  
 158 manufacturer's instructions. BSA was used as the protein  
 159 standard and a set of BSA solutions between 2 and 20  $\mu\text{g ml}^{-1}$   
 160 was prepared by diluting the 2.0  $\text{mg ml}^{-1}$  standard with  
 161 deionised water (conductivity 0.5–1.0  $\mu\text{S}$ ). The working  
 162 reagent was prepared by mixing 25 parts of Micro BCA reagent  
 163 A (sodium carbonate, sodium bicarbonate, sodium tatrte in  
 164 0.2 M sodium hydroxide), 24 parts of reagent B (aqueous  
 165 solution of BCA detection reagent) and 1 part of reagent C (4%  
 166 cupric sulfate pentahydrate). An aliquot of 150  $\mu\text{l}$  of each  
 167 standard or test sample was transferred into separate wells of a  
 168 96-well microplate in duplicate and 150  $\mu\text{l}$  of the working  
 169 reagent was subsequently added to each well and the plate  
 170 mixed on a plate shaker for 30 s. The plate was covered with its  
 171 lid (to prevent significant evaporation) and incubated at 50°C  
 172 for 90 min, after which it was cooled to room temperature and  
 173 the UV absorbance in each well determined using a plate reader  
 174 at a wavelength of 562 nm. The amount of enzyme in each test  
 175 sample was determined as a nominal concentration against the  
 176 BSA protein standard calibration curve.

#### 177 2.6. Assay of DNase I biological activity

178 The biological activity of DNase I was monitored by  
 179 assessing the ability of the enzyme to digest the substrate, DNA.  
 180 The substrate was constituted in a 0.1 M, pH 5.0 acetate buffer,  
 181 containing 5 mM  $\text{Mg}^{2+}$ . The buffer was prepared by dissolving  
 182 1.165 g of anhydrous sodium acetate (BDH, Germany), 0.355 g  
 183 of acetic acid (Sigma Aldrich, UK) and 0.203 g of  $\text{MgCl}_2 \cdot 6\text{H}_2\text{O}$   
 184 (Sigma Aldrich, UK) in 150 ml of deionised water (conductivity  
 185 0.5–1.0  $\mu\text{S}$ ). Approximately, 2 mg of fibrous DNA isolated  
 186 from a calf thymus (Sigma Aldrich, UK) was dissolved in 52 ml  
 187 of the acetate buffer by gently shaking overnight. The  
 188 absorbance of this substrate solution at 260 nm was determined  
 189 to be between 0.630 and 0.690. Prior to assessing the test  
 190 samples, a DNase I standard, 2000 Kunitz units  $\text{mg}^{-1}$  (Sigma  
 191 Aldrich, UK), was used as a calibrant for the activity assay. This  
 192 standard was constituted by dissolving the supplied powdered  
 193 enzyme in 1.0 ml of 0.15 M NaCl solution. The solution was  
 194 diluted further with 0.15 M NaCl to obtain five separate  
 195 standard solutions within the concentration range of 20–  
 196 80 units  $\text{ml}^{-1}$ . All dilutions were performed using 0.15 M  
 197 NaCl solution. The wavelength of a lambda 5 UV spectro-  
 198 photometer (Perkin-Elmer, UK) was adjusted to a 260 nm and  
 199 2.5 ml of substrate was placed into a cuvette (10 mm light path)  
 200 and incubated in a thermostatic cell (25 °C) for 3–4 min to allow  
 201 temperature equilibration. Then, 0.5 ml of diluted standard, or  
 202 sample, was added and the solutions were immediately mixed  
 203 by inversion. The increase in the UV absorbance per min  
 204 ( $\Delta\text{A}260$ ) was recorded as a function of time for 10–12 min. An  
 205 activity calibration curve was constructed by plotting the

maximum  $\Delta\text{A}260$  vs. Kunitz units  $\text{mg}^{-1}$  of the five DNase I 206  
 activity standards. The DNase I samples were diluted to attain a 207  
 $\Delta\text{A}260$  within the calibration range and activity measured as 208  
 before. The Pierce Protein Assay<sup>®</sup> was then used to quantify the 209  
 protein, to obtain the activity per mg. This was compared to the 210  
 activity of the lyophilised raw DNase I to produce the % relative 211  
 activity. 212

#### 213 2.7. Impaction analysis

214 The twin-stage impinger (TSI) was employed to determine 214  
 the deposition characteristics of the microparticles after release 215  
 from the pMDIs. The TSI apparatus was set up and run using a 216  
 flow rate of 60  $\text{l min}^{-1}$  in accordance with the method set out in 217  
 the British Pharmacopeia [12]. The pMDIs were primed prior to 218  
 use by discharging approximately 10 shots into a fume 219  
 cupboard. A total of 20 actuations were sprayed into the 220  
 apparatus from each inhaler. The pump was allowed to run for 221  
 5 s after each discharge and then switched off for 5 s whilst the 222  
 inhaler was shaken by hand. After the completion of each run 223  
 the impinger was dismantled and the drug assayed according to 224  
 the amounts deposited on the device, stage 1 and stage 2. The 225  
 device was washed with 50 ml of deionised water (conductivity 226  
 0.5–1.0  $\mu\text{S}$ ) and both stage 1 and 2 with 100 ml of deionised 227  
 water. The Pierce Protein Assay<sup>®</sup> (described above) was used to 228  
 quantify the DNase I within the washing solutions. 229

230 The quantities of DNase I delivered by the pMDI 230  
 formulations on each stage of the impinger were determined 231  
 as a percentage of the total quantity of the protein recovered 232  
 from the device and the impinger. For example, in order to 233  
 calculate the stage 2% deposition, Eq. (1) was used: 234

$$\% \text{stage}2 = \left( \frac{Q_a}{Q_a + Q_b + Q_c} \right) 100 \quad (1)$$

235 where %stage2 is the percentage of the DNase I on stage 2 of the 236  
 impinger,  $Q_a$  is quantity of the DNase I on stage 2 of the 237  
 impinger,  $Q_b$  is the quantity of the DNase I on stage 1 of the 238  
 impinger,  $Q_c$  is the quantity of the DNase I on the device. The 239  
 fine particle fraction (FPF) was defined as the % of the DNase I 240  
 on stage 2 of the impinger, i.e. the % of particles <6.4  $\mu\text{m}$ . 241

#### 242 2.8. Zeta potential

243 A Zeta Sizer Nano NS<sup>®</sup> (Malvern instruments, UK) was 243  
 used to measure the zeta potential. Samples were prepared by 244  
 suspending approximately 2 mg of the powder in a surrogate 245  
 solvent dichloromethane (DCM) (selected on the basis of a 246  
 previous study [13], BDH, Germany) and sonicating the 247  
 mixture for 1 min in a 5300b ultrasonication bath (Decon 248  
 Laboratories, UK). The zeta potential of the samples was 249  
 measured immediately after suspension within the solvent 250  
 using a DTS1070 non-aqueous dip cell (Malvern Instruments 251  
 Ltd, UK). A total of 20 runs were performed for each sample 252  
 using a cell voltage of 20 V. Three different suspensions were 253  
 made up and measured for each of the batches of micro- 254  
 particles. The conversion of the measured electrophoretic 255

256 mobility ( $U_e$ ) into zeta potential ( $z$ ) was carried out using  
257 Henry's equation (Eq. (2)):

$$U_e = \frac{2\epsilon z f(Ka)}{3\eta} \quad (2)$$

258 where  $\epsilon$  is the dielectric constant,  $\eta$  is the sample viscosity and  
260  $f(Ka)$  is Henry's function. The parameter  $K$ , termed the Debye  
261 length is a measure of the thickness of the electrical double  
262 layer. In aqueous solutions this  $f(Ka)$  is approximated to be  
263 1.5, known as the Smoluchowski approximation. However, for  
264 small particles in non-aqueous solvents this approximation  
265 becomes 1.0 (referred to as the Huckel approximation) and this  
266 was used in this study.

### 267 3. Results

#### 268 3.1. Microparticle characterisation

269 The particle size measurements of the spray-dried micro-  
270 particles immediately after preparation indicated that all of the  
271 batches were of a suitable size for drug delivery to the airways,  
272 i.e. the median diameters of all the particles were less than 5  $\mu\text{m}$   
273 (Table 2). The smallest median particle diameter ( $D_v$ , 0.5–1.94  
274  $\pm 0.14 \mu\text{m}$ ) was produced by spray-drying the protein with PVA,  
275 PVP and trehalose (DTPVAPVP). Compared to spray-drying  
276 DNase I alone, the incorporation of additional stabilising  
277 excipients increased the yield of the manufacturing method  
278 from 15.40% to ca. 40%. All of the DNase I microparticles  
279 exhibited <5% variance in their protein content implying that  
280 they incorporated a very uniform distribution of the protein. DT  
281 PVA contained the highest DNase I content at 50.17 $\pm$ 2.95%  
282 which was significantly more ( $p < 0.05$ , ANOVA) than the  
283 DTPVAPVP microparticles which had the lowest DNase I  
284 content at 43.84% (Table 2).

#### 285 3.2. DNase I biological stability in HFA

286 The biological activity of the spray-dried DNase I micro-  
287 particles was compared to the original material immediately  
288 after manufacture and at four time points after suspension  
289 within a HFA propellant, with a view to separating the effects of  
290 the manufacturing process and storage within the HFA  
291 propellants on the DNase I. The DNase I SD lost almost 40%  
292 of its original activity as a consequence of the spray-drying  
293 process however, suspension within HFA did little to degrade  
294 the protein any further (Fig. 1A). The addition of trehalose to

DNase I within the spray-dried microparticulates (Fig. 1B) 295  
significantly improved ( $p < 0.05$  Mann–Whitney test) the 296  
biological activity of the protein during the 26 week stability 297  
study, but the DT microparticles did still loose approximately 298  
20% of their original enzymatic activity after spray-drying. 299  
Storage of DNase I stabilised with trehalose in HFA 134 300  
propellant over 24 weeks did not induce any further reduction of 301  
biological activity (Fig. 1B). Using PVA and trehalose to 302  
stabilise DNase I (DTPVA Fig. 1C) significantly improved 303  
( $p < 0.05$ , ANOVA) the retention of the enzymes biological 304  
function during spray-drying (85.34 $\pm$ 2.18%) compared to 305  
DNase I stabilised with trehalose alone (78.33 $\pm$ 3.20%). 306  
When the DTPVA microparticles were suspended within both 307  
HFA 134a and HFA 227 some of the activity that appeared to 308  
have been lost as a result of spray-drying was recovered (Fig. 309  
1C and E). DTPVA recovered less of its original activity when 310  
suspended in HFA 134a compared to when suspended in HFA 311  
227 and retained a significantly higher biological activity over 312  
the 24 week period ( $p < 0.05$  Mann–Whitney test). The DNase I 313  
microparticles containing trehalose, PVA and PVP 314  
(DTPVAPVP) were the only spray-dried formulation to retain 315  
100% of its activity after the manufacturing process (Fig. 1D). 316  
The activity of the enzyme within the DTPVAPVP micro- 317  
particles immediately after manufacture was significantly 318  
higher ( $p < 0.05$ , ANOVA) than any of the other DNase I 319  
microparticles produced using spray-drying. 320

#### 321 3.3. Impaction analysis

322 The pMDI containing DNase I SD microparticles emitted the 322  
highest FPF compared to any of the other DNase I HFA 323  
formulations, depositing ca. 60% of its metered dose onto stage 324  
2 of the TSI (Table 3). The pMDI containing the DT 325  
microparticles produced a significantly lower ( $p < 0.05$ , 326  
ANOVA) FPF compared to the protein formulated alone 327  
through out the 24 week stability study. In a similar manner 328  
to DNase I SD there was no significant difference ( $p > 0.05$ , 329  
ANOVA) in FPF emitted from the DT pMDI throughout the 330  
time frame of the stability study (Table 3). Using trehalose and 331  
PVA to suspend DNase I (DTPVA) within HFA 134a resulted in 332  
a similar FPF to the DT formulation for the first 2 sample time 333  
points in the stability study (ca. 42%). However, the FPF for the 334  
DTPVA HFA 134a formulation dropped to ca. 30% at the 335  
24 week time point which was significantly lower ( $p > 0.05$ , 336  
ANOVA) than that any of the other DNase I pMDIs. In addition 337  
to the low FPF, the deposition variability of the DTPVA 134a 338  
formulation increased dramatically during 24 weeks of storage 339  
at room temperature (Table 3). The suspension of DTPVA 340  
within HFA 227 produced an FPF of approximately 45% which 341  
was not significantly higher ( $p > 0.05$ , ANOVA) compared to 342  
that from the DT or DTPVA 134a formulations. In addition, 343  
neither the FPF ( $p < 0.05$ , ANOVA) nor the deposition 344  
variability changed dramatically over the 24 week time frame 345  
(Table 3). The combination of PVP, PVA and trehalose 346  
(DTPVAPVP) proved to be the best set of excipients with 347  
which to physically stabilise the DNase I within a HFA pMDI 348  
(Table 3). 349

t2.1 Table 2  
t2.2 Particle size, manufacture yield and DNase I content of the spray-dried microparticles (mean $\pm$ SD, yield  $n=1$ , rest  $n=3$ )

t2.3 Sample	Yield (%)	$D_v$ , 0.1 ( $\mu\text{m}$ )	$D_v$ , 0.5 (%)	$D_v$ , 0.9 ( $\mu\text{m}$ )	DNase I (%)
t2.4 DNase I SD	15.40	1.00 $\pm$ 0.02	2.25 $\pm$ 0.15	4.52 $\pm$ 0.52	–
t2.5 DT	40.00	1.34 $\pm$ 0.03	2.87 $\pm$ 0.17	5.65 $\pm$ 0.59	48.36 $\pm$ 4.76
t2.6 DTPVA	34.75	1.24 $\pm$ 0.01	3.06 $\pm$ 0.12	6.57 $\pm$ 0.43	50.17 $\pm$ 2.95
t2.7 DTPVAPVP	39.60	1.09 $\pm$ 0.12	1.94 $\pm$ 0.14	3.35 $\pm$ 0.30	43.84 $\pm$ 2.95

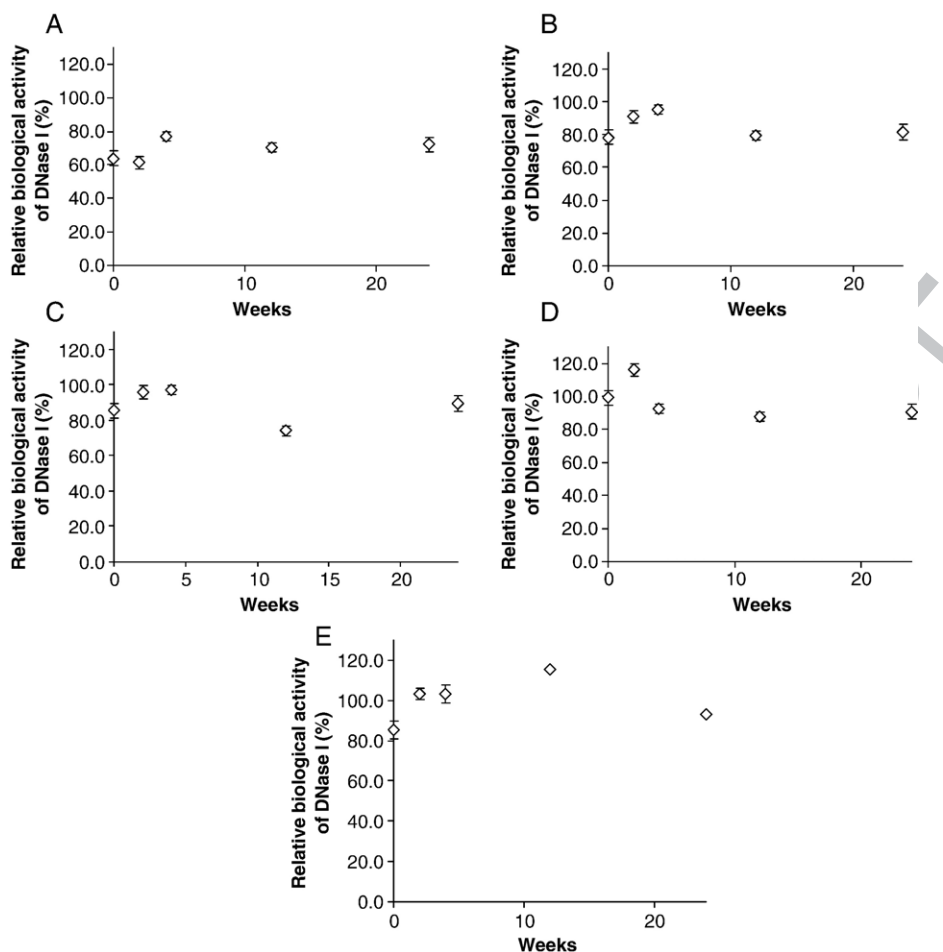


Fig. 1. Biological activity of DNase I microparticles alone (A) or formulated with trehalose, DT (B), trehalose and PVA, DTPVA 134a (C) trehalose and PVA and PVP DTPVAPVP (D), when stored at room temperature for 24 weeks within an HFA 134a metered dose inhalers. In addition (E) displays the biological activity of DNase I formulated with trehalose and PVA, DTPVA 227, when stored at room temperature for 24 weeks within a HFA 227 metered dose inhaler ( $n=3$ , mean $\pm$ standard deviation).

### 3.4. Mechanism of excipient mediated stabilisation

Although the zeta potential distribution was found to be consistently broad when it was measured for the DNase I microparticles suspended in DCM, the measurements were highly reproducible (Fig. 2). The phase plot was clearly defined, with mobility in both directions (inferred by the positive and negative gradient in Fig. 2) when using a fast reversing micro-electrophoresis method. Spray-dried DNase I alone suspended in DCM produced a zeta potential of  $66\pm 4$  mV, DT  $67\pm 3$  mV,

DTPVA  $61\pm 18$  mV, DTPVAPVP  $70\pm 9$  mV. There was no significant difference between the measured zeta potentials of the three batches of the excipient stabilised DNase I microparticles compared to DNase I SD ( $p>0.05$ , ANOVA).

Table 3

The fine particle fraction of the pMDI formulations obtained from the five DNase I HFA pMDIs after 2, 4, 12 and 24 weeks of storage at room temperature (mean $\pm$ SD,  $n=3$ ; the recovery was  $>75\%$  in all cases)

Formulation	FPF week 2 (%)	FPF week 4 (%)	FPF week 12 (%)	FPF week 24 (%)
DNase I SD	$59.6\pm 4.5$	$61.8\pm 3.5$	$69.2\pm 6.5$	$52.4\pm 9.5$
DT	$44.6\pm 6.7$	$42.2\pm 5.1$	$37.5\pm 5.2$	$42.8\pm 0.4$
DTPVA 134a	$42.0\pm 0.6$	$42.0\pm 0.6$	$23.0\pm 5.6$	$31.6\pm 12.4$
DTPVA 227	$45.1\pm 7.2$	$50.6\pm 5.2$	$47.2\pm 5.2$	$50.1\pm 0.7$
DTPVAPVP	$51.0\pm 0.9$	$48.3\pm 5.6$	$56.9\pm 1.7$	$57.8\pm 1.7$

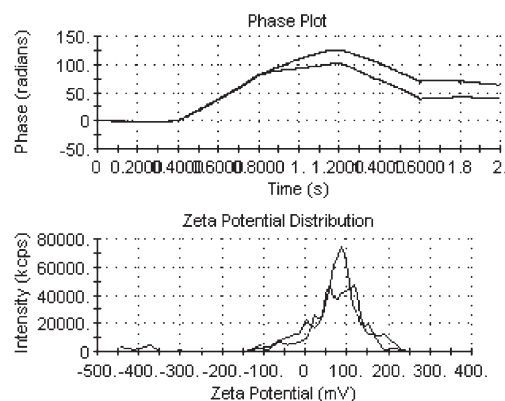


Fig. 2. Zeta potential distribution and phase plot for the suspension of DNase I SD microparticles within dichloromethane,  $n=3$ .

#### 363 4. Discussion

364 Although DNase I was initially combined with different  
365 ratios of stabilising excipients this ratio was not maintained  
366 during spray-drying. As is often the case when generating  
367 microparticles from solution feed stocks the excess excipients  
368 form very small  $<1\ \mu\text{m}$  size particles and lost waste whilst the  
369 excipients associated with the protein form the microparticles  
370  $>1\ \mu\text{m}$  that can be collected in the cyclone of the spray-drying  
371 apparatus. As a result the final microparticles in each case  
372 contained approximately 50% of DNase I.

373 Microparticulate fabrication methods involving spray-drying  
374 can render peptides and proteins susceptible to inactivation.  
375 Trehalose is commonly used as a protectant during protein  
376 particle engineering techniques and was used in this study to  
377 protect DNase I against the stresses encountered during spray-  
378 drying [14,15]. It is thought that sugars stabilise proteins during  
379 rapid dehydration through either vitrification or water substitution  
380 mechanisms. Water substitution, a thermodynamic process,  
381 involves the formation of hydrogen bonds between the sugar  
382 and protein, which is believed to be responsible for the  
383 inhibition of the unfolding of the proteins. Vitrification, a  
384 kinetic process, depends upon the immobilisation of protein  
385 molecules during glass formation [14,16]. Although the exact  
386 mechanism of trehalose stabilisation was not identified in this  
387 work the sugar was able to conserve 90% of the proteins  
388 biological activity compared to 60% when the DNase I was  
389 spray-dried alone.

390 In addition to heat induced dehydration, the process of  
391 spray-drying exposes macromolecules to high shear and rapidly  
392 forming air–water interfaces. Whilst trehalose is known to  
393 protect DNase I against the removal of water, there is little  
394 evidence that it is effective in protecting therapeutic agents  
395 against the surface effects caused by atomisation. In the current  
396 study, even when trehalose was employed as a stabiliser the  
397 DNase I lost  $>\text{ca. } 10\%$  of its biological activity. The exposure of  
398 the protein molecules to the high interfacial tension that occurs  
399 during spray-drying may account for this loss in activity.  
400 Surface active, high molecular weight compounds, such as PVA  
401 and bovine serum albumin (BSA), have previously been shown  
402 to act as sacrificial molecules in order to protect labile peptides  
403 and proteins agents against denaturation caused by high  
404 interfacial tension [17]. However, in this study, the addition of  
405 PVA to trehalose had little effect on the loss of biological  
406 activity of the protein during particulate manufacture. Adsorption  
407 of a compound at the air–liquid interface of liquid droplets,  
408 such as those formed during the process of spray-drying, is  
409 dependent upon both diffusion and convection. Whilst the PVA  
410 used in this study is smaller than DNase I, and therefore should  
411 diffuse to the droplet surface more rapidly, this is based on the  
412 assumption that the two molecules do not interact. In addition,  
413 both compounds are macromolecules which adopt only a  
414 discrete number of conformations and it is difficult to predict if  
415 certain functionalities within the molecules will adsorb to the  
416 interface preferentially. As the addition of PVA to the  
417 microparticles had little effect on the 10% loss in the DNase I  
418 activity when formulated with trehalose, it appears that whilst

PVA might adsorb to the surface of the droplets as they dry, this  
419 does not occur exclusively, otherwise it would be expected to  
420 protect the protein from denaturation. Therefore, DNase I is still  
421 potentially being denatured by adsorption at the air–liquid  
422 interface when trehalose and PVA are used as excipients during  
423 the spray-drying process.  
424

425 In contrast to DNase I formulated with trehalose and PVA,  
426 the addition of both PVA and PVP did enhance the protection of  
427 the protein during spray-drying. PVA has been shown to interact  
428 with PVP and should such an interaction between the polymers  
429 occur within the aqueous environment of the droplet, any  
430 preferential adsorption of the polymers to the air–liquid  
431 interface simply driven by diffusion may be inhibited as the  
432 movement of the PVA/PVP composite would be slowed [18].  
433 However, in the event of PVA and PVP interacting during the  
434 spray-drying of DNase I, the surface migration of the composite  
435 may be a consequence of the greater hydrophobicity of the  
436 associated polymers which would increase the driving force  
437 acting on the polymers to orientate at the air interface. Whilst  
438 the protein is also known to possess significant hydrophobic  
439 regions these will be shielded within a structure exhibiting a  
440 high order of conformation. In contrast, the two polymers, even  
441 if associated in some way, are likely to exhibit a less constrained  
442 conformation, thus preventing the protein from distributing at  
443 the surface of the spray-dried droplets by reducing the  
444 interfacial tension.

445 The DNase I microparticles were suspended in a volatile  
446 HFA solvent after spray-drying. In-situ analysis of the  
447 structural stability of heat sensitive proteins within solvents  
448 exhibiting a high vapour pressure such as hydrofluoroalkanes at  
449 present using commercially available equipment is impossible.  
450 Preliminary work by Quinn et al. [9,19] and other workers have  
451 demonstrated that model proteins such as lysosyme did not  
452 undergo structural modifications upon suspension within HFA  
453 propellants [20]. However, since this initial discovery, there has  
454 been little subsequent work to formulate therapeutic proteins  
455 within pMDIs. DNase I is a much more labile protein compared  
456 to lysosyme; it is physically unstable upon storage within  
457 aqueous solution at room temperature and it is susceptible to  
458 glycation in the dry-state. Regardless of its greater suscept-  
459 ibility to denaturation, the high stage 2 deposition of the DNase  
460 I when formulated without stabilising excipients in the TSI  
461 implied that the microparticle suspension was physically stable  
462 in the propellant. Furthermore, the unchanging biological  
463 integrity of the protein upon storage within the propellant  
464 suggested that the protein was structurally stable [21]. It is  
465 however difficult to draw definitive conclusions on the  
466 compatibility of DNase I alone with the hydrofluoroalkane  
467 solvents as the protein microparticles formed without stabilis-  
468 ing excipients had been significantly denatured during spray-  
469 drying. If the denaturation of the protein was as a result of a  
470 change in secondary structure then hydrophobic moieties  
471 within the protein's structure may have been externalised  
472 changing the microparticle surface and this could enhance the  
473 protein–HFA compatibility. Clearly further work is required to  
474 investigate the influence of protein denaturation upon HFA  
475 compatibility in more detail.  
476

476 In low dielectric solvents the barrier to charging is up to forty  
477 times larger compared to a polar suspension vehicle and  
478 therefore, the charge effects within non-polar systems should be  
479 insignificant. According to Henry's equation if the Debye  
480 length is assumed constant (Huckel approximation) then in a  
481 low dielectric constant media a low electrophoretic mobility  
482 would indeed result in a low zeta potential. However, the zeta  
483 potential measurements of the spray-dried microparticles were  
484 all in excess of  $-60$  mV. DNase I microparticles exhibited a  
485 similar charge when suspended within DCM irrespective of the  
486 included stabilising excipients. This however, again may not be  
487 a true reflection of what is occurring in the non-polar  
488 suspensions as the DNase I alone was shown to be denatured  
489 and therefore may present a more hydrophobic surface.

490 The ionisation of the folded protein in the buffer solution  
491 prior to spray-drying should have been negative according to  
492 theoretical calculations based on its amino acid sequence.  
493 However, the DNase I when spray-dried alone produced a +ve  
494 zeta potential. The surface charge will be dependent upon the  
495 conformation the protein has taken when it dried into the  
496 microparticle droplet. The charging of particles in non-polar  
497 solvents such as DCM is not necessarily due to the location of  
498 amino- and carboxy-terminus of the peptide as according to the  
499 dielectric constant of the non-polar solvent it would contain very  
500 few ionisable species. When the DNase I was spray-dried with  
501 stabilising excipients the protein should have taken a different  
502 conformation (as it is biologically active), but the zeta potential  
503 is very similar irrespective of the type of excipient included, this  
504 suggests therefore that it may not be the amino acids on the  
505 surface of the microparticle that are inducing the surface charge,  
506 but other ionic processes such as charging induced by absorption  
507 of impurities or proton exchange as described by Farr et al.  
508 (1994) [21].

509 Whilst care has to be taken when extrapolating the effects  
510 observed in a 'surrogate' non-polar system (in this case  
511 DCM) to those that can occur in HFA propellants it is evident  
512 that charge is present when the DNase I particles are  
513 suspended in non-polar systems and this effect may contribute  
514 to the excellent physical suspension stability of the suspen-  
515 sion. Even in inert non-polar systems counterions are present,  
516 possibly in part due to trace impurities. Yu et al. [22] showed  
517 the effect of water on zeta potential using a series of  
518 homologous solvents. The simple addition of 0.5% water to a  
519 non-polar system provided a one order of magnitude rise in  
520 zeta potential. These workers also linked a decrease in the  
521 magnitude of zeta potential within suspension systems to a  
522 decrease in dielectric constant. In contrast, Kosmulski [23]  
523 concluded that the role of water in non-polar systems is  
524 "overrated" whilst the role of trace impurities such as amines  
525 is often overlooked. Regardless of the source and nature of  
526 the counterions, the results obtained in the present study  
527 appeared to support the observations that charge can have an  
528 important role in the physical stabilisation of pharmaceutically  
529 relevant non-polar suspensions. However, further work is  
530 required to investigate the origin of this charge and to  
531 determine whether it can be controlled to promote physical  
532 stability in non-polar systems.

Ridder et al. [24] showed that HFA 227 ( $C_3HF_7$ ) 533  
demonstrates stronger interactions with common surfactants 534  
such as Brij and Tween compared to HFA 134 ( $C_2H_2F_4$ ) due to a 535  
greater capacity to form hydrogen bonds. Despite both PVA and 536  
PVP being water soluble polymers both molecules contain 537  
hydrophobic moieties. Solvation effects as a result of the 538  
presence of PVP, which has been shown to be sparingly soluble 539  
in the HFA, are thought to promote limited chain extension and 540  
steric stabilisation [10,25,26]. Steric effects usually result from 541  
the adsorption of surfactants or polymers on the surface of the 542  
particles and subsequent chain extension however, the very 543  
limited solubility of PVA in HFA would not be expected to 544  
result in this polymer extending into the HFA. More 545  
fundamental studies using model systems are required to 546  
characterise the mechanism of the protein microparticle 547  
stabilisation and to isolate the factors that might enhance the 548  
physical stability of the microparticles. However, the results 549  
from this study suggest that both the charge generated on the 550  
particle surface, perhaps as a result of proton exchange with 551  
HFA 227 as demonstrated previously with small molecular 552  
weight surfactants and the steric stabilisation via the use of 553  
appropriate polymer excipients could be of relevance to the case 554  
of DNase I [21]. 555

## 5. Conclusion 556

The pMDI system developed in this work could provide a 557  
suitable alternative to the nebuliser solution currently used to 558  
administer DNase I. Incorporating this protein into an HFA 559  
system not only stabilised the macromolecule at room 560  
temperature, but in addition, produced a formulation that is 561  
both portable and easy to use. Protection gained from the non- 562  
polar vehicle probably due to the low availability of water, air 563  
and light, which are the most common degradations for 564  
pharmaceutical compounds, resulted in two of the formulations 565  
being both physically and biologically stable over a 6 month 566  
stability study. 567

This study builds on previous work to show that the 568  
combination of PVA and PVP can be used to aid the physical 569  
stability of suspension based pMDIs [10,27,28]. Although the 570  
technical challenges of measuring the zeta potential directly 571  
within HFA systems have not yet been solved, this work 572  
provides some evidence that both steric hindrance (due to the 573  
incorporation of PVP) and electrostatic stabilisation could be 574  
functioning to aid the stabilisation of microparticles within non- 575  
polar systems. The high zeta potential of the particles in DCM 576  
which has an identical dielectric constant to HFA 134a indicates 577  
that whilst the electrostatic forces did not seem to be the sole 578  
influence on the physical stability of DNase I, DT, PVA and 579  
PVP microparticles, charge may play an important role in pMDI 580  
stabilisation. 581

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